

AGENCY NAME:	State Fiscal Accountability Authority		
AGENCY CODE:	E550	SECTION:	104

**Fiscal Year 2015-16
Accountability Report**

SUBMISSION FORM

AGENCY MISSION	The mission and purpose of the Agency is to provide fiscal oversight to the State of South Carolina and to meet the needs of the public sector by delivering quality, cost-effective insurance, procurement, and engineering services.
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AGENCY VISION	To be known as an Agency that is responsive, provides exceptional customer service, and delivers products at an outstanding value.
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Please state yes or no if the agency has any major or minor (internal or external) recommendations that would allow the agency to operate more effectively and efficiently.

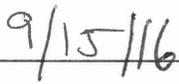
RESTRUCTURING RECOMMENDATIONS:	No
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Please identify your agency's preferred contacts for this year's accountability report.

	<u>Name</u>	<u>Phone</u>	<u>Email</u>
PRIMARY CONTACT:	Grant Gillespie	803-734-9414	ggillespie@sfaa.sc.gov
SECONDARY CONTACT:	Jane Francis	803-737-0867	jfrancis@sfaa.sc.gov

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I have reviewed and approved the enclosed FY 2015-16 Accountability Report, which is complete and accurate to the extent of my knowledge.

AGENCY DIRECTOR (SIGN AND DATE):	 		
(TYPE/PRINT NAME):	Grant Gillespie, Executive Director		

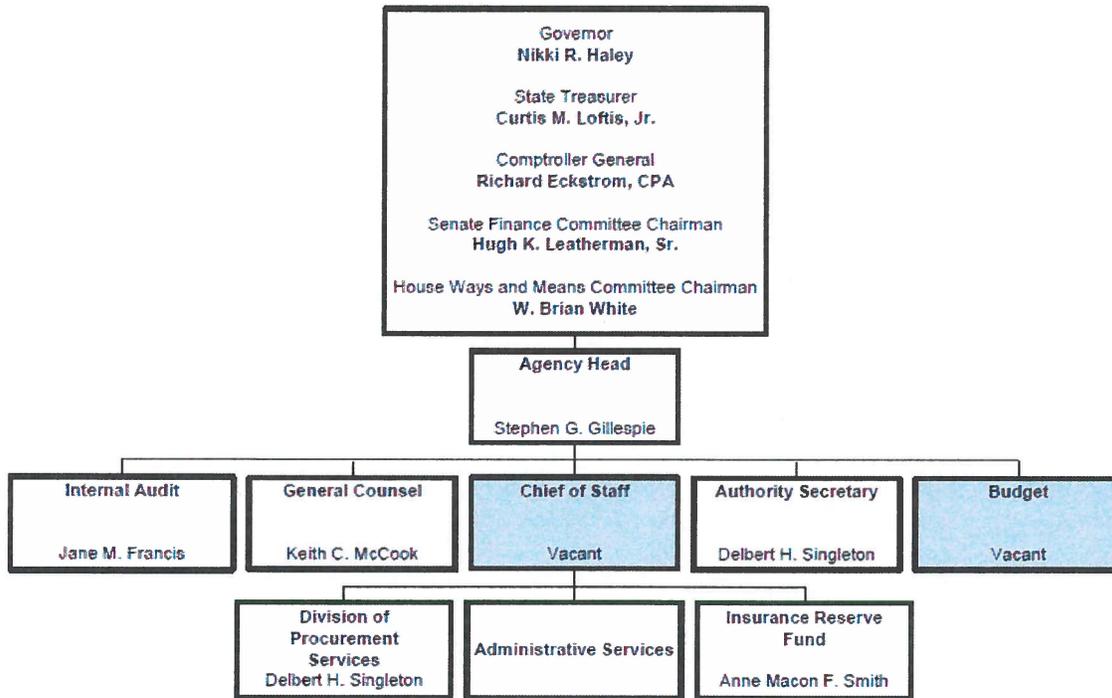
BOARD/CMSN CHAIR (SIGN AND DATE):			
(TYPE/PRINT NAME):			

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AGENCY'S DISCUSSION AND ANALYSIS

Introduction

The State Fiscal Accountability Authority (SFAA) was established by the Restructuring Act of 2014, Act 121, and effective July 1, 2015. The Insurance Reserve Fund (IRF) and the Division of Procurement Services (DPS) were transferred from the former Budget and Control Board, to the new Agency, SFAA. The Authority is composed of five members who serve ex-officio: the Governor, the Treasurer, the Comptroller General, the Chairman of the Senate Finance Committee, and the Chairman of the House Ways and Means Committee. The administrative and operational functions of the Agency are managed by an Executive Director appointed by the Authority.



The Core Values listed below support the Vision, shape the culture, and reflect the actions of SFAA.

- Ethical—Honesty, Fairness, Integrity, Respect, and Loyalty
- Accountability—Leadership, Responsibility, and Transparency
- Professionalism—Committed and well-trained Workforce
- Quality Customer Service—Exceptional and Responsive
- Innovation—Adaptable and Proactive

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Major Accomplishments during Fiscal Year 2015-16

Administration:

1. **Management Meetings held to address transition matters**
 Fiscal year 2015-16 was the first year of operations for SFAA as an Agency. The Senior Management team implemented strategies for transition from the Budget and Control Board to SFAA and held weekly meetings to ensure appropriate matters were being addressed.

2. **Preparation and Submission of the Fiscal Year 2014-15 Accountability Report**
 The Senior Management team worked together to develop the initial Mission, Vision, Strategic Plan and Performance Measures for SFAA. The Accountability Report was prepared and submitted by the requested deadline.

3. **Operational Procedures developed**
 Human Resources Policies and Procedures were developed and acknowledged by staff. Budget and Finance Procedures were also developed. Certain other procedures were written and are in draft form.

4. **Code of Conduct document developed and training conducted**
 All SFAA staff completed the Code of Conduct training. In addition, the Code of Conduct document was developed regarding conduct for SFAA employees. SFAA General Counsel was appointed as the Agency Ethics Officer.

5. **Executive Director appointed**
 On December 15, 2015, the five-member Authority appointed Grant Gillespie as the first permanent Executive Director for SFAA. Director Gillespie continued the work of the former Interim Director, David Avant, and also introduced new priorities for the Agency.

6. **Information Security and Privacy Implementation**
 A priority for the Executive Director is the Information Security and Privacy Implementation. Roles and responsibilities for SFAA were defined; an Information and Security and Privacy Policy team was formed; the thirteen statewide policies were adopted and updated for SFAA and in accordance with the Division of Information Security Standards; a Gap analysis and Remediation Plan were performed; and a Policy Implementation Plan was submitted to the Division of Information Security by June 30, 2016. Currently, the Policy team is developing procedures for policy implementation to further ensure the security and privacy of SFAA information.

 All SFAA staff received the Cyber Security Awareness Training.

7. **SFAA Connection Intranet Developed**
 The SFAA Office of Information Technology developed the SFAA Connection Intranet. This internal site is accessible only to SFAA employees while at work and greatly enhances the Agency’s ability to communicate information to staff.

8. **Strategic Planning Initiative**
 The SFAA Management Team held a retreat for a half day to reflect on activities for the first year and to look forward on what the team would like to become and improve as an Agency. Purpose statements for the Agency were developed, and the top choice was selected by the SFAA staff to update the Mission Statement. Strategies will continue to evolve as the Agency matures.

Major Accomplishments during Fiscal Year 2015-16 (continued)**Insurance Reserve Fund (IRF):****1. Flood catastrophe (CAT) loss--October 2015**

- a. Handled the first major claims CAT loss since Hurricane Hugo.
- b. Prior planning and implementation of IRF CAT plan assisted in the successful allocation of resources.
- c. Over \$12,500,000 in payments on claims were adjusted and paid by June 2016.
- d. The IRF will recover from reinsurance markets in excess of our \$10,000,000 Self Insured Retention, with an estimated recovery of \$3,000,000.
- e. Impacted policy holders received support from IRF staff on ways to expedite filing of claims. Ninety-seven percent of all flood claims were paid by July 1, 2016, and all claims are projected to be paid within one year of the event.

2. Property Reinsurance – Due to a combination of

- a. A significant improvement in the quantity and quality of data points collected and reported in IRF statement of values,
- b. Market conditions,
- c. IRF handling of 2015 flood event, and
- d. The opportunity for the IRF staff to meet face to face with London, Bermuda, and domestic reinsurance markets in Charleston, SC this year,

The IRF was successful in purchasing reinsurance capacity to protect against a 100 year storm at a lower cost.

3. Hosted the following training events

- a. IRF Annual Law Enforcement Seminar-November 2015
- b. Four Risk Management Seminars covering:
 - i. Ten indicators of a well-managed property
 - ii. Eight loss prevention practices one should be doing
- c. Seminar for ocean marine policyholders on the subject of pollution liability
- d. Driver improvement program:
 - i. IRF taught 300 drivers
 - ii. Through the train the trainer program, educated 5,737 drivers, statewide

4. Attended the following training events

- a. Public Employment Law Seminar-December 2015 and May 2016
- b. Tort Claim Act training-May 2016
- c. Sexual harassment claims training-April 2016
- d. Quarterly district claims meetings-September 2015 and May 2016
- e. American Society for Healthcare Risk Management National Conference-October 2015
- f. South Carolina Hospital Association seminars
- g. Online Property & Liability Resource Bureau training

5. Management of the Second Injury Fund

- a. Administered the third of five planned assessments collecting 100% of the \$60,000,000 assessment in FY16.
- b. Processed reimbursement requests from carriers and self-insureds resulting in 3,025 total payments with a value of \$22,300,000.

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Major Accomplishments during Fiscal Year 2015-16 (continued)

Division of Procurement Services (DPS):

1. Completed Business Process Analysis

Received and implementing recommendations from a national consulting firm’s study to increase efficiencies and improve the overall operation and strategic operation of the Division.

2. Provide cost savings

Utilized competitive bidding practices and negotiations resulting in further price reductions exceeding \$26,000,000.

