

Annual RFI Checklist

Agency Responding	Office of the State Treasurer
Date of Submission	12/1/2016

INSTRUCTIONS: For all agencies under study which have had a full Committee report issued, the agency's information is posted on the Oversight Committee's website in a new format. To ensure this information stays current, please check whether the agency has reviewed online each of the items in this tab, as well as provide any additional explanation needed. If this information is not online for the agency, type "Not Online."

(1) Has the agency reviewed the following information about the agency on the House Oversight webpages? (Y/N)	
History	Y
Governing Body	Y
Internal Audit Process	Y
External Audit Process	Y
Contact this Agency page	Y

(2) Are any changes needed to update the following information? (Y/N)	
History	N
Governing Body	N
Internal Audit Process	N
External Audit Process	N
Contact this Agency page	N

(3) If the agency indicated changes are needed, has the agency provided information about the changes needed with its submission of this Request for Information? (Y/N)	
History	Y - Addressed in correspondence with the House Legislative Oversight Committee on 8/16/16 & 8/26/16
Governing Body	N/A
Internal Audit Process	N/A
External Audit Process	N/A
Contact this Agency page	N/A

(4) How many of the following did the agency undergo this past year? Please attach a copy of each report.	
Internal Audit	0
External Audit	7 - See below for listing

South Carolina College Tuition Prepayment Program - Annual Audit Report for the Year Ending 6/30/2016. (RSM US LLP)
Future Scholar 529 College Savings Plan – Financial Advisor Program Year Ending 6/30/2016 (Price Waterhouse Coopers, LLP)
Future Scholar 529 College Savings Plan – Direct Program Year Ending 6/30/2016: (Price Waterhouse Coopers, LLP)
IT Security Audit - Grant Thornton, LLP

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State Treasurer's Office External Financial Audit - FY14 & FY15 audit (Elliott Davis Decosimo). Letter from Elliott Davis Decosimo pending.

Tobacco Settlement Revenue Management Authority - Fiscal Year Ending 6/30/16 (The Hobbs Group, PA)

Local Government Investment Pool - Fiscal Year Ending 6/30/16 (The Hobbs Group, PA)

South Carolina Resource Authority - Fiscal Year Ending 6/30/16 (The Hobbs Group, PA)

Strategic Plan

Agency Responding	SC Office of State Treasurer
Date of Submission	12/1/2016

INSTRUCTIONS: In this Chart, please provide information, similar to how the agency provided in the previous year's Restructuring Report. However, ensure the information is current for 2016-17. Highlight any cells where changes are made from the last Restructuring Report. If the information for 2016-17 is the same as the agency reported in 2015-16, please type "Same as 2015-16" in the first row and move on to the next tab.

Mission:	Same as 2015-2016	Legal Basis:	Same as 2015-2016
Vision:	Same as 2015-2016	Legal Basis:	Same as 2015-2016

