

## Session 110 - (1993-1994)

### **S\*0526 (Rat #0089, Act #0251 of 1993) Joint Resolution, By Senate Banking and Insurance**

A Joint Resolution to approve regulations of the Secretary of State (Securities Division), relating to dishonest or unethical practices by broker-dealers and agents, designated as Regulation Document Number 1511, pursuant to the provisions of Article 1, Chapter 23, Title 1 of the 1976 Code.

<b>03/09/93</b>	<b>Senate</b>	<b>Introduced, read first time, placed on calendar without reference SJ-9</b>
<b>03/11/93</b>	<b>Senate</b>	<b>Read second time SJ-27</b>
<b>03/11/93</b>	<b>Senate</b>	<b>Unanimous consent for third reading on next legislative day SJ-27</b>
<b>03/12/93</b>	<b>Senate</b>	<b>Read third time and sent to House SJ-1</b>
<b>03/16/93</b>	<b>House</b>	<b>Introduced and read first time HJ-10</b>
<b>03/16/93</b>	<b>House</b>	<b>Referred to Committee on Labor, Commerce and Industry HJ-10</b>
<b>04/07/93</b>	<b>House</b>	<b>Committee report: Favorable Labor, Commerce and Industry HJ-6</b>
<b>04/14/93</b>	<b>House</b>	<b>Debate adjourned until Thursday, April 15, 1993 HJ-82</b>
<b>04/15/93</b>	<b>House</b>	<b>Read second time HJ-15</b>
<b>04/20/93</b>	<b>House</b>	<b>Read third time and enrolled HJ-13</b>
<b>05/11/93</b>		<b>Ratified R 89</b>
<b>05/13/93</b>		<b>Signed By Governor</b>
<b>05/13/93</b>		<b>Effective date 05/13/93</b>
<b>05/27/93</b>		<b>Act No. 251</b>
<b>05/27/93</b>		<b>Copies available</b>