3. Improving services to agencies, business partners, and improving process times

Submitted recommendations for changes in statutes, policies, and procedures governing state procurement activities required by the Restructuring Act of 2014.

4. Strategic Sourcing and Spend Analysis

Initiated both of these practices in accordance with the Restructuring Act of 2014, allowing for greater leveraging of the State’s buying power to benefit taxpayers.

5. October 2015 Flood response

Provided support to the South Carolina Emergency Management Division by way of conducting emergency procurements on behalf of Emergency Support Function (ESF) 7 (logistics) and staffing and coordinating response of ESF-3 (public works).

6. Audit and Certification Data

Completed audits of 12 agencies, and 20 audits were in process as of the fiscal year ending June 30, 2016. These 32 audits consisted of 22 agencies for higher procurement certifications over the basic statutory limit, 7 agencies that did not seek higher procurement certifications, and 3 statutorily required audits.

7. Office of State Engineer Data

Provided services to 34 agencies for 126 new Permanent Improvement Projects; approved contract awards of 278 Architect-Engineer procurements and 157 construction procurements; issued building permits for 70 projects, certificates of occupancy for 75 projects, and contract awards totaling \$615,724,159.

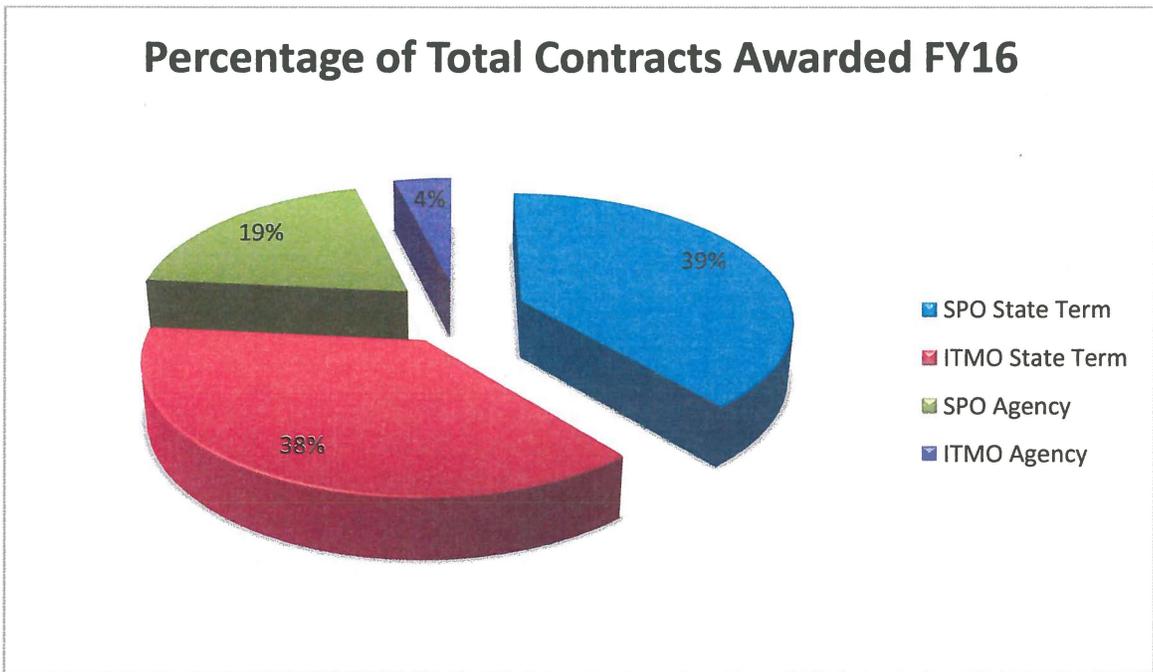
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Major Accomplishments during Fiscal Year 2015-16 (continued)

Division of Procurement Services (DPS) (continued):

8. State Procurement (SPO) and Information Technology Offices (ITMO) Data

	<u>State Term Contracts</u>		<u>Agency Contracts</u>		<u>Total Contracts Awarded</u>	
SPO	143	\$ 696,475,128	243	\$ 348,460,436	386	\$ 1,044,935,564
ITMO	215	\$ 673,817,955	28	\$ 71,202,049	243	\$ 745,020,004
Total	358	\$ 1,370,293,083	271	\$ 419,662,485	629	\$ 1,789,955,568



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Risk Assessment and Mitigation Strategies

Failure by the agency’s two major programs to accomplish their goals and objectives (as opposed to the performance measures) would be tantamount to a failure of those programs to function.

If the IRF did not operate, the State should expect to pay more to insure against its risks, with some agencies likely having difficulty obtaining any coverage from a private insurance carrier because of poor loss experience and the nature of the risks involved, such as law enforcement, corrections and transportation. Also, absent the stability of IRF rates, the State should expect significant swings in premium costs due normal cyclical changes in the commercial insurance market.

In the absence of a central procurement office, the State would lack a coordinated program to lower costs by leveraging our collective buying power. Statewide contracts would not be awarded, resulting in both higher prices and increased administrative costs. Agencies would have to establish all their own contracts without the assistance of properly trained staff and standardized contract terms. Industry would have no unified point of contact for either notice of contracting opportunities or engagement regarding the public contracting process. No one would train agency procurement staff, establish common policy and procedures, or audit for compliance. Absent the State Engineer’s Office, plans for state buildings (dormitories, classrooms, etc.) would not be reviewed for compliance with life, safety, and property protection (e.g., fire) codes, and occupancy inspections, which are conducted to confirm new construction is code compliant and safe to occupy, would not be performed.

The agency engages outside help to avoid and mitigate such risks. DPS has engaged a national consulting firm to identify measures for increased efficiencies and improved operations. DPS is currently implementing those recommendations. IRF undergoes regular audits and actuarial evaluations. Risks to these programs could be avoided through continued oversight, proper funding, and support for existing improvement efforts.

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Strategic Planning Template

Type	Goal	Item # Strat	Object	Associated Enterprise Objective	Description
G	1			Government and Citizens	Manage the Agency resources to ensure efficient and effective operations
S		1.1			Deliver cost-effective and secure support services that enhance the business processes
<i>O</i>		<i>1.1.1</i>			<i>Ensure the Information Security and Privacy Procedures are developed and implemented</i>
<i>O</i>		<i>1.1.2</i>			<i>Continue development of the Agency operational procedures</i>
<i>O</i>		<i>1.1.3</i>			<i>Identify and provide the financial reporting needs for the Divisions and Offices</i>
S		1.2			Coordinate the Risk Management processes
<i>O</i>		<i>1.2.1</i>			<i>Provide efficient and high-quality Legal Services to the Authority and to the Agency</i>
<i>O</i>		<i>1.2.2</i>			<i>Conduct quality, risk-based Internal Audit Services which evaluate the business processes and assist Management in achieving its objectives</i>
<i>O</i>		<i>1.2.3</i>			<i>Keep the Executive Director informed of relevant risk issues and the status of the Internal Audit Plan</i>
S		1.3			Promote a positive work environment which develops staff and produces quality results
<i>O</i>		<i>1.3.1</i>			<i>Improve internal collaboration and information sharing</i>
<i>O</i>		<i>1.3.2</i>			<i>Establish a professional development training program</i>
<i>O</i>		<i>1.3.3</i>			<i>Update the SFAA Website to provide staff, customers, and the citizens of South Carolina the opportunity to better understand our Agency and how we can be of assistance</i>
G	2			Maintaining Safety, Integrity and Security	Provide cost-effective property and liability insurance and administration of the Second Injury Fund
S		2.1			Deliver appropriate insurance coverage based on underwriting criteria
<i>O</i>		<i>2.1.1</i>			<i>Provide insurance coverage for buildings and contents, tort and professional (malpractice) liability, vehicles and school buses to the State and all policy holders at the lowest possible cost</i>
<i>O</i>		<i>2.1.2</i>			<i>Issue coverage, deliver invoices, and collect policy premiums in a timely manner</i>
<i>O</i>		<i>2.1.3</i>			<i>Educate customers on services provided by IRF; conduct events providing insureds with information on insurance topics</i>
S		2.2			Protect the State against catastrophic losses
<i>O</i>		<i>2.2.1</i>			<i>Supply property reinsurance broker and reinsurance markets with current data regarding values of insured property to determine the appropriate level of property reinsurance</i>
<i>O</i>		<i>2.2.2</i>			<i>Purchase reinsurance to protect the State from catastrophic losses to buildings, property, vehicles, and boiler and machinery</i>
<i>O</i>		<i>2.2.3</i>			<i>Provide boiler inspections to minimize loss potential</i>
S		2.3			Pay claims timely and accurately
<i>O</i>		<i>2.3.1</i>			<i>Resolve claims within statutory framework and policy limits</i>
<i>O</i>		<i>2.3.2</i>			<i>Develop and train staff to ensure effective claims processing</i>