Strategic Plan Part and Description	Intended Public Benefit/Outcome: (Ex. Outcome = incidents decrease and public perceives that the road is safer) Just enter the intended outcome	Responsible Employee (Name, Position, Responsible more/less than 3 years)	Office Address:	Department or Division:	Department or Division Summary:
Goal 1: Effectively manage all investment programs while preserving capital, maintaining liquidity and obtaining optimal returns within the appropriate risk parameters	Maximizing the efficient use of surplus tax dollars, or dollars yet to be spent, via interest paying (fixed income) investments. Tax revenues are being invested to earn additional funds.	West Summers, Senior Assistant State Treasurer	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
Strategy 1.1: Review investment strategy and portfolio performance to identify opportunities for improvement by benchmarking the financial returns of the general funds investment portfolio and the Local Government Investment Pool (LGIP).	n/a	n/a	n/a	n/a	n/a
Objective 1.1.1: Obtain the best return possible within the prescribed parameters on a portfolio basis, while maintaining liquidity and meeting or exceeding the applicable benchmarks, all while preserving capital.	n/a	n/a	n/a	n/a	n/a
Goal 2: Actively Manage the Debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	Kevin Kibler, Senior Assistant State Treasurer	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Fiscal Accountability Authority, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
Strategy 2.1: Optimize the debt size/structure and market exposure of State debts in an effort to achieve the best pricing available.	n/a	n/a	n/a	n/a	n/a
Objective 2.1.1: Provide guidance to the State and its agencies, institutions, and authorities for the management and structure of debt issuances and programs, as well as to secure greater market exposure in an effort to ensure that the lowest borrowing cost is achieved for each debt issuance.	n/a	n/a	n/a	n/a	n/a
Strategy 2.2: Ensure the timely repayment of State debts when due.	n/a	n/a	n/a	n/a	n/a
Objective 2.2.1: Provide guidance to the State and its agencies, institutions, and authorities for the management, structure, and maintenance of debt issuances and programs to ensure the timely repayment of debt by stipulated due dates.	n/a	n/a	n/a	n/a	n/a
Goal 3: Effectively manage Treasury & Banking management operations to include the management of statewide banking and accounting functions.	Through effective treasury management, limits the costs associated with banking fees and accurately account for deposits and disbursement in accordance to applicable state laws.	Martin Taylor, Senior Assistant State Treasurer	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management and Banking Division	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
Strategy 3.1: Benchmark Treasury Management performance and identify opportunities for improvement.	n/a	n/a	n/a	n/a	n/a
Objective 3.1.1: Enhance Banking security measures based on available technology with our banking partners.	n/a	n/a	n/a	n/a	n/a
Objective 3.1.2: Increase timeliness and accuracy of banking reconciliation accounting activities in the SCEIS environment	n/a	n/a	n/a	n/a	n/a
Goal 4: Increase awareness of the Unclaimed Property program and maximize funds returned to rightful owners.	Manage the Unclaimed Property Program to increase the awareness of the program and return funds to the rightful owners.	Dayle Delong, Senior Assistant State Treasurer	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Unclaimed Property Division	Unclaimed Property Program provides a statewide service to the citizens of SC by returning various forms of intangible personal property or money to the rightful owners. The agency has the responsibility of collecting unclaimed property accounts from holder companies and organizations.
Strategy 4.1: Increase citizens' awareness of the Unclaimed Property Program.	n/a	n/a	n/a	n/a	n/a
Objective 4.1.1: Work with media outlets and community organizations to encourage citizens to search for accounts in their names.	n/a	n/a	n/a	n/a	n/a

Strategic Plan

Agency Responding	SC Office of State Treasurer
Date of Submission	12/1/2016

INSTRUCTIONS: In this Chart, please provide information, similar to how the agency provided in the previous year's Restructuring Report. However, ensure the information is current for 2016-17. Highlight any cells where changes are made from the last Restructuring Report. If the information for 2016-17 is the same as the agency reported in 2015-16, please type "Same as 2015-16" in the first row and move on to the next tab.

Mission:	Same as 2015-2016	Legal Basis:	Same as 2015-2016
Vision:	Same as 2015-2016	Legal Basis:	Same as 2015-2016

Strategic Plan Part and Description	Intended Public Benefit/Outcome: (Ex. Outcome = incidents decrease and public perceives that the road is safer) Just enter the intended outcome	Responsible Employee (Name, Position, Responsible more/less than 3 years)	Office Address:	Department or Division:	Department or Division Summary:
Strategy 4.2: Build holder outreach efforts to increase compliance, reporting and the remittance of unclaimed property.	n/a	n/a	n/a	n/a	n/a
Objective 4.2.1: Provide holder reporting training, outreach and technical assistance.	n/a	n/a	n/a	n/a	n/a
Goal 5: Effectively manage the College Savings Programs division and promote the importance of saving for college to South Carolina Residents.	Manage the Future Scholar 529 Program to promote the importance of saving for college utilizing the Future Scholar 529 Plan to South Carolina residents.	Jenny McGill, Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program Division	The SC Tuition Prepayment Program (SCTPP)/SC College Investment Program (Future Scholar) are college savings plans that allow families the option of saving now at great advantage for their children's college education.
Strategy 5.1: Create strategic marketing initiatives to increase program awareness, new accounts opened and increases in existing account contributions.	n/a	n/a	n/a	n/a	n/a
Objective 5.1.1: Increase overall new accounts in the Future Scholar 529 Plan by 6% over the previous fiscal year while maintaining low cost investment options.	n/a	n/a	n/a	n/a	n/a

