

# 2023 Annual Accountability Report

**State Ethics Commission Agency Code: R520** 

# **Table of Contents**

Agency's Discussion and Analysis	1
Agency Organization Chart	4
Reorganization and Compliance	5
Strategic Plan Results	6
Strategic Plan Development	8
Budget Data	10
Legal Data	11
Services Data	25
Partnerships Data	27
Reports Data	28
Submission Form	29

AGENCY CODE: R520

**SECTION:** 

110

## **AGENCY'S DISCUSSION AND ANALYSIS**

State Ethics Commission - Fiscal Year 2022-2023

Agency Narrative

During the 2022-2023 fiscal year, the State Ethics Commission was able to achieve its mandate of enforcing the Ethics Reform Act (the Act) while improving efficiency and transparency.

The State Ethics Commission has four departments charged with enforcing the Act. The disclosures department assists with required filings, the non-compliance department levies fines and penalties when required filers fail to file required filings or file required filings after the statutorily established deadline, the investigations department investigates complaints, and the legal department represents the Commission during hearings and provides advisory opinions when appropriate.

During the 22-23 fiscal year, the Commission fielded 8,394 calls. This number represents a 7.6% decrease over the previous fiscal year. Decreasing the number of incoming calls for filing assistance allows Commission employees to devote more time to each caller. The decrease in the number of incoming calls can be attributed to several things: users becoming more familiar with the new filing system, increased training efforts, and the Commission's online training videos. During the 22-23 fiscal year, the executive director was charged with identifying and training public officials and public employees who have not recently received ethics training.

The non-compliance department opened 2,095 cases during the fiscal year. This represents a 5.54% increase over the previous fiscal year. The non-compliance department collected \$460,723.64 in late filing fees and penalties - this is a 14.42% increase over the previous fiscal year. Sections 8-13-140 and 8-13-150 of the Act authorizes the Commission to carry forward unexpended fines and lobbyist registration fees. This carry-forward allows the Commission to fund itself in ways that do not require funding from the General Assembly.

During the 2022-2023 fiscal year, the legal department resolved sixty-five cases - a 16% increase over the previous fiscal year. The legal department is asked to resolve cases within 180 days of a finding of probable cause; this goal was achieved in 81.53% of cases. On average, cases were resolved within 142.78 days of a finding of probable cause - a 23.38% decrease over the previous fiscal year. Due to an increase in workload, and to maintain efficiency, the Commission will be hiring an assistant general counsel during the 23-24 fiscal year.

AGENCY NAME:	State Ethics Commission	n	
AGENCY CODE:	R520	SECTION:	110

The Commission's investigative department presented 85 cases for probable cause during FY2022-2023 - an 8.23% increase over the previous fiscal year. Commission investigators are asked to present cases for probable cause within 180 days of case assignment. Investigators were able to meet this objective 49.41% of the time with an average case age of 264.41 days at the time of presentment. In order to increase investigative efficiency, the Commission hired two additional full-time investigators. There are now six investigators in the department.

Pursuant to recommendations made during the Commission's legislative oversight process, the Commission developed and implemented a Commission-wide telecommuting policy. This policy gives high performing employees who have demonstrated the ability to work with limited supervision the flexibility to telecommute in adherence with the Commission's telecommuting agreement.

To improve the workplace experience for Commission employees, the Commission conducted an employee survey. The survey was tailored to assess the overall satisfaction of employees and to look for areas in which the Commission could improve the employee experience which would, hopefully, increase employee satisfaction and decrease turnover. The survey results are as follows:

- 1) 92.86% of Commission employees enjoy the agency's culture.
- 2) 100% of Commission employees believe that management values their feedback.
- 3) 100% of Commission employees believe that management is transparent in decision making.
- 4) 100% of employees believe that management is supportive in times of hardship or difficulty.
- 5) 100% of Commission employees believe that they are equipped with the tools and technology needed to be successful.
- 6) Commission employees expressed a desire to have office-wide meetings more frequently so that they may better understand what challenges other departments are facing and work as a whole to attack those challenges.

In an effort to improve the complaint process, and as a response to a recommendation from the House Legislative Oversight Committee, Commission staff sent surveys to respondents containing questions about their experience during the complaint process. Commission staff received one response in which the respondent strongly agreed that:

- 1) He was treated fairly by the Commission and/or staff.
- 2) He was treated with respect before the Commission and/or staff.

AGENCY NAME:	State Ethics Commission	on	
<b>AGENCY CODE:</b>	R520	SECTION:	110

- 3) The Commission took his requests and the evidence he presented seriously.
- 4) The proceedings were clearly explained to him.
- 5) The staff was available to answer any questions he had during the process.

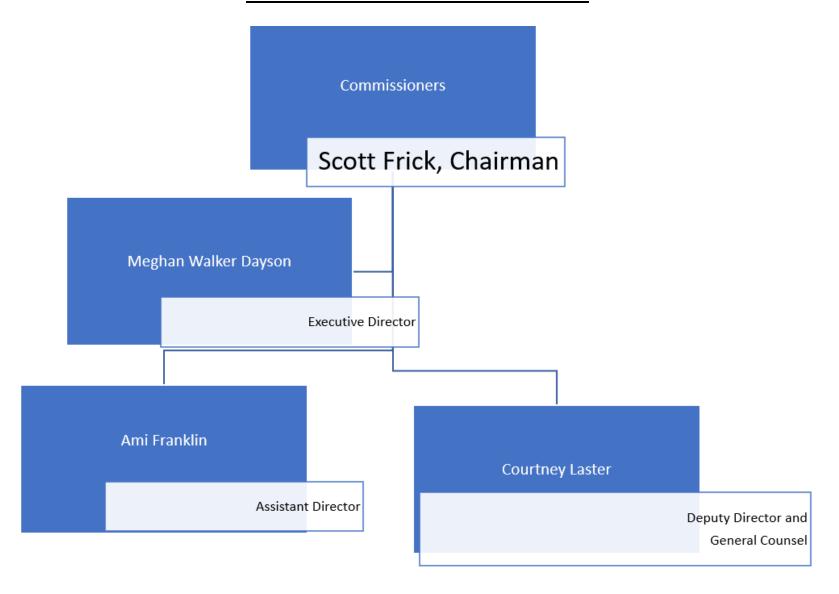
The Commission believes that it is important to train the state's public officials, public members, and public employees regarding their duties under the Act. Commission staff is charged with conducting trainings – both virtually and in-person – throughout the state. During the 2022-2023 fiscal year, 964 individuals received ethics training.