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Strategic Planning Template

Type	Goal	Item # Strat	Object	Associated Enterprise Objective	Description
S		2.4			Administer closure of the Second Injury Fund as directed by Section 42-7-320
<i>O</i>			2.4.1		<i>Perform annual assessment of workers compensation carriers in South Carolina to fund claims payments and Program operation</i>
<i>O</i>			2.4.2		<i>Review, adjust, and pay claims until all claims are closed</i>
G	3			Government and Citizens	Provide innovative, cost-effective, and proactive acquisition services
S		3.1			Manage and perform acquisitions for the State
<i>O</i>			3.1.1		<i>Partner with agencies to meet their procurement needs</i>
<i>O</i>			3.1.2		<i>Award and manage statewide procurements for supplies, services, and information technology</i>
<i>O</i>			3.1.3		<i>Achieve savings through assertive negotiations and related activities</i>
<i>O</i>			3.1.4		<i>Provide assistance and approval on construction projects</i>
<i>O</i>			3.1.5		<i>Employ Strategic Sourcing upon completion of the Initiative (3.3)</i>
S		3.2			Provide leadership and accountability of the State's procurement system
<i>O</i>			3.2.1		<i>Develop and maintain efficient, effective, and uniform practices and procedures</i>
<i>O</i>			3.2.2		<i>Provide cost-effective training to procurement professionals</i>
<i>O</i>			3.2.3		<i>Ensure transparency and integrity in the use of public funds by auditing expenditures and internal procurement processes of state agencies</i>
<i>O</i>			3.2.4		<i>Develop Small Purchase Template for use by state agencies</i>
<i>O</i>			3.2.5		<i>Guide and direct configuration of statewide automated procurement system</i>
<i>O</i>			3.2.6		<i>Ensure vendor contract compliance through an audit process</i>
<i>O</i>			3.2.7		<i>Solicit feedback to promote improvements</i>
S		3.3			Continue the Strategic Sourcing Initiative
<i>O</i>			3.3.1		<i>Develop Strategic Sourcing framework practices, forms, and materials</i>
<i>O</i>			3.3.2		<i>Analyze the state's current spending on various categories of goods and services</i>
<i>O</i>			3.3.3		<i>Identify the greatest opportunities to leverage the state's purchasing power</i>
<i>O</i>			3.3.4		<i>Prioritize the state's subsequent efforts to maximize achievable savings</i>
S		3.4			Conduct legislatively mandated procurements
<i>O</i>			3.4.1		<i>Finalize procurement for long-term solutions for securely housing/treating the Sexually Violent Predator Program at the SC Department of Mental Health</i>
<i>O</i>			3.4.2		<i>Report to legislature results of Recovery Audits of payments made by all state agencies for goods and services</i>
<i>O</i>			3.4.3		<i>Conduct procurement for Iran Divestment Act for continuing compliance</i>

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Performance Measurement Template

Item	Performance Measure	Target Value	Actual Value	Future Target Value	Time Applicable	Data Source and Availability	Calculation Method	Associated Objective(s)
Administration								
1	Strategic Plan for FY2016 developed	September 15, 2015	September 15, 2015	Not Applicable--new fiscal year	July 1 - June 30	Executive Management	Submitted to EBO; staff notified	1.1.3 (Prior Year)
2	Strategic Plan for FY2017 developed	June 30, 2016	August 31, 2016	Not Applicable as is an on-going process	July 1 - June 30	Executive Management; FY2016 Strategic Plan	Executive Director approval; staff notified	1.1.3 (Prior Year)
3	Human Resources Policies and Procedures developed	July 31, 2015	July 31, 2015	Not Applicable--Developed and will be updated as needed	July 1 - June 30	Human Resources	Employee Acknowledgement	1.1.4 (Prior Year)
4	Code of Conduct developed and on SFAA website	August 15, 2015	August 15, 2015	Not Applicable--Developed and will be updated as needed	July 1 - June 30	Human Resources; Executive Order	Employee Acknowledgement; Posted	1.1.4 (Prior Year)
5	Info Security Program Policies and Procedures developed, adopted and posted to SFAA website	June 30, 2016	InfoSec and Privacy Policies Developed and on SFAA Intranet -- June 13, 2016 Implementation Plan Developed-- June 30, 2016	See new Measure below (1) for Administration	July 1 - June 30	DIS Directive	Plan of action and milestones document per policy	1.1.4 (Prior Year)
6	Budget and Finance Procedures developed	October 30, 2015	December 19, 2015	Not Applicable--Developed and will be updated as needed	July 1 - June 30	Finance	Executive Director approval; staff notified	1.1.4 (Prior Year)
7	Agency communication plan and protocol developed	January 31, 2016	August 16, 2016	Not Applicable--Developed and will be updated as needed	July 1 - June 30	Human Resources, Information Technology	Plan developed; staff notified	1.1.5 (Prior Year)
8	List of transactions compiled	January 15, 2016	Completed	Not Applicable--Developed and will be updated as needed	July 1 - June 30	Historical agenda items and law	Authority Secretary and Executive Director approval	1.2.1 (Prior Year)
9	MOUs between SFAA and Admin developed	June 30, 2016	2	Not Applicable as a Measure--this is on-going as needed	July 1 - June 30	SFAA and Admin. Executive Management	Execution of MOUs	1.3.1 (Prior Year)
10	Contact list for the use of stakeholders developed and posted on SFAA website	December 31, 2015	Removed as a Measure	Not Applicable as a Measure-- Providing information by a different method	July 1 - June 30	Executive Management	List is posted	1.3.2 (Prior Year)
Insurance Reserve Fund (IRF)								
11	IRF expense ratio relative to industry standard of 29% - 34%	Optimized	5.66%	Optimized	July 1 - June 30	Insurance Reserve Fund files	Administrative Expenses/Gross Underwriting Income	2.1.1
12	Auto Rate premium comparison to industry rate of \$1,064 per Vehicle	Optimized	\$481	Optimized	July 1 - June 30	Insurance Reserve Fund files	Actual rate charged	2.1.1
13	Property Rate premium comparison to industry rate of \$352 per \$100,000 of value	Optimized	\$91	Optimized	July 1 - June 30	Insurance Reserve Fund files	Actual rate charged	2.1.1
14	Tort Liability Rate premium comparison to industry rate of \$482 average rate per person	Optimized	\$164	Optimized	July 1 - June 30	Insurance Reserve Fund files	Actual rate charged	2.1.1
15	Coverage placed and in force within time limits	100.00%	92.4%	100.00%	July 1 - June 30	Insurance Reserve Fund System	Policies issued prior to term date	2.1.2
16	Accounts Receivable > 60 days outstanding at end of year	0.00%	0.78%	0.00%	July 1 - June 30	IRF System/SCEIS--Business Objects--Accounts Receivable Report	Premiums outstanding > 60 days/Direct Premiums Written	2.1.2
17	Number of seminars (e.g., Coverage, Safety, Legal) conducted by Insurance Reserve Fund	5	6	5	July 1 - June 30	Insurance Reserve Fund Underwriting Office	Number of seminars held	2.1.3
18	Current statement of insured property values provided	100%	100%	100%	April 1-Mar. 31	Insurance Reserve Fund Underwriting Office	Results provided to Underwriters	2.2.1
19	Reinsurance obtained for appropriate lines of insurance	100%	100%	100%	July 1 - June 30	Insurance Reserve Fund Underwriting Office	Contracts awarded	2.2.2
20	Boilers inspected as required by law	100%	100%	100%	July 1 - June 30	Insurance Reserve Fund System	Actual inspections/required inspections	2.2.3
21	Average number of days to process claims payment requests	6 days	4.85	Removed for FY17	July 1 - June 30	Insurance Reserve Fund System	Average number of days from payment request created to date marked for payment in IRF System	2.3.3
22	Percentage of assessments collected	100.00%	100.00%	100.00%	July 1 - June 30	Second Injury Fund Assessment Database	Assessment payments collected/Total Assessment	2.4.1

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Performance Measurement Template

Item	Performance Measure	Target Value	Actual Value	Future Target Value	Time Applicable	Data Source and Availability	Calculation Method	Associated Objective(s)
Division of Procurement Services (DPS)								
23	Quarterly Meeting with Agencies to discuss upcoming procurement needs and upcoming term contracts	Quarterly	1	4	July 1 - June 30	Quarterly meeting minutes	Meetings held quarterly	3.1.1
24	Execute Service Level Agreement with Agencies on each procurement for goods and/or services	January 1, 2016	In Progress	100%	July 1 - June 30	Procurement Files	Number of Service Level Agreements divided by number of agency procurements	3.1.1
25	DPS identifies tools to track performance relative to SLAs conducted annually on each procurement for goods and/or services/IT	January 1, 2016	In Progress	Percentage Completed	July 1 - June 30	Service Level Agreements	Actual performance times divided by SLA performance times for DPS duties	3.1.1
26	Customer Satisfaction Survey developed for procurement of goods and services	June 30, 2016	Completed	June 30, 2017	July 1 - June 30	Customer Satisfaction Survey	Approval by Division Director	3.1.1
26A	Conduct Customer Satisfaction Survey for procurement of goods and services	July 1, 2016	Completed	July 1, 2017	July 1 - June 30	Survey Completed	Approval by Division Director	3.1.2
27	Cost savings in dollars from negotiations	Maximized	\$26,590,576	Maximized	July 1 - June 30	Procurement files	Dollars bid vs awarded	3.1.3
28	Execute Service Level Agreement with Agencies on each construction procurement	Implement by January 1, 2016	In Progress	100%	July 1 - June 30	Procurement Files	Number of Agency Procurements vs number of Service Level Agreements	3.1.4
29	DPS identifies tools to track performance relative to SLAs conducted annually on each construction project	January 1, 2016	In Progress	June 30, 2017	July 1 - June 30	Service Level Agreements	Actual performance times divided by SLA performance times for DPS duties	3.1.4
30	Customer Satisfaction Survey developed for construction projects	June 30, 2016	In Development	June 30, 2017	July 1 - June 30	Customer Satisfaction Survey	Approval by Division Director	3.1.4
31	Develop database for OSE for project milestone and data tracking	June 30, 2017	In Progress	June 30, 2017	July 1 - June 30	Law and Manual for Planning and Execution of State Permanent Improvements	Year one measure, Division Director approval of design requirements	3.1.4
32	Revise procedures manuals for each section	June 30, 2016	In Progress	June 30, 2017	July 1 - June 30	Procedures Manuals, Policies, Statutes and Regulations	Approval by Division Director	3.2.1
33	Training program enhanced and implemented	June 30, 2016	In Progress	June 30, 2017	July 1 - June 30	Training Curriculum and Participation Records	Approval by Division Director	3.2.2
34	Audit internal procurement processes and expenditures of state agencies within required timeframes	June 30, 2016	In Progress	June 30, 2017	July 1 - June 30	Procurement Files, law, Audit Reports	Number of Agencies audited in accordance with an approved audit schedule	3.2.3
35	Analysis of the state's current spending on various categories of goods and services completed	June 30, 2016	In Progress	June 30, 2017	July 1 - Dec 31	SCEIS - SRM, Agency Surveys	Spend analysis of state agencies complete	3.3.1
36	Act 63 of 2015 Implemented	August 31, 2015	Completed	Not Applicable as will not measure- Will be under continuing compliance.	July 1 - June 30	Law and standard procurement documents	Once	3.4.3 (Prior Year)
37	Recommendations provided to General Assembly	June 30, 2016	Completed	Not Applicable as will not measure- Will be available for questions/revisions.	July 1 - June 30	Law, DPS study recommendations, survey results	Once	3.4.4 (Prior Year)
Fiscal Year 2016-17								
Administration								
1	Information Security and Privacy Policies Implemented	New Measure	Implementation in Process	11 Policies	July 1 - June 30	DIS Directive	Implementation Plan	1.1.1
2	Information Technology Helpdesk effectively addressed staff requests for assistance	New Measure	In Development	90%	July 1 - June 30	SFAA IT	SFAA IT Tracking	1.1.1
3	Number of Operational Procedures developed and finalized	New Measure	In Development	2	July 1 - June 30	SFAA Operational Areas	Subject Matter Experts	1.1.2
4	Financial Reports provided to SFAA Divisions/Offices	New Measure	In Development	Quarterly	July 1 - June 30	SCEIS	Finance	1.1.3
5	Percentage of Internal Audit plan completed	New Measure	In Development	85%	July 1 - June 30	Risk Assessment; Audit Plan; Audit Plan Status	Projects completed/planned	1.2.2

