

Session 110 - (1993-1994)

S*0526 (Rat #0089, Act #0251 of 1993) Joint Resolution, By Senate Banking and Insurance

A Joint Resolution to approve regulations of the Secretary of State (Securities Division), relating to dishonest or unethical practices by broker-dealers and agents, designated as Regulation Document Number 1511, pursuant to the provisions of Article 1, Chapter 23, Title 1 of the 1976 Code.

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|-----------------|---------------|---|
| 03/09/93 | Senate | Introduced, read first time, placed on calendar without reference SJ-9 |
| 03/11/93 | Senate | Read second time SJ-27 |
| 03/11/93 | Senate | Unanimous consent for third reading on next legislative day SJ-27 |
| 03/12/93 | Senate | Read third time and sent to House SJ-1 |
| 03/16/93 | House | Introduced and read first time HJ-10 |
| 03/16/93 | House | Referred to Committee on Labor, Commerce and Industry HJ-10 |
| 04/07/93 | House | Committee report: Favorable Labor, Commerce and Industry HJ-6 |
| 04/14/93 | House | Debate adjourned until Thursday, April 15, 1993 HJ-82 |
| 04/15/93 | House | Read second time HJ-15 |
| 04/20/93 | House | Read third time and enrolled HJ-13 |
| 05/11/93 | | Ratified R 89 |
| 05/13/93 | | Signed By Governor |
| 05/13/93 | | Effective date 05/13/93 |
| 05/27/93 | | Act No. 251 |
| 05/27/93 | | Copies available |