Lastly, the Commission did not experience a technological or security breach during the fiscal year.

AGENCY NAME: State Ethics Commission

AGENCY CODE: R520 SECTION: 110

## **AGENCY ORGANIZATIONAL CHART**



# Reorganization and Compliance

as submitted for the Accountability Report by:

#### **Primary Contact**

Primary Contact					
First Name	Last Name	Role/Title		Email Address	Phone
Meghan	Dayson	Executive Director		mwalker@ethics.sc.gov	803-253-4192
Secondary Contact					
First Name	Last Name	Role/Title		Email Address	Phone
Ami 1	Franklin	Assistant Directoer		ami@ethics.sc.gov	803-253-4192
Agency Mission				Adopted in:	2021
	sion will enforce South Card well as transparency to the p		-	nanner that provides accountability to pube.	blic officials, public member
Agency Vision				Adopted in:	2018
Ethics Reform Act of 199 guidance and analysis to p the Commission is commi	1. In addition to enforcement ersons subject to the Ethics tted to maintaining open, a	nt, the Commission see s. South Carolinians ha ccessible financial reco	ks to reduce the nu ve a right to be full rds for all mandate	As such, the Commission must fairly and mber of individuals who run afoul of the ly informed as to the financial interest of pd filers running for office.	Ethics Reform Act by
None	or reorganization re	quiring legislative	e change:		
	or other major reorg iently in the succeedi		ions, departme	nts, or programs to allow the aş	gency to operate more
Significant events re	elated to the agency t	hat occurred in F	Y2023		
Descriptio	n of Event	Start	End	Agency Measures Impacted	Other Impacts
Partnered with the Munici Carolina to implement virt public members and publi	tual ethics training for	November	July	Number of individuals identified and trained.	
Partnered with the Munici Carolina to implement virt public members and publi	tual ethics training for	November	July	Number of new individuals trained.	
	lative Services Agend			res submission of certain State Library? (See also S.C.	Yes
Reason agency is out of applicable)	compliance: (if				
to the Department o	of Archives and Histond the South Carolin	ory? See the Publ	ic Records Act	ords, including electronic ones, (S.C. Code Ann. § 20-1-10 ions Act (S.C. Code Ann. § 26-6	Vac
	the agency to promul	gate regulations?			Yes
Law number(s) which givanthority to promulgate	~ *	8-13-320(12)			
Has the agency pro	mulgated any regula	tions?			Yes
	npliance with S.C. Co regulations every fiv		20 (J), which r	equires an agency to conduct a	Yes
			ization and Compliand	e Section)	

# FY2023

## **Strategic Plan Results**

as submitted for the Accountability Report by

Goal 1 Provide training to underserved public officials, public employees and public members.

Goal 2 Provide a safe and secure environment for Commissioners, staff and public

Goal 3 Increase efficiency of investigations and hearings.

Perf. Measure Number	Description	Base	Target	Actual	Value Type	Desired Outcome	Time Applicable	Calculation Method	Data Source	Data Location	Stakeholder Need Satisfied	Primary Stakeholder	State Funded Program Numb Responsible	er Notes
	Identify and coordinate with underserve										Education, Training, and H			
1.1.1	Number of individuals identified and trained.	877	1,000	964	Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Sum of individuals trained	Accountability Report (Is this an internal accountability document? If so, can you be more specific in the description here. The source is clearly not this document, the Agency Accountability Report).	Reports provided to Commissioners during Commissin Meetings.	Education.	Public Officials, Public Members and Public Employees.	0	The Commission fell short of this target by 36 trainces. Commission staff has contacted each of South Carolina's forty-six counties to offer ethics training too county councilmembers and county employees. Commission staff is currently scheduling trainings for all interested counties.
1.1.2	Number of new individuals trained.	0	0	Unknown	Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Sum of new trainces	Accountability Report (Is this an internal accountability document? If so, can you be more specific in the description here. The source is clearly not this document, the Agency Accountability Report).	The agency keeps track of this information via Accountability Reports provided to Commissioners during Commissin Meetings.	Education.	Public Officials, Public Members and Public Employees.	0	Staff is unable to differentiate between individuals who have been trained in the past and those who have not.
1.2	Provide Ethics Brochures to County Vo	tors/Pagistratio	n Offices							State Objective	Education, Training, and H	uman Davalonment		
1.2.1	Number of Counties Served	46	46	46	Count	Maintain	State Fiscal Year (July 1 - June 30).	Sum of counties served	Brochure Log	Shared Drive	Education.	Candidates for public office.	0	
1.2.2	Number of brochures mailed	700	700	700	Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Sum of brochures mailed	Brochure Log	Shared Drive	Education.	Candidates for public office.	0	
2.1	Protect all confidential data and increse	efficiency.								State Objective:	Maintaining Safety, Integrit	ty and Security		
2.1.1	Number of breaches	0	0	0	Count	Maintain	State Fiscal Year	Sum of breaches	Reports of Breach	Any breaches of	Maintaining Safety.	Users of Accountability Portal.	0	
							(July 1 - June 30).			Commission security would be logged via incident report(s).			, and the second	
2.2	Ensure there are no instances of violence	e on site.			1		1	'	,	State Objective:	Maintaining Safety, Integrit	ty and Security	1	

Perf.						Desired							State Funded Program Number	
Measure Number		Base	Target			Outcome		Calculation Method	Data Source	Data Location	Stakeholder Need Satisfied	Primary Stakeholder		Notes
2.2.1	Number of instances of violence.	0	0	0	Count	Maintain	State Fiscal Year (July 1 - June 30).	Sum of violent incidents	Incident Report(s)	Any breaches of Commission security would be logged via incident report(s).	Maintaining Safety.	Commission staff and visitors.	0100.00000.000	
3.1	Decrease average length of investigation	ns								State Objective:	Government and Citizens			
3.1.1	Average length of time between investigation assignment and presentation.  Average length of time between presentation and resolution.	2377				equal to or less than	State Fiscal Year (July 1 - June 30).  State Fiscal Year (July 1 - June 30).	Sum of days between assignment and presentation/ total number of investigations  Sum of days between presentation and resolution / total number of investigations	Calendar  Calendar	Departmet Review Materials, Complaint Logs  Departmet Review Materials, Complaint Logs	Quicker resolution of cases.  Quicker resolution of cases.			The Commission's investigative department was not fully staffed during the fiscal year. This resulted in higher caseloads per investigator and longer perios of investigation per case. The department is now fully staffed and ingestigative efficiency should increase.
3.2	Decrease non-compliance backlog									State Objective:	Government and Citizens			
3.2.1	Non-compliance reports are logged.	N/A	N/A	2,095	Count	Maintain	State Fiscal Year (July 1 - June 30).	Year of most recent report	Non-Complaince Log	Spartan Technology Solutions and Shared Drive	Quicker resolution of cases.	Required filers.	0100.000000.000	

# FY2024

## **Strategic Plan Development**

as submitted for the Accountability Report by

Goal 1 Increase efficiency of investigations and hearings.