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Performance Measurement Template

Item	Performance Measure	Target Value	Actual Value	Future Target Value	Time Applicable	Data Source and Availability	Calculation Method	Associated Objective(s)
6	Quarterly reports submitted to Executive Director	New Measure	In Development	4	July 1 - June 30	Audit Work papers	Number of reports submitted	1.2.3
7	Decrease the annual turnover	New Measure	In Development	Decrease by 2%	July 1 - June 30	HR System	HR	1.3.1
8	Involve 20% of staff in career/professional development training	New Measure	In Development	24	July 1 - June 30	HR System	HR	1.3.2

Division of Procurement Services (DPS)								
1	Adopt and implement tools for collecting spend data	New Initiative	In Progress	June 30, 2017	July 1 - June 30	Procurement records and SCEIS	Approval by Division Director	3.1.5
2	Develop procedures for Strategic Sourcing principles	New Initiative	In Progress	June 30, 2017	July 1 - June 30	Procedures Manuals, Policies, Statutes and Regulations	Approval by Division Director	3.1.5
3	Implement staff training for Strategic Sourcing principles	New Initiative	In Progress	June 30, 2017	July 1 - June 30	Training Curriculum and Participation Records	Approval by Division Director	3.1.5
4	Develop small purchase template for use by state agencies	New Initiative	In Progress	June 30, 2017	July 1 - June 30	Audit and Certification	Approval by Division Director	3.2.3

Agency Name: **State Fiscal Accountability Authority**

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Accountability Report

Agency Code: **E550** Section: **104**

Program Template

Program/Title	Purpose	FY 2015-16 Expenditures (Actual)				FY 2016-17 Expenditures (Projected)				Associated Objective(s)
		General	Other	Federal	TOTAL	General	Other	Federal	TOTAL	
I. Administration	Administration provides leadership and direction for the agency, including Executive Management, Legal, Internal Audit, Finance, Human Resources, and Information Technology services for effective operations for the programs and administrative support for the Five-Member Authority.	\$ -	\$ 2,002,259	\$ -	\$ 2,002,259	\$ -	\$ 2,623,702	\$ -	\$ 2,623,702	1.1.1, 1.1.2, 1.1.3, 1.2.1, 1.2.2, 1.2.3, 1.3.1, 1.3.2, 1.3.3
II. Procurement Services	Provides centralized procurement of goods and services and information technology essential to government agencies; professional oversight/guidance for architects, engineers, land surveyors, and construction projects for state agencies and local governments.	\$ 1,262,034	\$ 2,869,754	\$ -	\$ 4,131,788	\$ 1,219,100	\$ 3,848,791	\$ -	\$ 5,067,891	3.1.1, 3.1.2, 3.1.3, 3.1.4, 3.1.5, 3.2.1, 3.2.2, 3.2.3, 3.2.4, 3.2.5, 3.2.6, 3.2.7, 3.3.1, 3.3.2, 3.3.3, 3.3.4, 3.4.1, 3.4.2, 3.4.3
III.A. Insurance Reserve Fund	Provides insurance specifically designed to meet the needs of governmental entities. Program charged with paying liabilities remaining after closure of the Second Injury Fund Agency on July 1, 2013. Unit administers assessments for the purpose of funding reimbursements to claimants from the Second Injury Fund and it's operating costs.	\$ -	\$ 3,765,661	\$ -	\$ 3,765,661	\$ -	\$ 4,245,392	\$ -	\$ 4,245,392	2.1.1, 2.1.2, 2.1.3, 2.2.1, 2.2.2, 2.2.3, 2.3.1, 2.3.2
III.B. Second Injury Fund Sunset	Employer's portion of FICA, State Retirement system premiums, Health and Dental Insurance premiums for subscribers and retirees, State Life Insurance and Long-term disability, and premiums for workers compensation and unemployment insurance.	\$ 335,851	\$ 2,150,196	\$ -	\$ 2,486,047	\$ 365,000	\$ 2,441,000	\$ -	\$ 2,806,000	2.4.1, 2.4.2
IV.C. Employee Benefits										

State Fiscal Accountability Authority

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Legal Standards Template

Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Associated Program(s)
1	1.4. (SDE: EFA - Formula)	State	Proviso	SDE formulas shall require the approval of the State Board of Education and SFAA	Authority (AA)
2	6.11. (SDB: Sale of Property)	State	Proviso	SDB property reserve must be expended on approved capital improvements	AA
3	19.1. (SCSU: Loan Funds) (A)	State	Proviso	Third-party accounting consultant to assist in evaluating and promoting the financial integrity of SCSU	AA
4	19.3. (SCSU: SFAA Loan Funds)	State	Proviso	Repayment schedule with SCSU to retire the debt incurred by the university	AA
5	36.2. (DDSN: Sale of Excess Real Property)	State	Proviso	Sale of DDSN excess real property	AA
6	36.6. (DDSN: Transfer of Capital/Property)	State	Proviso	DDSN transfer of capital with approval	AA
7	44.5. (AGRI: Sale of Property Revenue)	State	Proviso	Expend revenue retained by AGRI with approval	AA
8	44.6. (AGRI: Farmers Market Revenue)	State	Proviso	Deposit of certain revenues by AGRI into restricted account	AA
9	51.1.1. (JEDA: Bonds Interest Rates)	State	Proviso	Interest rate of JEDA bonds	AA
10	59.9. (AG: Public Official Attorney Fees)	State	Proviso	Payment for opposing attorneys' costs and fees	Insurance Reserve Fund (IRF)
11	63.4. (DPS: Sale of Real Property)	State	Proviso	Authority for sale of Laurens Road property	AA
12	67.7. (DJJ: Sale of Real Property)	State	Proviso	Use of revenues by DJJ after sale of property	AA
13	104.2. (SFAA: Vacant Positions)	State	Proviso	Deletion of certain vacant positions	AA
14	104.3. (SFAA: Lawsuit Funding)	State	Proviso	IRF to pay defense costs of Abbeville litigation	IRF
15	104.4. (SFAA: Public Procurement Unit)	State	Proviso	Free medical providers can join pharmacy cooperative	Division of Procurement Services (DPS)
16	104.5. (SFAA: Insurance Coverage for Aging Entity Authorized)	State	Proviso	Authority to provide insurance coverage to certain entities serving elderly	IRF
17	104.6. (SFAA: IRF Report)	State	Proviso	Report of IRF payments	IRF
18	104.7. (SFAA: Second Injury Fund Closure Plan)	State	Proviso	SFAA authorized to implement plan for closure of Second Injury Fund	Second Injury Fund (SIF)
19	104.8. (SFAA: IT Planning Transfer)	State	Proviso	SFAA to transfer \$400,000 to DOA for IT planning	AA
20	104.9. (SFAA: Attorneys)	State	Proviso	IRF to approve attorneys' employed	IRF
21	104.10. (SFAA: Compensation - Agency Head Salary)	State	Proviso	Staff Agency Head Salary Commission contract for study of certain state employee salaries	AA
22	117.8. (GP: State Institutions - Revenues & Income)	State	Proviso	Approve use of Higher Education revenue for PIP	AA
23	117.9. (GP: Transfers of Appropriations)	State	Proviso	Set standard level allowed for fund transfers between account types	AA
24	117.14. (GP: Personal Service Reconciliation, FTEs)	State	Proviso	Number of full-time positions is limited without approval	AA
25	117.15. (GP: Allowance for Residences & Compensation Restrictions)	State	Proviso	Approve Higher Education housing allowance and some salaries	AA
26	117.21. (GP: Organizations Receiving State Appropriations Report)	State	Proviso	SFAA may request audit of appropriated contributions	AA
27	117.43. (GP: Sole Source Procurements)	State	Proviso	SFAA (MMO) must review sole source and emergency procurements for legitimacy	DPS
28	117.56. (GP: FEMA Flexibility)	State	Proviso	SFAA may borrow from certain accounts to maximize federal matching funds for disaster	AA
29	117.58. (GP: Year-End Financial Statements - Penalties)	State	Proviso	Reporting of non-complying entities to SFAA by CG	AA

