## Session 110 - (1993-1994)

**S*0665 (Rat #0520, Act #0466 of 1994) General Bill, By Leventis**

A Bill to amend Section 35-1-440, as amended, Code of Laws of South Carolina, 1976, relating to applications for registration of a securities broker-dealer, agent, investment advisor, or investment advisor representative, so as to require a criminal record history from the South Carolina Law Enforcement Division at the rate provided by law for all initial applicants who are not members of the National Association of Securities Dealers and require all misdemeanor activities involving securities and all felonies within ten years of the date of the application to be noted on the registration, to amend Section 40-68-40, relating to qualifications of a controlling person for purposes of staff leasing services, so as to require fingerprinting of each applicant and controlling person and provide for examination of law enforcement records only if necessary, and to amend Section 33-15-103, as amended, relating to a foreign corporation's certificate of authority to transact business in this State, so as to delete the requirement that the application for the certificate must be signed by an attorney licensed to practice in this State.-amended title

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| **04/13/93** | **Senate** | **Introduced and read first time SJ-7** |
| **04/13/93** | **Senate** | **Referred to Committee on Banking and Insurance SJ-7** |
| **03/30/94** | **Senate** | **Committee report: Favorable with amendment Banking and Insurance SJ-16** |
| **03/31/94** | **Senate** | **Amended SJ-315** |
| **03/31/94** | **Senate** | **Read second time SJ-315** |
| **04/05/94** | **Senate** | **Read third time and sent to House SJ-11** |
| **04/06/94** | **House** | **Introduced and read first time HJ-33** |
| **04/06/94** | **House** | **Referred to Committee on Labor, Commerce and Industry HJ-33** |
| **05/04/94** | **House** | **Committee report: Favorable Labor, Commerce and Industry HJ-10** |
| **05/25/94** | **House** | **Amended HJ-16** |
| **05/25/94** | **House** | **Debate adjourned until Thursday, May 26, 1994 HJ-17** |
| **05/26/94** | **House** | **Amended HJ-41** |
| **05/26/94** | **House** | **Read second time HJ-41** |
| **05/26/94** | **House** | **Unanimous consent for third reading on next legislative day HJ-42** |
| **05/27/94** | **House** | **Read third time and returned to Senate with amendments HJ-1** |
| **05/31/94** | **Senate** | **Concurred in House amendment and enrolled SJ-10** |
| **06/02/94** | | **Ratified R 520** |
| **07/14/94** | | **Signed By Governor** |
| **07/14/94** | | **Act No. 466** |
| **07/14/94** | | **See act for exception to or explanation of effective date** |
| **07/26/94** | | **Copies available** |