Goal 2 Provide training to underserved puble officials, public members, and public employees.

**Goal 3** Provide a safe and secure environment for Commissioners, staff and the public.

Perf.													
Measure Number	Description	Base	Target	Value Type	Desired	Time Applicable	Calculation Method	Data Source	Data Logation	Stakeholder Need Satisfied	Primary Stakeholder	State Funded Program Number Responsible	Notes
1.1	Average length of time between investig				Outcome	Time Applicable	Calculation Method	Data Source		Government and Citizens	Frimary Stakeholder	Responsible	Notes
1.1.1	Average number of days between complaint assigment and presentation for probable cause.	264.41	180	Count	equal to or less than	State Fiscal Year (July 1 - June 30).	Sum of days between assignment and presentation/ total number of investigations	Calendar	Departmet Review Materials, Complaint Logs	Quicker resolution of cases.	Respondents and Complaiants.	0100.000000.000	
1.2	Average length of time between a findin	g of probable o	cause and resol	ution of comp	laint.				State Objective:	Government and Citizens			
1.2.1	Average number of days between	142.78	180	Count	equal to or	State Fiscal Year	Sum of days between	Calendar	Departmet Review	Quicker resolution of cases.	Respondents and Complaiants.	0100.000000.000	
	probable cause finding and resolution of the complaint.				less than	(July 1 - June 30).	presentation and resolution / total number of investigations		Materials, Complaint Logs				
2.1	Number of individuals trained.								State Objective:	Education, Training, and H	uman Development		
2.1.1	Sum of indviduals trained.	964	1000	Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Sum of individuals trained	Accountability Report (Is this an internal accountability document? If so, can you be more specific in the description here. The source is clearly not this document, the Agency Accountability Report).	The agency keeps track of this information via Accountability Reports provided to Commissioners during Commissin Meetings.	Education.	Public Officials, Public Members and Public Employees.	0	
2.2	Provide Ethics Brochures to County Vo	ter/Registration	n Offices.	<b>'</b>					State Objective:	Education, Training, and H	uman Development		
2.2.1	Sum of counties served.	46	46	Count	Maintain	State Fiscal Year (July 1 - June 30).	Sum of counties served	Brochure Log	Shared Drive	Education.	Candidates for public office.	0	
23	Number of brochures mailed.								State Objective:	Education, Training, and H	uman Develonment		
2.3.1	Sum of brochures mailed.	700	700	Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Sum of brochures mailed	Brochure Log	Shared Drive	Education.	Candidates for public office.		
3.1	Number of breaches.								State Objective:	Maintaining Safety, Integrit	y and Security		
3.1.1	Sum of breaches.	0	0	Count	Maintain	State Fiscal Year (July 1 - June 30).	Sum of breaches	Reports of Breach	Any breaches of Commission security would be logged via incident report(s).	Maintaining Safety.	Users of Accountability Portal.	0	
3.2	Number of instances of violence.								State Objective:	Maintaining Safety, Integrit	y and Security		

Perf. Measure Number	Description	Base	Target	Value Type	Desired Outcome	Time Applicable	Calculation Method	Data Source	Data Location	Stakeholder Need Satisfied	Primary Stakeholder	State Funded Program Number Responsible	Notes
3.2.1	Sum of violenct incidents.	0		Count		State Fiscal Year (July 1 - June 30).	Sum of violent incidents		Any breaches of Commission security would be logged via incident report(s).	,	Commission staff and visitors.	0100.000000.000	

## **Budget Data**

as submitted for the Accountability Report by:

State Funded Program No.	State Funded Program Title	Description of State Funded Program	(Actual) General	(Actual) Other	(Actual) Federal	(Actual) Total	(Projected) General2	(Projected) Other	(Projected) Federal4	(Projected) Total
0100.000000.000	Administration	Funding source for carrying out the investigation and enforcement of the Commission's statutory mandate to include compliance with financial disclosure, lobbyists/lobbyists' principal disclosure, campaign disclosure and rules of conduct. The mandate also includes regulating lobbying; issuing advisory opinions interpreting the Ethics Reform Act and finally educating covered individuals, entities and the public on the requirements of the Ethics Reform Act.	\$ 1,402,221.	11 \$ 473,048.00	\$	\$ 1,875,269.80	\$ 1,505,182.00	\$ 473,048.00	\$	\$ 1,978,230.00
9500.050000.000	State Employer Contributions	Retirement and other benefits.	\$ 441,903.	00 \$ 44,460.00	\$ -	\$ 486,363.00	\$ 542,613.00	\$ 44,460.00	\$ -	\$ 587,073.00

# **Legal Data**

as submitted for the Accountability Report by:

Law number	Jurisdiction	Type	Description	Purpose the law serves:	Notes:	Changes made during FY2023
2-17-30	State	Statute	Lobbyist's reporting of lobbying activities.	Report our agency must/may provide		No Change
2-17-35	State	Statute	Lobbyist's principal's reporting of lobbying expenditures	Report our agency must/may provide		No Change
2-17-40	State	Statute	Report of lobbying activities of state agency or department.	Report our agency must/may provide		No Change
2-17-45	State	Statute	Reports required of certain entities which rank or rate actions, votes or failures to act or vote of certain public officials.	Report our agency must/may provide		No Change
2-17-50	State	Statute	State Ethics Commission to enforce filing requirements of chapter and to assess penalties for failure to file; filing of required reports and payment of fine constitutes compliance; payment of fine without filing not to excuse or exempt person from filing requirements.	Report our agency must/may provide		No Change
2-17-60	State	Statute	Duties of State Ethics Commission.	Report our agency must/may provide		No Change
2-17-65	State	Statute	Review of reports for compliance; audits of lobbyists and principals; procedure upon failure to file required information after notice.	Report our agency must/may provide		no Change
2-17-70	State	Statute	Repealed July 1, 1993.	Report our agency must/may provide		No Change
2-17-80	State	Statute	Acts prohibited of lobbyists; acts prohibited of public officials and employees; exceptions.	Report our agency must/may provide		No Change
2-17-90	State	Statute	Acts prohibited of lobbyists' principals; acts prohibited of public officials and employees; exceptions; disclosure requirements.	Report our agency must/may provide		No Change
2-17-5	State	Statute	Transfer of Duties and powers from Secretary of State to State Ethics Commission.	Report our agency must/may provide		No Change

