

## Session 110 - (1993-1994)

### H\*3869 (Rat #0115, Act #0263 of 1993) Joint Resolution, By House Labor, Commerce and Industry

A Joint Resolution to approve regulations of the Secretary of State, Securities Division, relating to dishonest or unethical practices by investment advisers, designated as Regulation Document Number 1509, pursuant to the provisions of Article 1, Chapter 23, Title 1 of the 1976 Code.

04/07/93	House	Introduced, read first time, placed on calendar without reference HJ-11
04/15/93	House	Read second time HJ-11
04/20/93	House	Read third time and sent to Senate HJ-12
04/21/93	Senate	Introduced and read first time SJ-7
04/21/93	Senate	Referred to Committee on Banking and Insurance SJ-7
04/28/93	Senate	Recalled from Committee on Banking and Insurance SJ-7
04/29/93	Senate	Read second time SJ-30
05/04/93	Senate	Read third time and enrolled SJ-11
05/11/93		Ratified R 115
05/14/93		Signed By Governor
05/14/93		Effective date 05/14/93
06/10/93		Act No. 263
06/10/93		Copies available