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Legal Standards Template

Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Associated Program(s)
30	117.83. (GP: Bank Account Transparency and Accountability)	State	Proviso	Reporting of composite reservoir bank account information to SFAA; grant exemption	AA
31	117.88. (GP: Recovery Audits)	State	Proviso	Recovery audits of vendors for goods and services; submit reports of same	DPS
32	117.118. (GP: Employee Compensation)	State	Proviso	Authorization to use excess statewide employer contributions for statewide purpose	AA
33	117.140. (GP: State Engineer)	State	Proviso	State Engineer is an office of SFAA	DPS
34	117.141. (GP: South Carolina State University Debt Fund Balance)	State	Proviso	April 30, 2014 loan to SCSU	AA
35	118.1. (SR: Year End Cutoff)	State	Proviso	Appropriations for PIP lapse after 2 years without further approval	AA
36	118.16. (14.1) (SR: Nonrecurring Revenue)	State	Proviso	CCU to submit plan for stadium expansion for approval	AA
37	§1-1-1025	State	Statute	IRF responsibility for data/telecommunications insurance	IRF
38	§1-7-85	State	Statute	Approve reimbursement for litigation expenses by Attorney General	AA
39	§1-7-160	State	Statute	Attorney employment and fee approval	AA
40	§1-7-170	State	Statute	Attorney - approve engagement and fee	AA
41	§1-11-56	State	Statute	Major lease approval	AA
42	§1-11-58	State	Statute	Major surplus real property disposal	AA
43	§1-11-65	State	Statute	Major property transaction approval	AA
44	§1-11-80	State	Statute	Easement approval - utilities	AA
45	§1-11-90	State	Statute	Marsh and vacant land approval - State agencies and political subdivisions	AA
46	§1-11-100	State	Statute	Marsh and vacant land approval - deeds	AA
47	§1-11-130	State	Statute	Assist political subdivisions with financial obligations	AA
48	§1-11-135	State	Statute	Bond fees	AA
49	§1-11-140 through 1-11-147	State	Statute	Insurance Reserve Fund operations and coverage - tort	IRF
50	§1-11-175	State	Statute	Finance construction of correction facilities	AA
51	§1-11-185	State	Statute	Large Permanent Improvement Project approval	AA
52	§1-11-370	State	Statute	Regulate private activity bond debt	AA
53	§1-11-395	State	Statute	Approve debt collection contract for health care and social services	AA
54	§1-11-400	State	Statute	Debt - authority for lease purchase of CCI replacement	AA
55	§1-11-405	State	Statute	Aircraft purchase approval	AA
56	§1-11-420	State	Statute	Regulate and report on printing reports	AA
57	§1-11-430	State	Statute	Approval of telecommunication service contracts	AA
58	§1-11-440	State	Statute	Defense and indemnity of SFAA members and staff	AA
59	§1-11-460	State	Statute	IRF - authority to pay judgments for Section 1983 claims; recovery of payments by assessments	AA, IRF
60	§1-11-470	State	Statute	Public relation advertising by constitutional officers	AA
61	§1-11-500 through 1-11-570	State	Statute	Allocate state ceiling for private activity bonds	AA
62	§1-11-580	State	Statute	Payments for annual insurance contracts	IRF

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Legal Standards Template

Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Associated Program(s)
63	§2-75-10	State	Statute	Report from Research Centers of Excellence received	AA
64	§3-1-150	State	Statute	Relinquishment of U.S. jurisdiction acceptance	AA
65	§5-3-115	State	Statute	Municipal annexation approval for multicounty park	AA
66	§5-3-140	State	Statute	Municipal annexation of federal land	AA
67	§6-1-85	State	Statute	Report from Office of Research and Statistics on tax burden	AA
68	§6-9-63(A)(10)	State	Statute	SC Building Codes Council - Designation by State Engineer	DPS
69	§6-9-110(B)	State	Statute	State Engineer staff certified as fire marshals	AA
70	§6-27-20	State	Statute	Local government Fund mid-year cuts approval	AA
71	§8-1-190	State	Statute	Innovation Pilot Programs authorized	AA
72	§8-11-195	State	Statute	Furlough approval	AA
73	§8-13-770	State	Statute	Permits legislative service on Authority	AA
74	§8-13-1373	State	Statute	Defense of Ethics Act after Attorney General refusal	AA
75	§9-1-1310	State	Statute	Co-trustee of State Retirement System	AA
76	§9-4-45	State	Statute	Approval of PEBA policy determinations	AA
77	§9-16-90	State	Statute	Investment reports by Investment Commission received	AA
78	§10-1-130	State	Statute	Approval of certain easements and rights of way	AA
79	§10-1-135	State	Statute	Real Property - approve easements over state lands of natural significance	AA
80	§10-1-180	State	Statute	PIPs - approval by SFAA; State Engineer as state's building code officers	AA, DPS
81	§10-5-230(3)	State	Statute	Building Codes Council - Accessibility Committee - State Engineer	DPS
82	§10-5-270	State	Statute	Disabled access standards for public buildings; review by State Engineer	DPS
83	§§10-7-10 through 10-7-230	State	Statute	Insurance Reserve Fund - Public Buildings and Property - Casualty	IRF
84	§11-1-45	State	Statute	Approval of legal settlements exceeding \$100,000	AA
85	§11-1-100	State	Statute	Start date of PIPs funded with capital improvement bonds	AA
86	§11-1-110	State	Statute	Issuance of lease revenue bonds	AA
87	§11-5-230	State	Statute	Augment the Disaster Trust Fund	AA
88	§11-9-30	State	Statute	Transfer personnel funding with personnel transferred	AA
89	§11-9-95	State	Statute	Authority to transfer agency funds to pay debts to SFAA	AA
90	§11-9-140	State	Statute	Transfers to Bond Contingency Revolving Fund	AA
91	§11-9-280 through 11-9-330	State	Statute	Borrowing for operating and other expenses and related activities	AA
92	§11-9-610 through 11-9-620	State	Statute	Management of Sinking Fund	AA
93	§11-9-630	State	Statute	Sale of unused real property not in trust	AA
94	§11-9-665 through 11-9-680	State	Statute	Management of Sinking Fund investments	AA
95	§11-11-180	State	Statute	Declaring operating deficit; borrowing from agencies to address	AA
96	§11-11-350	State	Statute	Report of estimated general fund expenditures	AA
97	§11-18-5 through 11-18-80	State	Statute	South Carolina Volume Cap Allocation Act (ARRA Bonds)	AA

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Legal Standards Template

Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Associated Program(s)
98	§11-35-10, et. seq.	State	Statute	SC Procurement Code	DPS
99	§11-40-20, et. seq.	State	Statute	SC Infrastructure Facilities Authority and SC Infrastructure Revolving Loan Fund	AA
100	§11-49-10, et. seq.	State	Statute	Tobacco Settlement Revenue Management Authority Act	AA
101	§11-51-10, et. seq.	State	Statute	Research University Infrastructure Act; review projects and issue debt	AA
102	§11-57-310	State	Statute	Iran Divestment Act - Iran investment list	DPS
103	§12-6-5570	State	Statute	Approval of additional expenditures by DOR under EC Income Tax Act	AA
104	§12-10-100	State	Statute	Enterprise Zone reporting	AA
105	§12-23-30	State	Statute	Suspension of electric generating and sales tax	AA
106	§12-28-2740	State	Statute	Bond procedure for completion of certain projects	AA
107	§13-1-25	State	Statute	Cross reference to procurement exemption authority	AA
108	§13-1-45	State	Statute	Water/Wastewater Infrastructure Fund reports	AA
109	§13-1-340	State	Statute	Approval of grants, gifts, funds and property for State Development Division	AA
110	§13-1-620	State	Statute	Procurement - Savannah Valley Development	AA
111	§13-1-660 through 13-1- 700	State	Statute	Bond approval for Savannah Valley Development	AA
112	§13-1-1010	State	Statute	Aeronautic Commission	AA
113	§13-1-1350	State	Statute	Approve extension of Public Railways' operation	AA
114	§13-1-1355	State	Statute	Approval of transfer of equipment by Public Railways	AA
115	§13-1-1390	State	Statute	Acquisition of railroads and equipment	AA
116	§13-1-1430	State	Statute	Approval of refunding of bonds	AA
117	§13-1-1460	State	Statute	Approval of bond issuance by public railways	AA
118	§13-1-1720	State	Statute	Cross reference to procurement exemption authority	AA, DPS
119	§13-1-1740	State	Statute	Recommendations by Coordinating Council for Economic Development	AA
120	§13-7-10	State	Statute	Barnwell Decommissioning Trust Fund: SFAA is Grantor of 3/4/1981 Trust Agreement	AA
121	§13-7-30	State	Statute	Powers and duties of SFAA regarding nuclear-use state property	AA
122	§13-19-30	State	Statute	Midlands Authority - approval of alternate procurement code by SFAA	AA
123	§13-19-60	State	Statute	Midlands Authority's bond interest rates	AA
124	§13-19-80	State	Statute	Bond issuance approval	AA
125	§13-19-100	State	Statute	Bond sale approval	AA
126	§13-21-30	State	Statute	Approve alternate procurement code of Edisto Development Authority	AA
127	§13-21-70	State	Statute	Edisto Development Authority bond terms approval	AA
128	§13-21-90	State	Statute	Edisto Development Authority bond issuance approval	AA
129	§13-21-110	State	Statute	Edisto Development Authority bond sale approval	AA
130	§15-77-330	State	Statute	Determination of availability of funds for attorney fees	AA
131	§15-78-10 through 15-78-220	State	Statute	Tort Claims, Act Insurance Policy, Procedures, Limitations and Related Provisions	IRF
132	§24-3-400	State	Statute	Approval of certain funds and Prison Industries Account	AA