Tunisdistin	Toma	Description	Duynasa tha law saw	Notes	Changes made during EV2022
			· · · · · · · · · · · · · · · · · · ·	Notes:	Changes made during FY2023 No Change
State	Statute	Definitions	provide		No Change
State	Statute	Persons prohibited from serving as lobbyist; application of section.	Report our agency must/may provide		No Change
State	Statute	Bodies which contract with outside lobbyists must mail copies of lobbyists' disclosure statements and reports to home addresses of members; use of funds.	Report our agency must/may provide		No Change
State	Statute	Registration of lobbyists; notice of termination of lobbying activities; supplemental registration statements; list of lobbyists; recording keeping requirements; reregistration requirements.	Report our agency must/may provide		No Change
State	Statute	Registration of Lobbyist's principal; notice of termination of lobbying authority; supplemental registration statements; list of lobbyist's principal; recording keeping requirements; reregistration requirements.	Report our agency must/may provide		No Change
State	Statute	Public officials and employees not to receive compensation for speaking before audiences; exception and rules for payment of expenses.	Report our agency must/may provide		No Change
State	Statute	Additional acts prohibited of lobbyists and lobbyists' principals, public officials, and public employees.	Report our agency must/may provide		No Change
State	Statute	Suspension of lobbyist upon indictment for violation of provision of this chapter.	Report our agency must/may provide		No Change
State	Statute	Penalties for violation of provisions of this chapter.	Report our agency must/may provide		No Change
State	Statute	Groundless allegations of violations to be stricken from public record; penalties for willful filing of groundless complaint.	Report our agency must/may provide		No Change
State	Statute	Statute of limitations for prosecuting violation of provision of this chapter.	Report our agency must/may provide		No Change
State	Regulation	State Ethics Commission	Report our agency must/may provide		No Change
State	Regulation	Repeal of Existing Regulations and Adoption of Articles 1 through 10, inclusive	Report our agency must/may provide		No Change
	State  State	State Statute  State Statute  State Statute  State Statute  State Statute  State Statute  Statute  State Statute  Statute	State  Statue  Statue  Statue  Persons prohibited from serving as lobbyist; application of section.  State  Statue  Statue  Statue  Statue  Statue  Statue  Statue  Statue  Registration of lobbyists; notice of termination of lobbying activities; supplemental registration statements, list of lobbyists; recording keeping requirements; reregistration requirements.  Statue  Statue  Registration of Lobbyist's principal; notice of termination of lobbying activities; supplemental registration statements; list of lobbyist's principal; recording keeping requirements.  Statue  Statue  Statute  Public officials and employees not to receive compensation for speaking before audiences; exception and rules for payment of expenses.  Statue  Statute  Additional acts prohibited of lobbyists and lobbyists' principals, public officials, and public employees.  Statue  Statute  Statute  Statute  Statute  Statute  Statute  Statute  Statute  Penalties for violation of provisions of this chapter.  Statue  Statue  Statute  Statute	State  Statute  Statute  Persons prohibited from serving as lobbyist; application of section.  Report our agency must/may provide  State  Statute  Registration of lobbyists; contice of fermination of lobbying activities; level of including the cepture requirements, use of funds.  State  Statute  Registration of lobbyists; notice of fermination of lobbying activities; Report our agency must/may provide  supplemental registration statements; list of lobbyists recording keeping requirements, receptaration requirements.  State  Statute  Statute  Registration of Lobbyist's principal, notice of termination of lobbying approvide  provide authority; supplemental registration statements, list of lobbyist's provide provide authority; supplemental registration statements, list of lobbyist's provide  State  Statute  Statute  Public officials and employees not to receive compensation for speaking before audiences; exception and rules for payment of exceptness.  State  Statute  Statute  Additional acts prohibited of lobbyists and lobbyists' principals, public officials, and public employees.  State  Statute  Statute	State  St

aw number	Jurisdiction	Туре	Description	Purpose the law serves:	Notes:	Changes made during FY2023
-201	State	Regulation	Purpose and Scope	Report our agency must/may provide		No Change
2-202	State	Regulation	Severability	Report our agency must/may provide		No Change
:-203	State	Regulation	Definitions	Report our agency must/may provide		No Change
2-204	State	Regulation	Official Forms and Documents	Report our agency must/may provide		No Change
2-205	State	Regulation	Date of Filing with the Commission Defined	Report our agency must/may provide		No Change
2-206	State	Regulation	Method of Service	Report our agency must/may provide		No Change
2-207	State	Regulation	Effect of Signature	Report our agency must/may provide		No Change
2-208	State	Regulation	Computation of Time	Report our agency must/may provide		No Change
2-209	State	Regulation	Motions and Other Papers	Report our agency must/may provide		No Change
2-210	State	Regulation	Notice of Representation	Report our agency must/may provide		No Change
2-211	State	Regulation	Subpoenas	Report our agency must/may provide		No Change
2-212	State	Regulation	Amendments to Forms	Report our agency must/may provide		No Change
2-301	State	Regulation	Advisory Opinions, Generally	Report our agency must/may provide		No Change

Law number	Jurisdiction	Туре	Description	Purpose the law serves:	Notes:	Changes made during FY2023	
52-302	State	Regulation	Informal Advisory Opinions	Report our agency must/may	Notes.	No Change	
				provide			
52-303	State	Regulation	Formal Advisory Opinions	Report our agency must/may provide		No Change	
52-401	State	Regulation	General	Report our agency must/may provide		No Change	
52-402	State	Regulation	Lobbyist's and Lobbyist's Principal registration, termination, supplemen-tal registration, record keeping, and reregistration requirements	Report our agency must/may provide		No Change	
52-403	State	Regulation	Lobbyists and Lobbyist's Principal reporting requirements	Report our agency must/may provide		No Change	
52-404	State	Regulation	Registration of Rating or Ranking Entities	Report our agency must/may provide		No Change	
52-405	State	Regulation	Administrative enforcement of registration and reporting of lobbyists, lobbyist's principals and rating entities activities and expenditures	Report our agency must/may provide		No Change	
52-501	State	Regulation	General	Report our agency must/may provide		No Change	
52-502	State	Regulation	Responsibility of Persons accepting declarations of candidacy or petitions for nomination	Report our agency must/may provide		No Change	
52-503	State	Regulation	Committees receiving or spending funds to influence elections must register	Report our agency must/may provide		No Change	
52-504	State	Regulation	Candidate's and Committee's Campaign Reports	Report our agency must/may provide		No Change	
52-505	State	Regulation	Committees registered with the Federal Election Commission	Report our agency must/may provide		No Change	
52-506	State	Regulation	Administrative Enforcement of Candidate's and Committee's registration and reporting requirements	Report our agency must/may provide		No Change	

