

## Session 110 - (1993-1994)

### S\*0526 (Rat #0089, Act #0251 of 1993) Joint Resolution, By Senate Banking and Insurance

A Joint Resolution to approve regulations of the Secretary of State (Securities Division), relating to dishonest or unethical practices by broker-dealers and agents, designated as Regulation Document Number 1511, pursuant to the provisions of Article 1, Chapter 23, Title 1 of the 1976 Code.

03/09/93	Senate	Introduced, read first time, placed on calendar without reference SJ-9
03/11/93	Senate	Read second time SJ-27
03/11/93	Senate	Unanimous consent for third reading on next legislative day SJ-27
03/12/93	Senate	Read third time and sent to House SJ-1
03/16/93	House	Introduced and read first time HJ-10
03/16/93	House	Referred to Committee on Labor, Commerce and Industry HJ-10
04/07/93	House	Committee report: Favorable Labor, Commerce and Industry HJ-6
04/14/93	House	Debate adjourned until Thursday, April 15, 1993 HJ-82
04/15/93	House	Read second time HJ-15
04/20/93	House	Read third time and enrolled HJ-13
05/11/93		Ratified R 89
05/13/93		Signed By Governor
05/13/93		Effective date 05/13/93
05/27/93		Act No. 251
05/27/93		Copies available