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Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Associated Program(s)
133	§25-1-460	State	Statute	Approval of emergency loans	AA
134	§25-1-1660	State	Statute	Approval of transfer of National Guard Armory to political subdivisions	AA
135	§27-8-120	State	Statute	SFAA as successor to South Carolina Conservation Bank	AA
136	§31-3-1690	State	Statute	Housing Authority relationship with SFAA	AA
137	§31-12-120	State	Statute	Compliance by Housing with Consolidated Procurement Code	DPS
138	§31-13-90	State	Statute	Approval of issuance of notes or bonds and submission of information	AA
139	§31-13-170 through 31-13-250	State	Statute	Bonds and loan approvals - Housing and Redevelopment	AA
140	§38-5-190	State	Statute	Copying of insurance records	IRF, DPS, SIF
141	§38-13-180 through 38-13-200	State	Statute	DOI examination of IRF.	IRF
142	§38-57-45	State	Statute	Approval of use of state seal for insurance	AA
143	§38-79-470	State	Statute	Patients Compensation Fund audit reports available to SFAA	AA
144	§41-31-820	State	Statute	Unemployment compensation experience rating; quarterly certification of state liability	AA
145	§41-33-470	State	Statute	Reports to SFAA by Employment & Workforce	AA
146	§41-43-90	State	Statute	Approve JEDA procurement procedures	AA
147	§41-43-100 through -41-43-110	State	Statute	Approval of bond interest rates	AA
148	§41-43-260	State	Statute	JEDA audit review and report	AA
149	§42-7-75	State	Statute	Quarterly certification of state liability for State Accident Fund	AA
150	§42-7-90	State	Statute	Authorization of certain expenditures from State Accident Fund	AA
151	§42-7-100	State	Statute	Approval of insurance for State Accident Fund	AA
152	§42-7-210	State	Statute	Quarterly certification of state liability for State Accident Fund	AA
153	§42-7-320	State	Statute	Termination of Second Injury Fund	SIF
154	§43-1-70	State	Statute	DSS salaries	AA
155	§44-1-40	State	Statute	Salary approval for the director of the DHEC	AA
156	§44-1-210	State	Statute	Annual report of monies collected	AA
157	§44-6-80	State	Statute	DHEC annual work and effectiveness report	AA
158	§44-7-1420	State	Statute	Hospital Revenue Bond Act - role of providing guidance	AA
159	§44-7-1440	State	Statute	Hospital Revenue Bond Act reference	AA
160	§44-7-1560	State	Statute	Hospital Revenue Bond Act -approval required to refund	AA
161	§44-7-1590	State	Statute	Approval to issue bonds	AA
162	§44-7-3110	State	Statute	MUSC/MUHA/HCA lease approval	AA
163	§44-7-3150	State	Statute	MUSC/MUHA/HCA lease approval - CHE consultation	AA
164	§44-20-30	State	Statute	DDSN Capital Improvement Bonds	AA
165	§44-20-1140 through 44-20-1170	State	Statute	DDSN residential regional - PIP and Bonds	AA
166	§44-25-60	State	Statute	Interstate Compact Mental Health payments approval	AA
167	§46-15-20	State	Statute	Bond issuance approval for Department of Agriculture	AA

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Legal Standards Template

Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Associated Program(s)
168	§46-27-880	State	Statute	Supervision of procedures for payment of fines and monies related to stock or poultry preparations	AA
169	§48-3-10	State	Statute	Pollution control facilities	AA
170	§48-3-100	State	Statute	Pollution control facilities - approve refunding bonds	AA
171	§48-3-140	State	Statute	Pollution control facilities - approve bonds	AA
172	§48-5-30	State	Statute	SC Water Quality Revolving Fund Authority - SFAA members serve as	AA
173	§48-18-70	State	Statute	Erosion and sediment controls - regulation input and enforcement	AA
174	§48-23-110	State	Statute	Forestry Commission	AA
175	§48-23-135	State	Statute	Approval of borrowing by Forestry Commission	AA
176	§48-23-280	State	Statute	Approval of certain contracts by Forestry Commission	AA
177	§48-23-290	State	Statute	Approval of use by certain income by Forestry Commission	AA
178	§48-43-390	State	Statute	Lease of state lands for drilling for and producing oil and gas	AA
179	§48-46-30 through 48-46-90	State	Statute	Trustee of nuclear waste funds for decommissioning and maintenance	AA
180	§48-52-680	State	Statute	State Energy to assist Material Management Office	DPS
181	§48-52-810	State	Statute	SFAA is governing board for energy independence	AA
182	§48-52-860	State	Statute	Board to submit annual report to Legislature	AA
183	§48-59-80	State	Statute	Approval of certain interests in trust fund land	AA
184	§50-5-2720	State	Statute	Report of Atlantic Fisheries Compact Commission	AA
185	§51-1-60	State	Statute	Submission of annual reports to SFAA and General Assembly	AA
186	§51-11-20	State	Statute	SFAA or DOA approval required to acquire property by Recreation Land Trust Fund	AA
187	§51-13-810	State	Statute	Approval of Patriots Point Authority to borrow	AA
188	§51-13-860	State	Statute	Loans to Patriots Point by SFAA	AA
189	§51-17-115	State	Statute	Approval of expenditure of funds by Heritage Land Trust Fund	AA
190	§51-17-320	State	Statute	Heritage Trust Revenue Bond approval	AA
191	§51-17-350	State	Statute	Reports required to SFAA from Heritage Trust	AA
192	§51-22-30	State	Statute	Required report to SFAA by Legacy Trust Fund	AA
193	§52-5-110	State	Statute	Report of expenditures of Springdale Fund	AA
194	§54-3-700	State	Statute	Sale of Port Royal property	AA
195	§55-1-1	State	Statute	Division of Aeronautics of SFAA	AA
196	§55-11-10	State	Statute	Clemson and Aeronautics	AA
197	§55-11-500	State	Statute	SFAA is authority for air carrier hub terminals	AA
198	§55-11-650	State	Statute	Bond approval	AA
199	§55-15-10(f)	State	Statute	Aeronautics Commission	AA
200	§57-1-490	State	Statute	Annual audit of DOT by Material Management Office	DPS
201	§57-5-1480	State	Statute	Authorizes SFAA to invest in turnpike bonds	AA
202	§57-5-1610	State	Statute	DOT contract approval	AA

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Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Associated Program(s)
203	§57-11-210	State	Statute	Definitions for State Highway Bonds	AA
204	§57-11-370	State	Statute	Highway bonds as legal investments	AA
205	§59-7-50	State	Statute	Acceptance and use of contributions to ETV subject to approval	AA
206	§59-17-155	State	Statute	DOA to establish state contract for external defibrillators	DPS
207	§59-19-93	State	Statute	Submissions of school district procurement codes to DOA	DPS
208	§59-21-140	State	Statute	SFAA may borrow in anticipation of revenue	AA
209	§59-31-60	State	Statute	Approval of borrowing by Education	AA
210	§59-53-30	State	Statute	State Tech open admissions policy and SFAA approvals	AA
211	§59-53-51	State	Statute	Right to appeal certain financial decisions (State Tech System)	AA
212	§59-53-53	State	Statute	Approval for disposal of surplus property	AA
213	§59-53-151	State	Statute	Approval of Tech bond issues	AA
214	§59-53-290	State	Statute	Approval of certain leases (Tri County Tech)	AA
215	§59-53-480	State	Statute	Procurement procedure approval for Trident Tech	DPS
216	§59-53-485	State	Statute	Approval for bond issues (Trident Tech)	AA
217	§59-53-490	State	Statute	Annual report requirement (Trident Tech)	AA
218	§59-53-630	State	Statute	Ground lease approval requirements for Denmark Tech	AA
219	§59-53-740	State	Statute	Ground lease approval requirement for Florence-Darlington Tech	AA
220	§59-53-1784 through 59-53-1785	State	Statute	Midlands Tech requirements for lease approval	AA
221	§59-53-1786	State	Statute	Requirement of annual report on development (Midlands Tech)	AA
222	§59-53-2430	State	Statute	Tech Enterprise lease approval requirement	AA
223	§59-53-2440	State	Statute	Bond approval requirement	AA
224	§59-53-2450	State	Statute	Annual report of development and use (Enterprise Campus)	AA
225	§59-63-770	State	Statute	Distribution of commodities funding	AA
226	§59-67-450	State	Statute	Borrowing approval from Sinking Funds	AA
227	§59-67-710	State	Statute	School bus insurance	IRF
228	§59-67-790	State	Statute	Pupil Injury Insurance Fund	IRF
229	§59-71-530	State	Statute	Approval of school bus bonds	AA
230	§59-101-650	State	Statute	Approval of exercise of eminent domain by higher education institutions	AA
231	§59-111-30	State	Statute	Administration of the South Carolina Defense Scholarship Fund	AA
232	§59-112-60	State	Statute	Promulgation of guidelines for certain attendance at institutions of higher learning	AA
233	§59-112-70	State	Statute	Coordination with Higher education regarding abatement of tuition for certain students	AA
234	§59-117-65	State	Statute	Authority for USC to enter ground leases	AA
235	§59-117-80	State	Statute	Approval to sell donated property	AA
236	§59-117-220	State	Statute	USC Auxiliary Facilities Revenue Bonds	AA
237	§59-119-165	State	Statute	Transfer of certain agricultural funds to Clemson Public Service Activities	AA