Law number	Jurisdiction	Туре	Description	Purpose the law serves:	Notes:	Changes made during FY2023	
52-601	State	Regulation	General	Report our agency must/may	Notes.	No Change	
				provide			
52-602	State	Regulation	Persons Required to File Statements of Economic Interests and Agencies Required to file Contract Disclosure Forms			No Change	
52-603	State	Regulation	Confidential Statements of Economic Interests	Report our agency must/may provide		No Change	
52-604	State	Regulation	Reporting by persons not required to file a Statement of Economic Interest	Report our agency must/may provide		No Change	
52-605	State	Regulation	Approval for Out-of-State Expenses	Report our agency must/may provide		No Change	
52-606	State	Regulation	Consultant's Disclosure Forms	Report our agency must/may provide		No Change	
52-607	State	Regulation	Candidates and Incumbents Statement's of Economic Interests	ests Report our agency must/may provide		no Change	
52-608	State	Regulation	Administrative Enforcement	Report our agency must/may provide		No Change	
52-701	State	Regulation	General	Report our agency must/may provide		No Change	
52-702	State	Regulation	Initiating a Complaint	Report our agency must/may provide		No Change	
52-703	State	Regulation	Parties to the proceeding	Report our agency must/may provide		No Change	
52-704	State	Regulation	Complaint Procedures	Report our agency must/may provide		No Change	
52-705	State	Regulation	Investigation of Complaints	Report our agency must/may provide		No Change	

I am montan	Tunindisting	Tuna	Description	Down one the law sources	Natar	Changes made during FY2023	
Law number 52-706	Jurisdiction State	Type Regulation	Description  Amendment and Withdrawal of Complaint	Purpose the law serves:  Report our agency must/may	Notes:	No Change	
				provide			
52-707	State	Regulation	Hearing notice	notice Report our agency must/may provide		No Change	
52-708	State	Regulation	Request for Remediation	Report our agency must/may provide		No Change	
52-709	State	Regulation	Respondent's Answer to Complaint	Report our agency must/may provide		No Change	
52-710	State	Regulation	Discovery	Report our agency must/may provide		No Change	
52-711	State	Regulation	Admission of Expert's Reports and other forms of written evidence	Board, commission, or committee on which someone from our agency must/may serve		No Change	
52-712	State	Regulation	Presentation of Evidence	Report our agency must/may provide		No Change	
52-713	State	Regulation	Conduct of Hearings	Report our agency must/may provide		No Change	
52-714	State	Regulation	Burden of Proof	Report our agency must/may provide		No Change	
52-715	State	Regulation	Continuance, Postponement and Adjournment	Report our agency must/may provide		No Change	
52-716	State	Regulation	Final Disposition and Order	Report our agency must/may provide		No Change	
52-717	State	Regulation	Record of Hearing and Transcripts	Report our agency must/may provide		No Change	
52-718	State	Regulation	Confidentiality of Proceedings	Report our agency must/may provide		No Change	

Law number	Jurisdiction	Туре	Description	Purpose the law serves:	Notes:	Changes made during FY2023	
52-801	State	Regulation	Filing an Appeal	Report our agency must/may	intes.	No Change	
				provide			
52-802	State	Regulation	Notice of Appellate Hearing	Report our agency must/may provide		No Change	
52-803	State	Regulation	Briefs	Report our agency must/may provide		No Change	
52-804	State	Regulation	Oral Argument	Report our agency must/may provide		No Change	
52-805	State	Regulation	Composition of the Panel for Full Commission Review	Report our agency must/may provide		No Change	
52-806	State	Regulation	Standard of Review	Report our agency must/may provide		No Change	
52-807	State	Regulation	Additional Evidence	Report our agency must/may provide		No Change	
52-901	State	Regulation	General	Report our agency must/may provide		No Change	
52-902	State	Regulation	Requirements of Trust Instrument	Report our agency must/may provide		No Change	
52-903	State	Regulation	Effect	Report our agency must/may provide		No Change	
8-13-100	State	Statute	Definitions.	Report our agency must/may provide		No Change	
8-13-1110	State	Statute	Persons required to file statement of economic interests	Report our agency must/may provide		No Change	
8-13-1120	State	Statute	Contents of statement of economic interests	Report our agency must/may provide		No Change	

Law number	Jurisdiction	Туре	Description	Purpose the law serves:	Notes:	Changes made during FY2023
8-13-1125	State Statute		Exception to reporting requirement for events to which entire legislative body invited	Report our agency must/may provide		No Change
8-13-1127	State	Statute	Legislative invitations committees to keep records of invitations ac-cepted; public inspection	Report our agency must/may provide		No Change
8-13-1130	State	Statute	Report on names of, and purchases by, lobbyists	Report our agency must/may provide		No Change
8-13-1140	State	Statute	Filing of updated statement	Report our agency must/may provide		No Change
8-13-1150	State	Statute	Filing of statement by certain consultants	Report our agency must/may provide		No Change
8-13-1160	State	Statute	Forwarding of copies of statement to State Ethics Commission and filing person's county of residence	Report our agency must/may provide		No Change
8-13-1170	State	Statute	Technical violations of disclosure requirements; extensions of time for filing statements	Report our agency must/may provide		No Change
8-13-1180	State	Statute	Soliciting of contributions by elective official or agent from employees; favoritism by public official or employee towards employees making contributions	Report our agency must/may provide		No Change
8-13-120	State	Statute	Fee for educational nd training programs	Report our agency must/may provide		No Change
8-13-130	State	Statute	Levying enforcement or administrative fee on persons in violation; use of fee.	Report our agency must/may provide		No Change
8-13-1300	State	Statute	Definitions	Report our agency must/may provide		No Change
8-13-1301	State	Statute	Joint candidates for Governor and Lieutenant Governor to be considered a single candidate	Report our agency must/may provide		No Change
8-13-1302	State	Statute	Maintenance of records of contributions, contributors, and expenditures	Report our agency must/may provide		No Change
				1	1	

