SENATE CONFIRMATION
CONFIDENTIAL PERSONAL DATA QUESTIONNAIRE

NOTICE: The information requested herein is needed to assist the Senate in its screening of candidates. This document is made available to and used by the appropriate Committee of jurisdiction and is not made public. Since this questionnaire is the initial step in the appointment and confirmation process, it should be returned to the Governor's Office as soon as possible. Please use additional sheets as necessary to complete this document.

Office or Seat to which you are being appointed:

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1. NAME: Mr.
Ms. Catherine E. Heigel

HOME ADDRESS:

BUSINESS ADDRESS: 200 E. Broad St., Suite 500
Greenville, SC 29601

RESIDE IN SENATE DISTRICT#: 8 CONGRESSIONAL DISTRICT#: 24

3. Are you a citizen of South Carolina? Have you been a resident of this state for at least the immediate past 5 years? I am a citizen of South Carolina. I have resided in SC from 7/1970 - 1/1981; 8/1988 - 7/1992; 5/1995 - 11/1996; 8/2010 - 6/2012; 6/2013 to present.

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EXHIBIT AD2
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7. List each college and graduate or professional school you attended, including the dates of your attendance, the degrees you received, and if you left an institution without receiving a degree, the reason for your departure.

(1) University of South Carolina (8/1988-5/1992), BA with Honors
(2) Universiteit van Amsterdam (Fall 1989 - Study abroad semester)
(3) The Ohio State University School of Law (8/1992-5/1995), JD
(4) Oxford University (Summer 1993 - Study abroad)
(5) UNC Kenan-Flagler Business School (June 2009), Duke Energy Leadership Program
(6) The Wharton School (July 2011), Advanced Management Program

8. List the states in which you have been licensed and/or admitted to a professional practice and the year of each license and/or admission. Also, list any states in which you took a professional license exam, but were never admitted to the practice. If you took an exam more than once in any of the states listed please indicate the number of times you took the exam in each state.

Licenses to practice law:

(1) South Carolina, 1996
(2) North Carolina, 1996
(3) Georgia, 1997 (inactive)
(4) Ohio, 2003 (inactive)
(5) New York, 2010

10. Briefly describe any continuing education during the past five years.

Compliance with annual continuing legal education requirements, as well as business management courses at Kenan-Flagler Business School and The Wharton School as set forth in paragraph 7 above.

11. List all published books and articles you have written and give citations and dates of publication for each.

Published, "Independent Insurance Agents of America, Inc. v. Ludwig and Variable Annuity Life Insurance Co. v. Clarke: The Banking-Insurance War in Search of a Judicial Truce," 55 Ohio St. L.J. 929 (1994)

12. If an attorney, list all courts in which you have been admitted to practice and list the dates of your admission. Give the same information for administrative bodies which require a special admission to practice.

Admitted to practice before the state courts of general admission in the states listed in paragraph 8 above.

13. Have you ever held public office? If so, list the periods of your service, the office or offices involved, and whether you were elected or appointed.

No.

14 Have you ever been an unsuccessful candidate for elective, judicial, or other public office? If so, give details, including dates.

No.

15. Since completing your education, list any occupation, business, or profession in which you have been engaged other than holding public office. Give details, including a description of your occupation, business, or profession, the dates of your employment, and the name of your business or employer.

See attached.

16. Are you now an officer or director or involved in the management of any business enterprise? Explain the nature of the business, your duties, and the term of your service.

Yes. I am Corporate Strategies Officer for Elliott Davis, LLC. See attachment to paragraph 15 for a description of duties and tenure of service.

18. Describe any financial arrangements or business relationships which you have, or have had in the past, that could constitute or result in a possible conflict of interest in the position you seek. Explain how you would resolve any potential conflict of interest.

See attachment.

30. List all professional organizations of which you are a member and give the titles and dates of any offices you have held in such groups.

See attachment to paragraph 15.

31. List all civic, charitable, educational, social, and fraternal organizations of which you are or have been a member during the past five years and include any offices held in such a group, any professional honors, awards, or other forms of recognition received and not listed elsewhere.

See attachment to paragraph 15.

32. List any local, county or statewide board, commission, council or other body on which you currently serve which constitutes the holding of an office under the provisions of Article VI, Section 3 of the South Carolina Constitution, to wit:

> No person may hold two offices of honor or profit at the same time. This limitation does not apply to officers in the militia, notaries public, members of lawfully and regularly organized fire departments, constables, or delegates to a constitutional convention.

None.

34. List the **names**, **addresses** and **telephone numbers** of five persons, including your banker, who will provide letters of reference. Letters should be *addressed* the South Carolina Senate and must be mailed with your completed application to: Office of the Governor, ATTN: Madison Walker, 1205 Pendleton Street, Columbia, SC 29201.