State Fiscal Accountability Authority

Fiscal Year 2015-16

Accountability Report

Agency Code: E550 Section: 104

Legal Standards Template

Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Associated Program(s)
238	§59-119-720	State	Statute	Approval of Clemson University Auxiliary Facilities Revenue Bonds	AA
239	§59-119-920	State	Statute	Approval of Clemson University Athletic Facilities Revenue Bonds	AA
240	§59-121-320	State	Statute	Approval of Citadel Athletic Facility Bonds	AA
241	§59-122-10	State	Statute	Approval of the Citadel Housing Revenue Bonds	AA
242	§59-122-40	State	Statute	Requirements for issuance of housing bonds	AA
243	§59-123-60	State	Statute	MUSC authority to issue bonds, lease, audits and procurement and submit annual budget	AA
244	§59-123-95	State	Statute	MUSC may borrow to purchase diagnostic and therapeutically equipment	AA
245	§59-123-220	State	Statute	MUSC approval to issue revenue bonds	AA
246	§59-123-230	State	Statute	MUSC Housing Revenue bonds approval	AA
247	§59-123-300	State	Statute	MUSC sale of bonds	AA
248	§59-125-130	State	Statute	Approval for Winthrop University Leasing program	AA
249	§59-125-320	State	Statute	Approval for Winthrop University Facilities Revenue Bonds	AA
250	§59-125-520	State	Statute	Approval for Winthrop University Athletic Facilities Bonds	AA
251	§59-127-85	State	Statute	Approval for SCSU Leasing Program	AA
252	§59-127-310	State	Statute	SCSU Special Obligation Bonds	AA
253	§59-127-500	State	Statute	Approval of SCSU Facilities Improvement Bonds	AA
254	§59-130-30	State	Statute	Approval for certain real property transactions for College of Charleston	AA
255	§59-130-50	State	Statute	Authority to lease or sell real property donated to College of Charleston	AA
256	§59-130-60	State	Statute	College of Charleston Leasing Program	AA
257	§59-130-430	State	Statute	College of Charleston authority to issue bonds	AA
258	§59-131-20	State	Statute	Authority to issue bonds for parking facilities	AA
259	§59-133-30	State	Statute	Powers of Francis Marion University Board to sell or dispose of property limited	AA
260	§59-133-50	State	Statute	Approval to sell or lease real property donated to Francis Marion University	AA
261	§59-133-60	State	Statute	Approval for Francis Marion University ground leasing	AA
262	§59-133-220	State	Statute	Approval for Francis Marion University to issue Athletic Facilities Revenue Bonds	AA
263	§59-135-30	State	Statute	Approval for Lander University to dispose of surplus real property	AA
264	§59-135-50	State	Statute	Approval to lease or sell real property donated to Lander University	AA
265	§59-136-130	State	Statute	Approval for Coastal Carolina University to sell unassigned surplus real property	AA
266	§59-136-150	State	Statute	Approval to lease or sell real property donated to Coastal Carolina University	AA
267	§59-146-60	State	Statute	State Board of Education notification to SFAA	AA
268	§59-146-70	State	Statute	Issuance of state school facilities bonds by SFAA	AA
269	§59-146-80	State	Statute	Resolution by SFAA for issuance of state school facilities bonds and required report	AA
270	§59-146-90	State	Statute	SFAA to set terms of state school facilities bonds	AA
271	§59-146-130	State	Statute	Sale of state school facilities bonds	AA
272	§59-147-30	State	Statute	Approval of the issuance of revenue bonds; purpose	AA

State Fiscal Accountability Authority

Fiscal Year 2015-16

Accountability Report

Agency Code: **E550** Section: **104**

Legal Standards Template

Item #	Law Number	Jurisdiction	Type of Law	Statutory Requirement and/or Authority Granted	Associated Program(s)
273	§61-4-510	State	Statute	Special account for certain alcohol revenue	AA
274	§63-19-360	State	Statute	Report regarding status of pre-adjudicatory detention of juveniles	AA
275	§63-19-420	State	Statute	Approval for natural resources sales	AA
276	R19 - 102.01 - 102.05	State	Regulation	Approval procedure for Industrial Revenue, Pollution Control and Hospital Revenue bonds	AA
277	R19-103.01 - 103.10	State	Regulation	Calculation and Certification of State Ceiling for Private Activity Bonds	AA
278	R19-104.01 - 104.04	State	Regulation	Approval procedure for Housing Authority Bond issues	AA
279	R19-410	State	Regulation	State Surplus Property	DPS
280	R19-415	State	Regulation	Insurance Reserve Fund	IRF
281	R19-445	State	Regulation	Consolidated Procurement Code	DPS
282	R19-446	State	Regulation	Appearance before Chief Procurement Officers	DPS
283	R19-1215	State	Regulation	Duties under Tuition Prepayment Program	AA

Agency Name:	State Fiscal Accountability Authority		Fiscal Year 2015-16
Agency Code:	E550	Section:	104

Accountability Report

Customer Template

Divisions or Major Programs	Description	Service/Product Provided to Customers	Customer Segments	<i>Specify only for the following segments: (1) Industry; Name; (2) Professional Organization; Name; (3) Public; Demographics.</i>
Insurance Reserve Fund				
Insurance Reserve Fund	The Insurance Reserve Fund functions as a governmental insurance operation with the mission to provide insurance specifically designed to meet the needs of governmental entities at the lowest possible cost.	Insurance policies and services	Executive Branch/State Agencies	
Insurance Reserve Fund	The Insurance Reserve Fund functions as a governmental insurance operation with the mission to provide insurance specifically designed to meet the needs of governmental entities at the lowest possible cost.	Insurance policies and services	Legislative Branch	
Insurance Reserve Fund	The Insurance Reserve Fund functions as a governmental insurance operation with the mission to provide insurance specifically designed to meet the needs of governmental entities at the lowest possible cost.	Insurance policies and services	Judicial Branch	
Insurance Reserve Fund	The Insurance Reserve Fund functions as a governmental insurance operation with the mission to provide insurance specifically designed to meet the needs of governmental entities at the lowest possible cost.	Insurance policies and services	Local Govts.	
Insurance Reserve Fund	The Insurance Reserve Fund functions as a governmental insurance operation with the mission to provide insurance specifically designed to meet the needs of governmental entities at the lowest possible cost.	Insurance policies and services	School Districts	
Division of Procurement Services				
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Providing agencies with professional, centralized purchasing services to acquire all manner of supplies, equipment, services, information technology, and construction.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Establishing open-ended statewide contracts, thereby leveraging the collective needs of all state agencies, political subdivisions, and school districts to gain lower prices through volume discounts.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Establishing open-ended statewide contracts, thereby leveraging the collective needs of all state agencies, political subdivisions, and school districts to gain lower prices through volume discounts.	Local Govts.	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Establishing open-ended statewide contracts, thereby leveraging the collective needs of all state agencies, political subdivisions, and school districts to gain lower prices through volume discounts.	School Districts	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Chief Procurement Officers for Good and Supplies, Information Technology, and Construction resolves bid protests and contract disputes for state government.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Provides for the protection of life and property by serving as the Building Code Official and Deputy State Fire Marshal for all state buildings and by performing professional reviews of construction plans and site inspections.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Oversees and approves solicitations and the posting of awards performed by agencies acting above their statutory certification level for construction services.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Facilitates uniformity in application of procurement laws among diverse procurement disciplines and across state government.	Executive Branch/State Agencies	

Agency Name: **State Fiscal Accountability Authority** Fiscal Year 2015-16

Agency Code: **E550** Section: **104** Accountability Report

Customer Template

Divisions or Major Programs	Description	Service/Product Provided to Customers	Customer Segments	Specify only for the following segments: (1) Industry: Name; (2) Professional Organization: Name; (3) Public: Demographics.
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Conducts periodic audits of each agency's procurement operations to ensure transparency, integrity for state funds.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Division responsible for statewide training and certification program for public procurement professionals.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Division responsible for statewide training and certification program for public procurement professionals.	Local Govts.	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Division responsible for statewide training and certification program for public procurement professionals.	School Districts	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Publishing a periodical, South Carolina Business Opportunities (SCBO), provides one-stop access to public notice of contracting opportunities for the business community.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Staffing emergency disaster recovery procurements (hurricanes, terrorism, etc.) for the State's Emergency Management Division and emergency related acquisitions for supplies, services, and facilities damage assessments.	Executive Branch/State Agencies	
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Provides guidance to vendors and contractors for construction, related services, and goods, services and IT.	Industry	Architects, Engineers, Contractors, and Vendors.
Division of Procurement Services	Provides the State's central procurement operation for all state agencies covered by the South Carolina Consolidated Procurement Code.	Provide for professional guidance on advisory committees for procurement, information technology, and construction related activities.	Executive Branch/State Agencies	

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Partner Template

Name of Partner Entity	Type of Partner Entity	Description of Partnership	Associated Objective(s)
Administration			
Department of Administration	State Government	After a thorough vetting, provide the Authority members with recommendations and complete and accurate information on agenda items submitted for approval	1.2.1
Department of Administration	State Government	Provides guidance regarding the Information Security and Privacy policy implementation; Data Custodian for SFAA information systems; Assistance with budget planning and development due to SFAA being a new agency; provides communication services; controls access to financial systems and reporting authorization	1.1.1, 1.1.3
Office of the Comptroller General	State Government	Provides guidance and oversight for compliance with state financial and accountability requirements; requires special reporting for compliance with legislative mandates	1.1.3, 1.2.2
Office of the State Treasurer	State Government	Provides coordination of banking services and financial reporting of cash and investments	1.1.3, 1.2.2
Banking Industry	Private Business Organization	Provides financial and investment services to account for agency funds and investments	1.1.3, 1.2.2
Insurance Reserve Fund			
Willis, Towers, Watson	Private Business Organization	Property Reinsurance Broker	2.1.1, 2.2.1, 2.2.2
Willis, Towers, Watson	Private Business Organization	Actuarial Services	2.1.1
Insurance Services Office	Private Business Organization	Provides specific insurance information necessary to the IRF	2.1.1
AssetWorks	Private Business Organization	Building Valuation Services	2.1.1, 2.2.1
American Southern Insurance Co.	Private Business Organization	Auto Liability Reinsurance and Claims	2.1.1, 2.3.1
Ramsey Adjustment Services	Private Business Organization	Claims Processing	2.3.1
Arthur J Gallagher Risk Management Services	Private Business Organization	Ocean Marine Insurance Broker	2.1.1
Arthur J Gallagher Risk Management Services/Liberty Mutual	Private Business Organization	Boiler and Machinery Reinsurance, Boiler Inspections	2.2.2, 2.2.3
Hope Aviation	Private Business Organization	Aviation Insurance Broker	2.1.1
South Carolina Hospital Association	Professional Association	Risk Management	2.1.3

Agency Name: **State Fiscal Accountability Authority**

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Partner Template

Name of Partner Entity	Type of Partner Entity	Description of Partnership	Associated Objective(s)
Division of Procurement Services			
Department of Administration-- Division of Technology	State Government	Custodian for Materials Management module in SCEIS utilized for procurement activities.	3.1.1, 3.1.2
National Association of State Procurement Officials	Professional Association	Provides funds for the professional development of staff, conferences for networking, and promotion of best practices, education, professional development, research, and innovative procurement strategies.	3.1.1, 3.1.2, 3.1.3, 3.2.1, 3.2.2
South Carolina Association of Governmental Purchasing Officials	Professional Association	Conducts forums and conferences for networking, and promotion of best practices, education, professional development, research, and innovative procurement strategies.	3.1.1, 3.1.2, 3.1.3, 3.2.1, 3.2.2
National Institute for Public Procurement (NIGP)	Professional Association	Developing, supporting and promoting the public procurement profession through educational and research programs, professional support, technical services and advocacy initiatives.	3.1.1, 3.1.2, 3.1.3, 3.2.1, 3.2.2
National Association of State Facilities Administrators	Professional Association	Provides professional development opportunities, technical assistance, and national and regional conferences for professional networking.	3.1.4, 3.2.2
South Carolina Association of State Planning and Construction Officials	Professional Association	Provides professional development for engineers and architects, industry and other technical updates, trends, project presentation discussions, and similar forums.	3.1.4, 3.2.2
National Association of Chief Information Officers	Professional Association	Support the role of the state CIO and or CPO for information technology, stimulate the exchange of information and promote the adoption of IT best practices and innovations. Sponsor national conferences, peer networking, research and publications, briefings and government affairs.	3.1.1, 3.1.2, 3.1.3, 3.2.1, 3.2.2

