

Session 110 - (1993-1994)

H*3870 (Rat #0124, Act #0265 of 1993) Joint Resolution, By House Labor, Commerce and Industry

A Joint Resolution to approve regulations of the Secretary of State, Securities Division, relating to custody of client's funds or securities by investment advisers, designated as Regulation Document Number 1510, pursuant to the provisions of Article 1, Chapter 23, Title 1 of the 1976 Code.

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|-----------------|---------------|--|
| 04/07/93 | House | Introduced, read first time, placed on calendar without reference HJ-11 |
| 04/15/93 | House | Read second time HJ-21 |
| 04/20/93 | House | Read third time and sent to Senate HJ-12 |
| 04/21/93 | Senate | Introduced and read first time SJ-7 |
| 04/21/93 | Senate | Referred to Committee on Banking and Insurance SJ-7 |
| 04/28/93 | Senate | Recalled from Committee on Banking and Insurance SJ-8 |
| 04/29/93 | Senate | Read second time SJ-30 |
| 05/04/93 | Senate | Read third time and enrolled SJ-11 |
| 05/11/93 | | Ratified R 124 |
| 05/14/93 | | Signed By Governor |
| 05/14/93 | | Effective date 05/14/93 |
| 05/26/93 | | Act No. 265 |
| 05/26/93 | | Copies available |