Jurisdiction	Type	Description	Purpose the law serves:	Notes:	Changes made during FY2023	
State	Statute	Committees receiving and spending funds to influence elections required to file statement of organization	Report our agency must/may provide	- Colon	No Change	
State	Statute	Contents of statement of organization	Report our agency must/may provide		No Change	
State	Statute	Filing of certified campaign reports by candidates and committees	Report our agency must/may provide		No Change	
State	Statute	Filing of certified campaign reports by ballot measure committee	Report our agency must/may provide		No Change	
State	Statute	Recipients of certified campaign reports and copies thereof; State Ethics Commission review	Report our agency must/may provide		No Change	
State	Statute	Campaign bank accounts	Report our agency must/may provide		No Change	
State	Statute	Campaign contribution limits and restrictions	Report our agency must/may provide		No Change	
State	Statute	Restrictions on campaign contributions received from political parties	Report our agency must/may provide		No Change	
State	Statute	Acceptance of contributions to retire campaign debt; limits; reporting requirements	Report our agency must/may provide		No Change	
State	Statute	Contributions within specified period after primary, special, or general election attributed to that primary or election	Report our agency must/may provide		No Change	
State	Statute	Dollar limits on contributions to committees	Report our agency must/may provide		No Change	
State	Statute	Anonymous campaign contributions	Report our agency must/may provide		No Change	
State	Statute	Loans to candidates considered contributions; limitations; exceptions	Report our agency must/may provide		No Change	
	State  State	State Statute  Statute  State Statute  Statute	State Statute Committees receiving and spending funds to influence elections required to file statement of organization  State Statute Contents of statement of organization  State Statute Filing of certified campaign reports by candidates and committees  State Statute Filing of certified campaign reports by ballot measure committee  State Statute Recipients of certified campaign reports by ballot measure committee  State State Statute Campaign bank accounts  State Statute Campaign contribution limits and restrictions  State Statute Statute Restrictions on campaign contributions received from political parties  State Statute Statute Campaign contributions to retire campaign debt; limits; reporting requirements  State Statute Statute Contributions within specified period after primary, special, or general election attributed to that primary or election  State Statute Statute Dollar limits on contributions to committees  Statute Statute Anonymous campaign contributions  State Statute Anonymous campaign contributions	State  State  State  State  Committees receiving and spending funds to influence elections required to file statement of organization  Report our agency must/may provide  State  State  Statute  Contents of statement of organization  Report our agency must/may provide  State  Statute  Filing of certified campaign reports by candidates and committees  Report our agency must/may provide  State  Statute  Filing of certified campaign reports by ballot measure committee  Report our agency must/may provide  State  Statute  Recipients of certified campaign reports by ballot measure committee  Report our agency must/may provide  Report our agency must/may provide  State  Statute  Campaign bank accounts  Report our agency must/may provide  State  Statute  Campaign contribution limits and restrictions  Report our agency must/may provide  State  Statute  Campaign contribution limits and restrictions  Report our agency must/may provide  State  Statute  Campaign contributions to retire campaign debt, limits; reporting  Report our agency must/may provide  State  Statute  Contributions within specified period after primary, special, or general dection attributed to that primary or election  State  Statute  Contributions within specified period after primary, special, or general dection attributed to that primary or election  State  State  Statute  Contributions within specified period after primary, special, or general dection attributed to that primary or election  Report our agency must/may provide  State  Statute  Contributions to contributions to committees  Report our agency must/may provide  Anonymous campaign contributions  Report our agency must/may provide  Loans to candidates considered contributions; limitations; exceptions  Report our agency must/may provide	State	

Law number	Jurisdiction	Туре	Description	Purpose the law serves:	Notes:	Changes made during FY2023
8-13-1328	State	Statute	Limits on repayment of loans from candidate or family members to campaign	Report our agency must/may provide		No Change
8-13-1330	State	Statute	Contributions by spouses or parent and child	utions by spouses or parent and child Report our agency must/may provide		No Change
8-13-1331	State	Statute	Affiliated Committees	Report our agency must/may provide		No Change
8-13-1332	State	Statute	Unlawful contributions and expenditures	Report our agency must/may provide		No Change
8-13-1333	State	Statute	Not-for-profit corporations solicitation guidelines	Report our agency must/may provide		No Change
8-13-1334	State	Statute	Certain solicitation of contributions by corporations and organizations from employees not unlawful	Report our agency must/may provide		No Change
8-13-1336	State	Statute	Accepting or soliciting contributions on State Capitol grounds or in of-ficial residence prohibited; exception for contributions by mail	Report our agency must/may provide		No Change
8-13-1338	State	Statute	Persons prohibited from soliciting contributions	Report our agency must/may provide		No Change
8-13-1340	State	Statute	Restrictions on contributions by one candidate to another	Report our agency must/may provide		No Change
8-13-1342	State	Statute	Restrictions on contributions by contractor to candidate who participated in awarding of contract	Report our agency must/may provide		No Change
8-13-1344	State	Statute	Contributions by public utilities; seeking endorsement in return for con-tribution; discrimination by employers based on contributions; reimburse-ment for contributions	Report our agency must/may provide		No Change
8-13-1346	State	Statute	Use of public funds, property, or time to influence election prohibited; exceptions	Report our agency must/may provide		No Change
8-13-1348	State	Statute	Use of campaign funds for personal expenses; certain expenditures to be in writing; expenditures not to exceed fair market value; petty cash funds	Report our agency must/may provide		No Change