Performance Measures

Agency Responding	SC Office of State Treasurer	
Date of Submission	12/1/2016	

INSTRUCTIONS: In the first two columns of this Chart, please copy the information for the Performance Measure Item Number and Performance Measure from the agency's Accountability Report submission this year. Next, fill in the information requested by the remaining columns. Please note, the "Type of Measure" column and "Required by" column include drop downs. Therefore, the agency will need to drag this column down for as many performance measures it has to ensure the drop down is available for each performance measure.

Performance Measure Item Number	Performance Measure	Type of Measure (i.e. outcome, efficiency, output, input/activity)	Required by (State, Federal, Agency only)	Why was this performance measure chosen?	What was considered when determining the level to set the future target value?
1	Returns/Performance	Outcome	Agency Only	The performance measure is a standard used in the industry	Target Value is the Benchmark as defined by Barclays U.S. Government/Credit 1-3 Year Index. Actual Value is the Fiscal YTD return/performance
2	Cost of debt issuance	Outcome	Agency Only	The performance measure is a standard used in the industry	Municipal Market Data/interest rate on debt payments
3	Debt refinancing savings	Outcome	Agency Only	The performance measure is a standard used in the industry	Municipal Market Data
4	Total annual debt service requirement	Outcome	Agency Only	The performance measure is a standard used in the industry	Required annual debt payments to bondholders/STO Debt Management V04 appropriation
5	ACH and Check Fraud Protection Services Added	Outcome	Agency Only	The performance measure is a standard used in the industry	ACH and Check Fraud Protection Services in Place Per Account Analysis
6	Number of Transaction Requiring Research	Outcome	Agency Only	The performance measure is a standard used in the industry	Actual Occurrence
7	UPP Number of accounts paid	Outcome	Agency Only	The performance measure is a standard used in the industry	Total number of accounts paid to claimants
8	UPP Amount of accounts paid	Outcome	Agency Only	The performance measure is a standard used in the industry	Total funds returned to claimants
9	Unclaimed property receipts	Outcome	Agency Only	The performance measure is a standard used in the industry	Total funds received from holder companies
10	UPP Number of accounts reported	Outcome	Agency Only	The performance measure is a standard used in the industry	Total number of accounts received from holder companies
11	Future Scholar 529 Plan Assets	Outcome	Agency Only	The performance measure is a standard used in the industry	Total assets in the Future Scholar Plan
12	Future Scholar 529 Accounts	Outcome	Agency Only	The performance measure is a standard used in the industry	Total number of Future Scholar accounts
13	Future Scholar 529 Sales	Outcome	Agency Only	The performance measure is a standard used in the industry	Total funds deposited into Future Scholar accounts

Strategic Spending in Fiscal Year 2015-16

Agency Responding	SC Office of State Treasurer
Date of Submission	12/1/2016

Disclaimer: The Committee understand the amount the agency budgeted and spent per goal and objective are estimates from the agency. The Committee requests that the estimates have a logical basis, which the agency can explain, as to how it determined the amounts provided.

INSTRUCTIONS:

Please copy and paste the information the agency submitted in its 2016 Restructuring Report, then update this information to reflect the funds available and funds spent through the end of fiscal year 2015-16. If the agency was unable to completely fill in this chart when submitting its 2016 Restructuring Report, this is an opportunity to provide a complete submission. Further details regarding Part A and Part B in this tab are on the next page.