Agency Name: **State Fiscal Accountability Authority**

Fiscal Year 2015-16
Accountability Report

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Report Template

Item	Report Name	Name of Entity Requesting the Report	Type of Entity	Reporting Frequency	Submission Date (MM/DD/YYYY)	Summary of Information Requested in the Report	Method to Access the Report
1	State Fiscal Accountability Authority--FY2014-15 Accountability Report	Department of Administration	State	Annually	9/15/2015	Agency's Mission, Strategic Plan, Performance Measures	http://www.sfaa.sc.gov/annual-reports?y=2015
2	Information Technology Plan and Information Security Plan	Department of Administration	State	Annually	10/1/2015	Per Proviso 117.132	A paper copy may be requested from the Department of Administration.
3	Fines and Fees Report	House Ways and Means Committee and Senate Finance Committee	State	Annually	9/1/2016	Agency Fines and Fees Per Proviso 117.74	http://www.sfaa.sc.gov/files/rpts/E550_Fines_and_Fees_Report-FY2016.pdf
4	Annual Budget Request	Department of Administration	State	Annually	10/1/2015	Request for annual operating and capital budget needs for the fiscal year.	A paper copy may be requested through the Office of the Executive Director of SFAA.
5	Debt Collection	House Ways and Means Committee and Senate Finance Committee; Inspector General	State	Annually	3/2/2016	Report detailing the amount of outstanding debt and all methods used to collect that debt.	A paper copy may be requested through the Office of the Executive Director of SFAA.
6	Status of Voluntary Separation Incentive Program	Department of Administration	State	Annually	August 12, 2016	Status of Voluntary Separation Incentive Program	A paper copy may be requested from the Human Resources Office of SFAA.
7	Employee Bonuses	Department of Administration	State	Annually	August 31, 2016	Employee Bonuses	A paper copy may be requested from the Human Resources Office of SFAA.
8	Agency Organizational Chart	Department of Administration	State	Annually	September 1, 2016	Agency Organizational Chart	A paper copy may be requested from the Human Resources Office of SFAA.
9	Applicant Data	State Human Affairs Commission	State	Annually	October 23, 2015	Applicant Data	A paper copy may be requested from the State Human Affairs Commission.
10	Sole Source and Emergency Procurements	SFAA--Division of Procurement Services	State	Quarterly	8/11/2016	Each contractor's name; the amount and type of each contract; a listing of supplies, services, information technology, or construction procured under each contract - Section 11-35-2440.	A paper copy may be requested from DPS of SFAA.
11	Resident Vendor Procurement Preferences	SFAA--Division of Procurement Services	State	Quarterly	8/11/2016	The sum of all preferences allowed when applied to the price of a line item of work, may not exceed six percent unless the bidder maintains an office in this State. The cumulative preferences applied to the price of a line item cannot exceed ten percent - Section 11-35-1524.	A paper copy may be requested from DPS of SFAA.
12	Ten Percent Rule	SFAA--Division of Procurement Services	State	Quarterly	8/16/2016	Determine entities required to utilize term contracts but find a lower price by at least ten percent allow vendor of term contract to match price or acquire from vendor offering the lowest price at least ten percent lower than term contract price - Section 11-35-1220.	A paper copy may be requested from DPS of SFAA.
13	Trade-in Sales	SFAA--Division of Procurement Services	State	Quarterly	8/11/2016	Record of all trade-in sales reflecting values and approval - Section 11-35-3830.	A paper copy may be requested from DPS of SFAA.
14	Master Reporting Package	Office of the Comptroller General	State	Annually	7/10/2016	Primarily, yes or no responses to questions to help agencies determine which reporting packages are required to be provided to the Office of the Comptroller General. For FY16, there are 18 packages. AS of this report, we are required to prepare and submit 8 of the 18 packages.	A paper copy may be requested from Finance of SFAA.
15	Other Receivables Reporting Package	Office of the Comptroller General	State	Annually	8/12/2016	Outstanding receivables as of 06/30/2016 and the estimation of if/when they will be collected	A paper copy may be requested from Finance of SFAA.
16	Operating Lease Reporting Package	Office of the Comptroller General	State	Annually	8/26/2016	Identify noncancelable operating leases held by the agency and provide future minimum rental payments; also identifies contingent rentals and their future obligations of funds	A paper copy may be requested from Finance of SFAA.
17	Litigation Reporting Package	Office of the Comptroller General	State	Annually	7/22/2016	Documents the review of litigation related payments and insures completeness of the information reported on the forms within the package. Aids in identifying other forms necessary for completion.	A paper copy may be requested from Finance of SFAA.

Agency Name: **State Fiscal Accountability Authority**

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Report Template

Item	Report Name	Name of Entity Requesting the Report	Type of Entity	Reporting Frequency	Submission Date (MM/DD/YYYY)	Summary of Information Requested in the Report	Method to Access the Report
18	Claims Reporting Package	Office of the Comptroller General	State	Annually	8/12/2016	Report liabilities for the estimated future costs of all events giving rise to claims that occurred on or before June 30 of the fiscal year if it is probable that an asset has been impaired or a liability has been incurred as of that date and the loss amount can be reasonably estimated. Disclosure of events during the year and subsequent to year-end that may materially affect future claims costs are also required.	A paper copy may be requested from Finance of SFAA.
19	Other Payroll Liabilities Reporting Package	Office of the Comptroller General	State	Annually	7/22/2016	Evaluates transactions to recognize and report other payroll liabilities in addition to accrued payroll which include compensated absences and termination/separation liabilities as of June 30th.	A paper copy may be requested from Finance of SFAA.
20	Fund Balance and Net Position (Net Asset) Reporting Package	Office of the Comptroller General	State	Annually	8/26/2016	Gathers information regarding the constraints on the use of revenue sources and the resulting fund balance at fiscal year end.	A paper copy may be requested from Finance of SFAA.
21	Proviso 104.6 Report	President Pro Tempore of SC Senate, Chairman of the Senate Finance Committee, Speaker of the SC House of Representatives, Chairman of the House Ways and Means Committee	State	Annually	10/15/2015	Report on prior fiscal year utilization of the Insurance Reserve Fund to include for each transaction the amount, the recipient of the funds, the date of the transfer or payment, and the action or reason that necessitated the transfer.	A paper copy may be requested from the entity requesting the report.
22	Annual Statement of the Insurance Reserve Fund to the Insurance Department of the State of South Carolina	SC Department of Insurance	State	Annually	9/30/2015	Statement of income, assets, liabilities, policyholder equity, cash flow,	A paper copy may be requested from the South Carolina Department of Insurance.
23	MMSEA Section 111-Medicare Secondary Payer Mandatory Reporting	Centers for Medicare and Medicaid Services	Federal	Quarterly	3rd week of February, May, August, November	Insurance settlements awarded to Medicare recipients	A paper copy may be requested from the Centers for Medicare and Medicaid Services.
24	Sole Source and Emergency Procurements	State Fiscal Accountability Authority (Authority)	State	Annually	11/16/2015	Each contractor's name; the amount and type of each contract; a listing of supplies, services, information technology, or construction procured under each contract - Section 11-35-2440.	A paper copy may be requested from DPS of SFAA.
25	Unauthorized Procurements	State Fiscal Accountability Authority (Authority)	State	Annually	11/16/2015	Unauthorized procurements from finding, after award, that a State employee has made an unauthorized award of a contract or that a contract award is otherwise in violation of law - Regulation 19-445.2015 (B).	A paper copy may be requested from DPS of SFAA.
26	Iran Divestment Act	General Assembly	State	Bi-annually	7/1/2016	List of persons determined to engage in investment activities in Iran and therefore ineligible to contract with the State or any political subdivision, public universities - Section 11.57.10.	http://procurement.sc.gov/PS/PS-iran-divestment.phtml
27	Recovery Audits	Governor, House Ways and Means Chairman, Senate Finance Chairman, State Auditor, and General Assembly	State	Annually	1/1/2016	Validates vendors under contract with the State are charging the correct prices agreed to under the terms and conditions of the contract - 2015-2016 Appropriations Act, Proviso 117.89.	A paper copy may be requested from DPS of SFAA.
28	Minority Spending	Dept. of Administration--South Carolina Office of Small and Minority Business Contracting and Certification	State	Quarterly	8/30/2016	Number and dollar value of contracts awarded to certified Minority Businesses - Section 11-35-5260	A paper copy may be requested from DPS of SFAA.

Agency Name:		State Fiscal Accountability Authority		Fiscal Year 2015-16 Accountability Report	
Agency Code:		E550	Section:	104	Oversight Review Template
Item	Name of Entity Conducted Oversight Review	Type of Entity	Oversight Review Timeline (MM/DD/YYYY to MM/DD/YYYY)	Method to Access the Oversight Review Report	
Insurance Reserve Fund (IRF)					
1	The Hobbs Group, PA, CPAs, performed annual audit of IRF under contract with the Office of the State Auditor	Outside Organization	07/01/2014 to 06/30/2015	http://osa.sc.gov/Reports/stateengagements/Pages/YearEnded2015	
Division of Procurement Services (DPS)					
2	Ikaso, LLC	Outside Organization	07/1/2015 to 06/30/2016	Paper copy may be requested from DPS of SFAA.	