Law number	Jurisdiction	Type	Description	Purpose the law serves:	Notes:	Changes made during FY2023	
8-13-1350	State	Statute	Prohibition of use of funds for campaign for one office to further candi-dacy of same person for different office	Report our agency must/may provide		No Change	
8-13-1352	State	Statute	Exception to prohibition of use of funds for campaign for one office to further candidacy of same person for different office	Report our agency must/may provide		No Change	
8-13-1354	State	Statute	Identification of person independently paying for election-related com-munication; exemptions	Report our agency must/may provide		No Change	
8-13-1356	State	Statute	Filing of statement of economic interests by candidates for public office	Report our agency must/may provide		No Change	
8-13-1358	State	Statute	Format of certified campaign reports	Report our agency must/may provide		No Change	
8-13-1360	State	Statute	Contribution and expenditure reporting form; contents	Report our agency must/may provide		No Change	
8-13-1362	State	Statute	Filing of statement of inactivity by candidate or committee having no contributions or expenditures to report	Report our agency must/may provide		No Change	
8-13-1364	State	Statute	Sending of notice of obligation to report and forms	Report our agency must/may provide		No Change	
8-13-1366	State	Statute	Public availability of certified campaign reports	Report our agency must/may provide		No Change	
8-13-1368	State	Statute	Termination of campaign filing requirements; dissolution of committees; final report	Report our agency must/may provide		No Change	
8-13-1370	State	Statute	Use of unexpended contributions by candidate after election; distribution of unexpended funds of committee	Report our agency must/may provide		No Change	
8-13-1371	State	Statute	Use of funds by ballot measure committee	Report our agency must/may provide		No Change	
8-13-1372	State	Statute	Technical violations of rules on campaign reports	Report our agency must/may provide		No Change	

Jurisdiction	Type	Description	Purpose the law serves:	Notes:	Changes made during FY2023	
State	Statute	Budget and Control Board (now called the Department of Administration) must defend action	d the Department of Report our agency must/may provide		No Change	
State	Statute	Richland County designated as site of failure to file	Report our agency must/may provide		No Change	
State	Statute	Retention of funds derived from additional assessments associated with late filing fees.	Report our agency must/may provide		No Change	
State	Statute	Carrying forward unexpended lobbyists and lobbyist's principals registration fees.	Report our agency must/may provide		No Change	
State	Statute	Penalty for late filing of or failure to file report or statement required by this chapter	Report our agency must/may provide		No Change	
State	Statute	Violation of chapter constitutes misdemeanor; violation not necessarily ethical infraction	Report our agency must/may provide		No Change	
State	Statute	State Ethics Commission reconstituted; members; terms of office; officers; quorum requirements; meetings; per diem; mileage, and subsistence for members.	Report our agency must/may provide		No Change	
State	Statute	Duties and powers of State Ethics Commission.	Report our agency must/may provide		No Change	
State	Statute	Use of registration fines and fees.	Report our agency must/may provide		No Change	
State	Statute	Executive director of commission; restrictions on political activities of members, employees and staff of commission.	Report our agency must/may provide		No Change	
State	Statute	Annual report of commission.	Report our agency must/may provide		No Change	
State	Statute	Ethics brochure to be provided to public officials, members, and employees.	Report our agency must/may provide		No Change	
State	Statute	Statements and reports filed with commission open for public inspection.	Report our agency must/may provide		No Change	
	State  State	State Statute  Statute  State Statute  Statute	State Statute Statute Retention of funds derived from additional assessments associated with late filing fees.  State Statute Retention of funds derived from additional assessments associated with late filing fees.  State Statute Carrying forward unexpended lobbyists and lobbyist's principals registration fees.  State Statute Penalty for late filing of or failure to file report or statement required by this chapter  State Statute Violation of chapter constitutes misdemeanor, violation not necessarily ethical infraction  State Statute Statute Statute State Ethics Commission reconstitutes, mileage, and subsistence for members.  State Statute Use of registration fines and fees.  State Statute Les of registration fines and fees.  State Statute Executive director of commission; restrictions on political activities of members, employees and staff of commission.  State Statute Ethics brochure to be provided to public officials, members, and employees.  State Statute Ethics brochure to be provided to public officials, members, and employees.	State  St	State   Statute   Richard County designated as site of failure to file   Report our agency must/may provide	

aw number	Jurisdiction	Type	Description	Purpose the law serves:	Notes:	Changes made during FY2023	
13-365	State	Statute	Electronic Filing deadline.	Report our agency must/may provide		No Change	
-13-700	State	Statute	Use of official position or office for financial gain; disclosure of potential conflict of interest	Report our agency must/may provide		No Change	
13-705	State	Statute	Offering, giving, soliciting, or receiving anything of value to influence action of public employee, member or official, or to influence testimony of witness; exceptions; penalty for violation	Report our agency must/may provide		No Change	
-13-710	State	Statute	Reporting of particular gifts received by public employee, official, or member on statement of economic interests.	Report our agency must/may provide		No Change	
-13-715	State	Statute	Speaking engagements of public officials, members or employees; only expense reimbursement permitted; authorization for	Report our agency must/may provide		No Change	
-13-720	State	Statute	Offering, soliciting, or receiving money for advice or assistance of public official, member or employee	Report our agency must/may provide		No Change	
-13-725	State	Statute	Use or disclosure of confidential information by public official, member, or employee for financial gain	Report our agency must/may provide		No Change	
-13-730	State	Statute	Membership on or employment by regulatory agency of person associated with regulated business	Report our agency must/may provide		No Change	
-13-735	State	Statute	Participation in decision affecting personal economic interests by one employed by and serving on governing body of governmental entity	Report our agency must/may provide		No Change	
-13-740	State	Statute	Representation of another by a public official, member, or employee before a governmental entity	Report our agency must/may provide		No Change	
-13-745	State	Statute	Paid representation of clients and contracting by member of General As-sembly or associate in particular situations	Report our agency must/may provide		No Change	
-13-750	State	Statute	Employment, promotion, advancement, or discipline of family member of public official, member, or employee	Report our agency must/may provide		No Change	
13-755	State	Statute	Restrictions on former public official, member, or employee serving as lobbyist or accepting employment in field of former service	Report our agency must/may provide		No Change	

aw number	Jurisdiction	Type	Description	Purpose the law serves:	Notes:	Changes made during FY2023	
8-13-760	-760 State		Employment by government contractor of former public official, member, or employee who was engaged in procurement	Report our agency must/may provide		No Change	
-13-765	State	Statute	Use of government personnel or facilities for campaign purposes; government personnel permitted to work on campaigns on own time	Report our agency must/may provide		No Change	
-13-770	State	Statute	Members of General Assembly prohibited from serving on state boards and commissions; exceptions	Report our agency must/may provide		No Change	
-13-775	State	Statute	Public official, member, or employee with official function related to contracts not permitted to have economic interest in contracts	Report our agency must/may provide		No Change	
-13-780	State	Statute	Remedies for breaches of ethical standards by public officials, members, or employees	Report our agency must/may provide		No Change	
-13-785	State	Statute	Communication by elected official with state board or commission on behalf of constituent	Report our agency must/may provide		No Change	
-13-790	State	Statute	Recovery of amounts received by official or employee in breach of ethical standards; recovery of kickbacks	Report our agency must/may provide		No Change	
-13-795	State	Statute	Receipt of award, grant, or scholarship by public official or family	Report our agency must/may provide		No Change	