Part A: Funds Available this past Fiscal Year (2015-16)

Please enter each source of funds for the agency in a separate column. Group the funding sources however is best for the agency (i.e., general appropriation programs, proviso 18.2, proviso 19.3, grant ABC, grant XYZ, Motor Vehicle User Fees, License Fines, etc.) to provide the information requested below each source (i.e., state, other or federal funding; recurring or one-time funding; etc.). The agency is not restricted by the number of columns so please delete or add as many as needed. Any grouping of funding sources should be easily understandable and clear through Part A and B how much the agency had available to spend and where the agency spent the funds.

Part B: Funds Spent this past Fiscal Year (2015-16)

- The agency's objectives and unrelated purposes are listed based on the information the agency provided in the Restructuring Report. The agency will see there are new rows between "objectives" and "unrelated purposes." These new rows allow the agency to list money it spent this year that was for previously committed multiple year projects. The intent is to separate what the agency spent toward its current objectives and what it spent toward objectives and projects from previous years, which took multiple years to pay off. If the agency believes the new rows are not useful in illustrating how the agency uses its funds, the agency may leave them blank. However, if they assist the agency in more clearly showing how it uses its funds, please utilize them.
- Please add any information needed in the new rows (i.e., "Money previously committed for multiple years") and make any revisions necessary to ensure all unrelated purposes are listed. An "unrelated purpose" is money the agency is legislatively directed to spend on something that is not related to an agency objective (i.e., pass through, carry forward, etc.).
- Finally, review and revise the amounts spent from each funding source on the agency objectives, money previously committed for multiple years and unrelated purposes so it reflects how much the agency

PART A - Funds Available this past Fiscal Year (2015-16)

What is the source of funds? (insert as many columns as needed, just make sure to total everything in the last column)	Totals	<i>State Funds</i>	<i>Other Funds</i>			
State, other or federal funding?	n/a	<i>State</i>	<i>Other</i>			
Recurring or one-time?	n/a	<i>Recurring</i>	<i>Recurring</i>			
§ From Last Year Available to Spend this Year						
Amount available at end of previous fiscal year	\$20,506	\$100	\$20,406			
Amount available at end of previous fiscal year that agency can actually use this fiscal year:	\$20,406	\$0	\$20,406			
If the amounts in the two rows above are not the same, explain why :	n/a	STO Closed Petty Cash fund and offset to clear account.	n/a			
§ Received this Year						
Amount <u>budgeted to receive</u> in this fiscal year:	\$7,895,121	\$1,666,114	\$6,229,007			

Strategic Spending in Fiscal Year 2015-16

Amount <u>actually received</u> this fiscal year:	\$8,068,804	\$1,842,596	\$6,226,208			
If the amounts in the two rows above are not the same, explain why :	n/a	Inter-agency Transfer for Department of Health and Human Services SCEIS Conversion and General Assembly approved bonuses for FY16.	STO received less cash then budgeted amount.			
Total Actually Available this Year						
Total amount available to spend this fiscal year (i.e. Amount available at end of previous fiscal year that agency can actually use in this fiscal year PLUS Amount budgeted/estimated to receive this fiscal year):	\$8,089,209	\$1,842,596	\$6,246,613	\$0	\$0	\$0

Additional Explanations regarding Part A: *Insert any additional explanations the agency would like to provide related to the information it provided above.*

PART B - Funds Spent this past Fiscal Year (2015-16)

What is the source of funds? (insert as many columns as needed, just make sure to total everything in the last column)	Totals	State Funds	Other Funds	0	0	0
State, other or federal funding?	n/a	State	Other	0	0	0
Recurring or one-time?	n/a	Recurring	Recurring	0	0	0
What are the external restrictions (from state or federal government, grant issuer, etc.), if any, on how the agency was able to spend the funds from this source:	n/a					
Were expenditure of funds tracked through SCEIS? (if no, state the system through which they are recorded so the total amount of expenditures could be verified, if needed)	n/a	Yes	Yes			
Total amount available to spend	\$8,089,209	\$1,842,596	\$6,246,613	\$0	\$0	\$0
Where Agency Spent Money - Current Objectives						
Investments Expenditure Total - Goal 1, Strategy 1.1, Objective 1.1.1	\$3,085,956		\$3,085,956			

