

Session 108 - (1989-1990)

S 1314 General Bill, By M.B. Williams

A Bill to amend Section 35-1-20, Code of Laws of South Carolina, 1976, relating to definitions in regard to the Uniform Securities Act, so as to define the term "investment adviser representative", to amend Section 35-1-310, relating to exempt securities, so as to further provide for certain securities which are exempt, to amend Sections 35-1-420, 35-1-440, 35-1-510, 35-1-520, 35-1-550, 35-1-560, 35-1-570, and 35-1-580 of the 1976 Code, relating to the registration of broker-dealers, agents, investment advisers, and certain procedures for and requirements of registration, so as to further provide for these registration requirements and for the registration of investment adviser representatives, and to amend Section 35-1-480, relating to registration fees, Section 35-1-900, relating to filing fees for registration statements, and Section 35-1-980, relating to amended registration statements and the filing fees for the amended statements, so as to revise these fees.

02/22/90 Senate Introduced and read first time SJ-6

02/22/90 Senate Referred to Committee on Banking and Insurance

03/14/90 Senate Recalled from Committee on Banking and Insurance SJ-17

03/27/90 Senate Read second time SJ-37

03/28/90 Senate Read third time and sent to House SJ-211

03/29/90 House Introduced and read first time HJ-6

03/29/90 House Referred to Committee on Labor, Commerce and Industry HJ-7

05/16/90 House Committee report: Majority favorable with amend., minority unfavorable Labor, Commerce and Industry HJ-6