## **Services Data**

as submitted for the Accountability Report b

				Division or major organizational unit	Description of division or major	Primary negative impact if	Changes made to services	Summary of changes to
Description of Service Public Disclosure section of website that contains reports filed by lobbyists, lobbyist's principals, public, elected, and appointed officials under our jurisdiction.  Ability to file complaints for violations of the Ethics Reform Act.	Description of Direct Customer All South Carolinians, news media, and any citizen outside of South Carolina secking information about public officials, employees, lobbyists, or elected officials campaign finanace and economic interests	Customer Name Any person under the Commission's jurisdiction.	Others Impacted by Service All South Carolinians.	providing the service. Administration	organizational unit providing the service. Created by Section 8-13-310 to establish and enforce certain rules of ethical conduct for public officials and employees, to establish rules for election campaign finance practices, and to provide for disclosure of economic interests and to administer the lobbyist portion of the Ethics Reform Act of 1991.	service not provided.  Lack of training could result in increased Ethics Act violations leading to increased enforcement efforts and costs.	during FV2023 No Change	services Updated accountability portal launched.
Training in Ethics Reform Act	Any person under the jurisdiction of the State Ethics Commission. The Commission partners with the School Board Association, Municipal Association, Association of Counties, Councils of Government, and any other organization to which the Ethics Reform Act applies.	Any person under the Commission's jurisdiction.	All South Carolinians.	Administration	Created by Section 8-13-310 to establish and enforce certain rules of ethical conduct for public officials and employees, to establish rules for election campaign finance practices, and to provide for disclosure of economic interests and to administer the lobbyist portion of the Ethics Reform Act of 1991.	Lack of training could result in increased Ethics Act violations leading to increased enforcement efforts and costs.	No Change	
Training in Ethics Reform Act	Any person under the jursidiction of the State Ethics Commission. The Commission partners with the School Board Association, Municipal Association, Association of Counties, Councils of Government, and any other organization to which the Ethics Reform Act applies.	Any person under the Commission's jurisdiction.	All South Carolinians.	Administration	Created by Section 8-13-310 to establish and enforce certain rules of ethical conduct for public officials and employees, to establish rules for election campaign finance practices, and to provide for disclosure of economic interests and to administer the lobbyist portion of the Ethics Reform Act of 1991.	Lack of training could result in increased Ethics Act violations leading to increased enforcement efforts and costs.	Amend	Training portal launched.
Online support to help file required reports	Any required filer.	Required filers.	All South Carolinians.	Administration	Created by Section 8-13-310 to establish and enforce certain rules of ethical conduct for public officials and employees, to establish rules for election campaign finance practices, and to provide for disclosure of economic interests and to administer the lobbyist portion of the Ethics Reform Act of 1991.	Lack of assistance could result in increased Ethics Act violations leading to increased enforcement efforts and costs.	No Change	Video tutorials and tech support added.
Online support to help file required reports	Any required filer.	Required filers.	All South Carolinians.	Administration	Created by Section 8-13-310 to establish and enforce certain rules of ethical conduct for public officials and employees, to establish rules for election campaign finance practices, and to provide for disclosure of economic interests and to administer the lobbyist portion of the Ethics Reform Act of 1991.	Lack of assistance could result in increased Ethics Act violations leading to increased enforcement efforts and costs.	No Change	Video tutorials and tech support added.

Description of Service	Description of Direct Customer	Customer Name	Others Impacted by Service	Division or major organizational unit providing the service.	organizational unit providing the service.	Changes made to services during FY2023	Summary of changes to services
Online support to help file required reports	Any required filer.	Required filers.	All South Carolinians.	Administration		Ü	Video tutorials and tech support added.
New Candidate Training	Individuals who have filed to run for office.	Candidates	General public			No Change	

# Partnerships Data

as submitted for the Accountability Report by:

Type of Partner Entity	Name of Partner Entity	Description of Partnership	Change to the partnership during the past fiscal year	
State Government	SC Attorney General's Office	Assist with investigations as needed and refer for prosecution when appropriate	No Change	
State Government	SC Department of Revenue	Participate in DOR's Setoff Debt and Governmental Entity Accounts Receivable (GEAR) programs to assist with debt collection.	No Change	
State Government	SC Division of Technology	IT services and network security	No Change	
State Government	SC State Election Commission	Share information regarding elections and candidates for public office (Candidates' Rosters, Cancellation of Elections, Statements of Intention of Candidacy)	No Change	
Local Government	Solicitor's Offices	Refer for prosecution when appropriate	No Change	
Private Business Organization	South Carolina Interactive, LLC.	Host website and maintain/update Online Filing System for candidates, elected officials, appointed officials, and public employees	No Change	
State Government	State Law Enforcement Division (SLED)	Assist with investigations as needed	No Change	

## Reports Data

as submitted for the Accountability Report by:

R	port Name	Law Number (if applicable)	Date of most recent submission DURING the past fiscal year	Reporting Frequency	Type of entity/entities	Method to access the report	Direct access hyperlink or agency contact (if not provided to LSA for posting online)	Changes to this report during the past fiscal year	Explanation why a report wasn't submitted
	one Reported								

AGENCY NAME:	State Ethics Commission		
<b>AGENCY CODE:</b>	R520	SECTION:	110

2023 **Accountability Report** 

## **SUBMISSION FORM**

I have reviewed and approved the data submitted by the agency in the following templates:

- Data Template
  - o Reorganization and Compliance
  - o FY2023 Strategic Plan Results
  - o FY2024 Strategic Plan Development
  - o Legal
  - o Services
  - o Partnerships
  - o Report or Review
  - o Budget
- Discussion Template
- Organizational Template

I have reviewed and approved the financial report summarizing the agency's budget and actual expenditures, as entered by the agency into the South Carolina Enterprise Information System.

The information submitted is complete and accurate to the extent of my knowledge.

AGENCY DIRECTOR (SIGN AND DATE):	SIGNATURE ON FILE	Signature Received: 10/06/2023 3:24 PM			
(TYPE/PRINT NAME):	(TYPE/PRINT NAME): Meghan Walker Dayson				
BOARD/CMSN CHAIR (SIGN AND DATE):	SIGNATURE ON FILE	Signature Received: 10/06/2023 3:24 PM			
(TYPE/PRINT NAME):	Scott Frick				