Strategic Spending in Fiscal Year 2015-16

Debt Expenditure Total - Goal 2, Strategies 2.1 & 2.2, Objectives 2.1.1 & 2.2.1.	\$1,267,488		\$1,267,488			
Treasury Management & Banking Expenditure Total - Goal 3, Strategy 3.1, Objectives 3.1.1 & 3.1.2.	\$1,032,032	\$940,043	\$91,990			
Unclaimed Property Expenditure Total - Goal 4, Strategies 4.1 & 4.2, Objectives 4.1.1 & 4.2.1.	\$1,237,244		\$1,237,244			
College Savings Expenditure Total - Goal 5, Strategy 5.1, Objective 5.1.1.	\$523,428		\$523,428			
Support Services Expenditure Total	\$902,553	\$902,553				
Total Spent on Current Objectives:	\$8,048,702	\$1,842,596	\$6,206,106	\$0	\$0	\$0
Where Agency Spent Money - Money previously committed for multiple years						
<i>Example - Continental Tire Recruitment Grant (agreement requires State pay income taxes for the company until 2020)</i>	\$0					
<i>Insert any additional money previously committed</i>	\$0					
Total Spent on previous multiple year commitments	\$0					
Where Agency Spent Money - Unrelated Purpose (pass through or other purpose unrelated to agency's strategic plan)						
<i>Unrelated Purpose #1 - insert description:</i>	\$0					
<i>Unrelated Purpose #2 - insert description:</i>	\$0					
<i>Insert any additional unrelated purposes</i>	\$0					
Total Spent on Unrelated Purposes:	\$0					
Total Spent	\$0					

Strategic Spending in Fiscal Year 2015-16

Amount Remaining	\$0					
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Funds budgeted for use in subsequent years (i.e. when grant or other money received all at once, but intended to be spent over multiple years)						
<i>Example - WIOA 3 year funds budgeted for use in next two fiscal years</i>	\$0					
	\$0					
	\$0					
Total Funds budgeted for use in subsequent years	\$0					

Cash Balance Remaining, minus funds budgeted for use in subsequent years	\$0					
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Additional Explanations regarding Part B:	<i>Insert any additional explanations the agency would like to provide related to the information it provided above.</i>
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Strategic Budgeting for Fiscal Year 2016-17

(Note: Funds from General Appropriation Act for 2016-17 set in Summer 2016)

Agency Responding	SC Office of State Treasurer
Date of Submission	12/1/2016

Disclaimer: The Committee understand the amount the agency budgeted and spent per goal and objective are estimates from the agency. The Committee requests that the estimates have a logical basis, which the agency can explain, as to how it determined the amounts provided.

INSTRUCTIONS:
This tab requests the same information as Strategic Spending (last FY), but looks at the current year fiscal year, 2016-17, as opposed to the past fiscal year, 2015-16. Please ensure this information is provided with the funds available for 2016-17 and the strategic plan the agency intends to follow in 2016-17.