(a) Amanda McClellan, Wells Fargo Personal Banker,

(b) Ambassador David H. Wilkins, Nelson/Mullins,

(c) Mark Robertson, The Nature Conservancy, SC Chapter,

(d) Anita Zucker, The InterTech Group,

(e) Ellen Ruff, McGuire Woods,

YOUR SIGNATURE WILL BE HELD TO CONSTITUTE A WAIVER OF THE CONFIDENTIALITY OF ANY PROCEEDING BEFORE ANY PROFESSIONAL GRIEVANCE COMMITTEE OR ANY INFORMATION CONCERNING YOUR CREDIT.

I HEREBY CERTIFY THAT MY ANSWERS ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Date: 1-10-2014 Signature: Catherine E. Haigh

Senate Confirmation Confidential Personal Data Questionnaire

ATTACHMENT TO PARAGRAPH 15

CATHERINE E. HEIGEL
Office: 864.242.2669
Mobile: 864.360.0692
Email: cheigel@elliottdavis.com

Professional Experience

ELLIOTT DAVIS, LLC, Greenville, SC
Corporate Strategies Officer, August 2013 to present
Responsibilities include serving as an in-house business and management advisor to Elliott Davis, a full service tax, accounting and business advisory firm headquartered in South Carolina, in the areas of strategic planning, mergers and acquisitions, enterprise risk management, and economic development. Further responsibilities include serving as an external consultant for new and existing clients of Elliott Davis in the areas of strategic planning, sustainable business practices, and enterprise risk management.

AMERICAN TRANSMISSION COMPANY, Waukesha, WI
Executive Vice President, General Counsel and Corporate Secretary, June 2012 to July 2013
Responsibilities included management of the legal, insurance/claims, corporate records and state regulatory affairs functions of American Transmission Company, a transmission-only electric utility based in Wisconsin with annual operating revenues of approximately $600 million and total capitalization of approximately $3.5 billion. Corporate secretarial duties included preparing board meeting materials and minutes, annual shareholder meeting and election materials, capital call requests, and providing general corporate governance oversight. Further executive responsibilities included serving on the company's Policy and Ethics Committee and Employee Benefits and Compensation Committee. Ongoing legal support by the Office of General Counsel included:

- Commercial transactions (*e.g.* acquisitions, supply chain support, and counsel for project and joint venture companies, including Duke-American Transmission Company, LLC (a Duke Energy / American Transmission Company joint venture))
- Federal Energy Regulatory Commission (FERC) litigation and rulemakings
- State regulatory filings before state public utilities commissions
- Employment matters and general litigation
- Local, state and federal compliance matters

DUKE ENERGY CORPORATION, Charlotte, NC
President, Duke Energy South Carolina, March 2010 to June 2012
Responsibilities included overall accountability for the company's jurisdictional returns in South Carolina, as well as management of the legislative affairs, community and governmental relations, regulatory affairs, economic development, hydroelectric relicensing and lake services, and statewide strategy for Duke Energy's South Carolina operations. Significant company initiatives included:

- Establishing Duke Energy's South Carolina President's organization in 2010
- Successfully prosecuting a general rate case in 2011 to increase the base rates and charges for the company's South Carolina retail electric consumers
- Continued development of a new nuclear generation facility in Gaffney, South Carolina
- Negotiations to conduct due diligence for the acquisition of a possible minority ownership interest in VC Summer Nuclear Station Units 2 and 3 being developed by South Carolina Electric & Gas Company and Santee Cooper
- Keowee-Toxaway and Catawba-Wateree river basins FERC relicensing work to support Duke Energy's continued plant operations on these basins

Special Advisor to the Chairman, February 2010
General responsibilities included assisting the Chairman/CEO in formulating policy positions and directing strategic initiatives in consultation with CEO staff, board members and external stakeholders. Work involved (1) tracking relevant state and federal legislative issues; (2) assessing strategic opportunities; and (3) preparing issue briefs, summaries, and other materials to guide development of the CEO's positions.

Associate General Counsel, State Regulatory Group, December 2006 – January 2010
General responsibilities included advising Duke Energy's senior management on regulatory and compliance matters and representing Duke Energy in proceedings before state utility commissions. Work involved (1) managing large interdisciplinary teams for general rate and regulatory filings; (2) managing relationships with state regulators and key stakeholders; and (3) preparing and litigating case filings, including development of case strategy, drafting pre-filed testimony, motions, legal briefs, and conducting witness examinations. Projects included:

- Lead counsel for Duke Energy's energy efficiency ("save-a-watt") state regulatory initiative in South Carolina and co-counsel on the save-a-watt filings in Ohio, Indiana, Kentucky, and North Carolina
- Lead counsel for Duke Energy's state regulatory proceedings in South Carolina relating to its proposed new nuclear facility in Gaffney, South Carolina
- Lead counsel for Duke Energy Carolinas' 2009 general rate proceeding in South Carolina and co-counsel on the company's 2009 general rate proceeding in North Carolina
- Lead counsel for Duke Energy Carolinas 2006-07 biennial affiliate transactions audit
- Primary responsibility for overseeing and certifying compliance with state regulatory conditions for Duke Energy Carolinas

Senior Counsel, Commercial Transactions Group, March 1997 – September 2002
General responsibilities included providing commercial transactional legal support for Duke Engineering & Services, Inc. (DE&S), a non-regulated engineering consulting services subsidiary of Duke Energy, and Duke Power, a regulated electric utility. General responsibilities included drafting and negotiating domestic and international engineering, procurement and construction contracts, asset purchase agreements, consulting services agreements, teaming agreements, and joint venture agreements, as well as ensuring compliance with the Foreign Corrupt Practices Act, local licensing requirements, and state affiliate transactions restrictions. Special assignments included:

- Primary responsibility for establishing and providing legal support to DE&S' Peruvian and Czech Republic operations
- Primary responsibility for providing compliance training to DE&S' domestic employees

LAW FIRM OF KOCHER & GILLUM, Port Clinton, OH
Counsel, March 2003 – November 2006
Small private legal practice focused on general commercial transactions, including small business sales and acquisitions, and real estate transactions.

SOUTH CAROLINA CONSUMER ADVOCATE, Columbia, SC
Staff Attorney, September 1995 - November 1996
General responsibilities included representing the public interest in insurance and utility rate proceedings before the Public Service Commission of South Carolina and the Administrative Law Division.

Education

UNIVERSITY OF PENNSYLVANIA, THE WHARTON SCHOOL, Philadelphia, PA, Advanced Management Program, July 2011

UNIVERSITY OF NORTH CAROLINA, KENAN-FLAGLER BUSINESS SCHOOL, Chapel Hill, NC, Executive Development, Duke Energy Strategic Leadership Program, June 2009

THE OHIO STATE UNIVERSITY SCHOOL OF LAW, Columbus, OH
Juris Doctor, May 1995

- The Ohio State Law Journal, Associate Editor (1993 - 1995)
- Published, "*Independent Insurance Agents of America, Inc. v. Ludwig and Variable Annuity Life Insurance Co. v. Clarke*: The Banking – Insurance War in Search of a Judicial Truce, 55 Ohio St. L. J. 929 (1994)

OXFORD UNIVERSITY, Oxford, England
Summer Law Programme, 1993

THE UNIVERSITY OF SOUTH CAROLINA, Columbia, SC
Bachelor of Arts with Honors, *Magna Cum Laude*, May 1992

- International Studies Major; German Minor
- Department of International Studies Top Student Award (1992)
- Alumni Scholar (1988 – 1992)
- Hoechst German Scholar (1990 – 1992)
- Mortar Board, President (1991 – 1992)
- Omicron Delta Kappa
- Phi Beta Kappa

State Bar Admissions
North Carolina, South Carolina, Georgia (inactive), Ohio (inactive), and New York

Board Memberships, Professional Associations, and Committees
University of South Carolina Capital Campaign Committee, Vice Chairperson
University of South Carolina Honor's College Partnership Board, Member
The Original Six Foundation, Trustee
McKinney for Lt. Governor Statewide Executive Committee, Member
Attorney General-Elect Alan Wilson's Transition Committee, Member, Nov. 2010 – Jan. 2011
American Bar Association, Member
North Carolina Bar Association, Member

Recognitions
2008 Duke Energy Annual Report – Employee Feature
2010 James B. Duke Award (highest employee recognition award at Duke Energy)
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ATTACHMENT TO PARAGRAPH ~~17~~ 18

18. Describe any financial arrangements or business relationships which you have, or have had in the past, that could constitute or result in a possible conflict of interest in the position you seek. Explain how you would resolve any potential conflict of interest.

Response:

During my employment with Duke Energy I was privy to and participated in confidential discussions relating to Duke Energy's potential participation in the development of the two new units at the VC Summer Nuclear Station ("New Units). Although I no longer own any Duke Energy stock directly and have not had access to information about the parties' continued discussions since June 2012, I would propose to resolve any potential conflict of interest or appearance of impropriety by abstaining from any board discussions and votes pertaining to a possible commercial transaction between Duke Energy and the South Carolina Public Service Authority ("Santee Cooper") for a purchase and sale of a portion of Santee Cooper's ownership interest in the New Units. I believe that recusal would only be required on the decision to enter into a purchase and sale contract and that I would not need to be recused regarding matters that might arise after any such contract is executed.