PART A - Funds Available Fiscal Year (2016-17)

What is the source of funds? (insert as many columns as needed, just make sure to total everything in the last column)	Totals	State Funds	Other Funds	State Funds		
State, other or federal funding?	n/a	State	Other	State		
Recurring or one-time?	n/a	Recurring	Recurring	Non-Recurring		
\$ From Last Year Available to Spend this Year						
Amount available at end of previous fiscal year	\$40,508		\$40,508			
Amount available at end of previous fiscal year that agency can actually use this fiscal year:	\$40,508		\$40,508			
If the amounts in the two rows above are not the same, explain why :	n/a		n/a			
\$ Estimated to Receive this Year						
Amount <u>requested to receive</u> this fiscal year:	\$10,554,896	\$3,362,114	\$7,192,782			
Amount <u>actually received</u> this fiscal year:	\$9,168,388	\$1,916,114	\$7,152,274	\$100,000		
If the amounts in the two rows above are not the same, explain why :	n/a	FY17 budget requests not approved by General Assembly	STO will use cash available from last year for expenditures.			
Total Available if amounts requested are received						
Amount estimated to have available to spend this fiscal year (i.e. Amount available at end of previous fiscal year that agency can actually use in this fiscal year PLUS Amount requested to receive this fiscal year):	\$9,208,896	\$1,916,114	\$7,192,782	\$100,000	\$0	\$0

Additional Explanations regarding Part A:	<i>Insert any additional explanations the agency would like to provide related to the information it provided above.</i>
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Strategic Budgeting for Fiscal Year 2016-17

(Note: Funds from General Appropriation Act for 2016-17 set in Summer 2016)

PART B - How Agency Plans to Budget Funds in 2016-17

What is the source of funds? (insert as many columns as needed, just make sure to total everything in the last column)	Totals	State Funds	Other Funds	State Funds	0	0
State, other or federal funding?	n/a	State	Other	State	0	0
Recurring or one-time?	n/a	Recurring	Recurring	Non-Recurring	0	0
What are the external restrictions (from state or federal government, grant issuer, etc.), if any, on how the agency can spend the funds from this source:	n/a	State funds are appropriated to the Agency by the General Assembly and are spent for specific purposes as defined by State statute and/or proviso.	Other funds authorization to the Agency is granted by the General Assembly and are spent for specific purposes as defined by State statute and/or proviso.	State funds are appropriated to the Agency by the General Assembly and are spent for specific purposes as defined by State statute and/or proviso.		
Will expenditure of funds be tracked through SCEIS? (if no, state the system through which they are recorded so the total amount of expenditures could be verified, if needed)	n/a	yes	yes	yes		
Total amount estimated to have available to spend	\$9,208,896	\$1,916,114	\$7,192,782	\$100,000	\$0	\$0
Where Agency Plans to Spend Money - Current Objectives						
Investments Expenditure Total - Goal 1, Strategy 1.1, Objective 1.1.1	\$3,500,000		\$3,500,000			
Debt Expenditure Total - Goal 2, Strategies 2.1 & 2.2, Objectives 2.1.1 & 2.2.1.	\$1,282,782		\$1,282,782			
Treasury Management & Banking Expenditure Total - Goal 3, Strategy 3.1, Objectives 3.1.1 & 3.1.2.	\$1,026,000	\$916,000	\$110,000			
Unclaimed Property Expenditure Total - Goal 4, Strategies 4.1 & 4.2, Objectives 4.1.1 & 4.2.1.	\$1,700,000		\$1,700,000			

Strategic Budgeting for Fiscal Year 2016-17

(Note: Funds from General Appropriation Act for 2016-17 set in Summer 2016)

College Savings Expenditure Total - Goal 5, Strategy 5.1, Objective 5.1.1.	\$600,000		\$600,000			
ABLE Savings Program	\$200,000	\$100,000		\$100,000		
Support Services Expenditure Total	\$900,114	\$900,114				
Total Agency Plans to Spend on Objectives:	\$9,208,896	\$1,916,114	\$7,192,782	\$100,000	\$0	\$0
Where Agency Plans to Spend Money - Money previously committed for multiple years						
<i>Example - Continental Tire Recruitment Grant (agreement requires State pay income taxes for the company until 2020)</i>	\$0					
	\$0					
Total Agency Plans to Spend on previous multiple year commitments	\$0					
Where Agency Plans to Spend Money - Unrelated Purpose (pass through or other purpose unrelated to agency's strategic plan)						
<i>Unrelated Purpose #1 - insert description:</i>	\$0					
<i>Unrelated Purpose #1 - insert description:</i>	\$0					
<i>Unrelated Purpose #2 - insert description:</i>	\$0					
<i>Insert any additional unrelated purposes</i>	\$0					
Total Agency Plans to Spend on Unrelated Purposes:	\$0					
Total Agency Plans to Spend (Total on Objectives + Total on Unrelated Purposes)	\$0					
Amount Remaining	\$0					

Strategic Budgeting for Fiscal Year 2016-17

(Note: Funds from General Appropriation Act for 2016-17 set in Summer 2016)

Funds budgeted for use in subsequent years (i.e. when grant or other money received all at once, but intended to be spent over multiple years)						
<i>Example - WIOA 3 year funds budgeted for use in next two fiscal years</i>	\$0					
	\$0					
	\$0					
Total Funds budgeted for use in subsequent years	\$0					

Cash Balance Remaining, minus funds budgeted for use in subsequent years	\$0					
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Additional Explanations regarding Part B:	<i>Insert any additional explanations the agency would like to provide related to the information it provided above.</i>
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Strategic Requests for Fiscal Year 2017-18

Agency Responding	SC Office of State Treasurer
Date of Submission	12/1/2016

Disclaimer: The Committee understand the amount the agency budgeted and spent per goal and objective are estimates from the agency. The Committee requests that the estimates have a logical basis, which the agency can explain, as to how it determined the amounts provided.

INSTRUCTIONS:

This tab requests the same information as Strategic Budgeting (current FY), but looks at the requests for the upcoming year, 2017-18, as opposed to funds already approved for the current fiscal year, 2016-17. Please ensure this information is provided with the funds the agency is requesting for 2017-18 and the strategic plan the agency intends to follow in 2017-18.

PART A - Funds Available Fiscal Year (2017-18)

What is the source of funds? (insert as many columns as needed, just make sure to total everything in the last column)	Totals	<i>State Funds</i>	<i>Other Funds</i>	<i>State Funds</i>
State, other or federal funding?	n/a	<i>State</i>	<i>Other</i>	<i>State</i>
Recurring or one-time?	n/a	<i>Recurring</i>	<i>Recurring</i>	<i>Non-Recurring</i>
\$ Available from Previous FY				
Amount anticipated to have available at end of current fiscal year	\$0	\$0	\$0	\$0
If agency anticipates having funds available at the end of the current fiscal year, explain why :	n/a			
\$ Estimated to Receive this Year				
Amount <u>received</u> to spend in current fiscal year:	\$9,208,896	\$1,916,114	\$7,192,782	\$100,000
Amount <u>requesting to receive</u> next fiscal year:	\$9,332,187	\$1,972,301	\$7,359,886	
If the amounts in the two rows above are not the same, explain why :	n/a	Additional budget requests for FY18	Additional budget requests for FY18	
If none of the amounts the agency is requesting to receive next fiscal year are lower than amounts received in current fiscal year, explain why the same amount is needed for each fund.	n/a	n/a	n/a	
Total Available if amounts requested are received				
Amount estimated to have available to spend next fiscal year (i.e. Amount anticipated to have available at end of current fiscal year PLUS Amount requesting to receive next fiscal year):	\$9,332,187	\$1,972,301	\$7,359,886	\$0

Additional Explanations regarding Part A:	<i>Insert any additional explanations the agency would like to provide related to the information it provided above.</i>
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PART B - How Agency Plans to Budget Funds in 2017-18

What is the source of funds? (insert as many columns as needed, just make sure to total everything in the last column)	Totals	State Funds	Other Funds	State Funds
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Strategic Requests for Fiscal Year 2017-18

State, other or federal funding?			n/a	State	Other	State
Recurring or one-time?			n/a	Recurring	Recurring	Non-Recurring
What are the external restrictions (from state or federal government, grant issuer, etc.), if any, on how the agency can spend the funds from this source:			n/a			
Will expenditure of funds be tracked through SCEIS? (if no, state the system through which they are recorded so the total amount of expenditures could be verified, if needed)			n/a	Yes	Yes	
Total amount estimated to have available to spend:			\$9,332,187	\$1,972,301	\$7,359,886	\$0
Where Agency Plans to Spend Money - Current Objectives	Responsible Employee (Name, Position, Responsible more/less than 3 years)	Associated Performance Measure Item #s	Totals	State Funds	Other Funds	State Funds
Investments Expenditure Total - Goal 1, Strategy 1.1, Objective 1.1.1	West Summers, Senior Assistant State Treasurer	1	\$3,500,000		\$3,500,000	
Debt Expenditure Total - Goal 2, Strategies 2.1 & 2.2, Objectives 2.1.1 & 2.2.1.	Kevin Kibler, Senior Assistant State Treasurer	2, 3, 4	\$1,338,483		\$1,338,483	
Treasury Management & Banking Expenditure Total - Goal 3, Strategy 3.1, Objectives 3.1.1 & 3.1.2.	Martin Taylor, Senior Assistant State Treasurer	5, 6	\$1,054,093	\$944,093	\$110,000	
Unclaimed Property Expenditure Total - Goal 4, Strategies 4.1 & 4.2, Objectives 4.1.1 & 4.2.1.	Dayle DeLong, Senior Assistant State Treasurer	7, 8, 9, 10	\$1,755,701		\$1,755,701	
College Savings Expenditure Total - Goal 5, Strategy 5.1, Objective 5.1.1.	Jenny McGill, Senior Assistant State Treasurer	11, 12, 13	\$655,702		\$655,702	
ABLE Savings Program			\$100,000	\$100,000		
Support Services Expenditure Total			\$928,208	\$928,208		
Total Agency Plans to Spend on Objectives:			\$9,332,187	\$1,972,301	\$7,359,886	
Where Agency Plans to Spend Money - Money previously committed for multiple years	Responsible Employee (Name, Position, Responsible more/less than 3 years)	Associated Performance Measure Item #s	Totals	State Funds	Other Funds	State Funds
<i>Example - Continental Tire Recruitment Grant (agreement requires State pay income taxes for the company until 2020)</i>			\$0			
			\$0			
Total Agency Plans to Spend on previous multiple year commitments:			\$0			

Strategic Requests for Fiscal Year 2017-18

Where Agency Plans to Spend Money - Unrelated Purpose (pass through or other purpose unrelated to agency's strategic plan)	Responsible Entity (i.e. entity who determines how the money is spent)	Associated Performance Measure Item #s	Totals	State Funds	Other Funds	State Funds
<i>Unrelated Purpose #1 - insert description:</i>			\$0			
<i>Unrelated Purpose #1 - insert description:</i>			\$0			
<i>Unrelated Purpose #2 - insert description:</i>			\$0			
<i>Insert any additional unrelated purposes</i>			\$0			
Total Agency Plans to Spend on Unrelated Purposes:			\$0			

Total Agency Plans to Spend ((Total on Objectives + Total on previous multiple year commitments + Total on Unrelated Purposes):	\$0			
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Amount Remaining:	\$0			
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Funds budgeted for use in subsequent years (i.e. when grant or other money received all at once, but intended to be spent over multiple years)	Responsible Employee (Name, Position, Responsible more/less than 3 years)	Associated Performance Measure Item #s	Totals	State Funds	Other Funds	State Funds
<i>Example - WIOA 3 year funds budgeted for use in next two fiscal years</i>			\$0			
			\$0			
<i>Insert any additional funds budgeted for use in subsequent years</i>			\$0			
Total Funds budgeted for use in subsequent years:			\$0			

Cash Balance Remaining, minus funds budgeted for use in subsequent years:	\$0			
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Additional Explanations regarding Part B:	<i>Insert any additional explanations the agency would like to provide related to the information it provided above.</i>
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