

<b>AGENCY NAME:</b>	<b>RURAL INFRASTRUCTURE AUTHORITY</b>		
<b>AGENCY CODE:</b>	<b>P450</b>	<b>SECTION:</b>	<b>054</b>

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## Fiscal Year 2020–2021 Accountability Report

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### SUBMISSION FORM

I have reviewed and approved the data submitted by the agency in the following online forms:

- Reorganization and Compliance
- Strategic Plan Results
- Strategic Plan Development
- Legal
- Services
- Partnerships
- Report or Review

I have reviewed and approved the financial report summarizing the agency's budget and actual expenditures, as entered by the agency into the South Carolina Enterprise Information System.

The information submitted is complete and accurate to the extent of my knowledge.

<b>AGENCY DIRECTOR</b> <b>(SIGN AND DATE):</b>	Signature on file.
<b>(TYPE/PRINT NAME):</b>	Bonnie Ammons

<b>BOARD/CMSN CHAIR</b> <b>(SIGN AND DATE):</b>	Signature on file.
<b>(TYPE/PRINT NAME):</b>	Harry M. Lightsey, III

**FY 2020-2021 Agency Accountability Report**  
**Reorganization and Compliance Responses:**

**These responses were submitted for the FY 2020-2021 Accountability Report by the**

**RURAL INFRASTRUCTURE AUTHORITY**

**Primary Contact:**

First Name	Last Name	Role/Title	Phone	Email Address
Bonnie	Ammons	Executive Director	803-737-0226	bammons@ria.sc.gov

**Secondary Contact**

First Name	Last Name	Role/Title	Phone	Email Address
Chris	Huffman	Chief Financial Officer	803-737-0462	chuffman@sccommerce.com

**Agency Mission**

The mission of the Rural Infrastructure Authority (RIA) is to provide financing for qualified infrastructure projects that will protect public health, address environmental quality standards and lay the groundwork for economic opportunities.

**Adopted in:** 2013

**Agency Vision**

Through partnerships and targeted infrastructure investments, improve the quality of life for residents, enhance community sustainability and boost economic opportunities throughout the state.

**Adopted in:** 2013

**Recommendations for reorganization requiring legislative change.**

No

**Please list significant events related to the agency that occurred in FY 2020-2021.**

Month Started	Month Ended	Description of Event	Agency Measures Impacted	Other Impacts
July	June	After a grant from the Economic Development Administration of the U.S. Department of Commerce was awarded to the agency in FY20, RIA hired a staff member and began a statewide study to examine sustainability challenges of water and sewer systems and to identify the tools and resources that are needed to build system capacity for the future.	3.13, 3.21	The agency began to focus on building partnerships and encouraging regional solutions, where feasible, to address long-term infrastructure needs.

**Does the agency intend to make any other major reorganization to divisions, departments, or programs to allow the agency to operate more effectively and efficiently in FY 2021-22?**

**Note: It is not recommended that agencies plan major reorganization projects every year. This section should remain blank unless there is a need for reorganization.**

No

**Is the agency in compliance with S.C. Code Ann. § 2-1-220, which requires submission of certain reports to the Legislative Services Agency for publication online and the State Library? See also S.C. Code Ann. § 60-2-20.**

Yes

**If not, please explain why.**

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Is the agency in compliance with various requirements to transfer its records, including electronic ones, to the Department of Archives and History? See the Public Records Act (S.C. Code Ann. § 20-1-10 through 20-1-180) and the South Carolina Uniform Electronic Transactions Act (S.C. Code Ann. § 26-6-10 through 26-10-210).

Yes

Does the law allow the agency to promulgate regulations?

No

Please list the law number(s) which gives the agency the authority to promulgate regulations.

Has the agency promulgated any regulations?

Is the agency in compliance with S.C. Code Ann. § 1-22-120(J), which requires an agency to conduct a formal review of its regulations every five years?

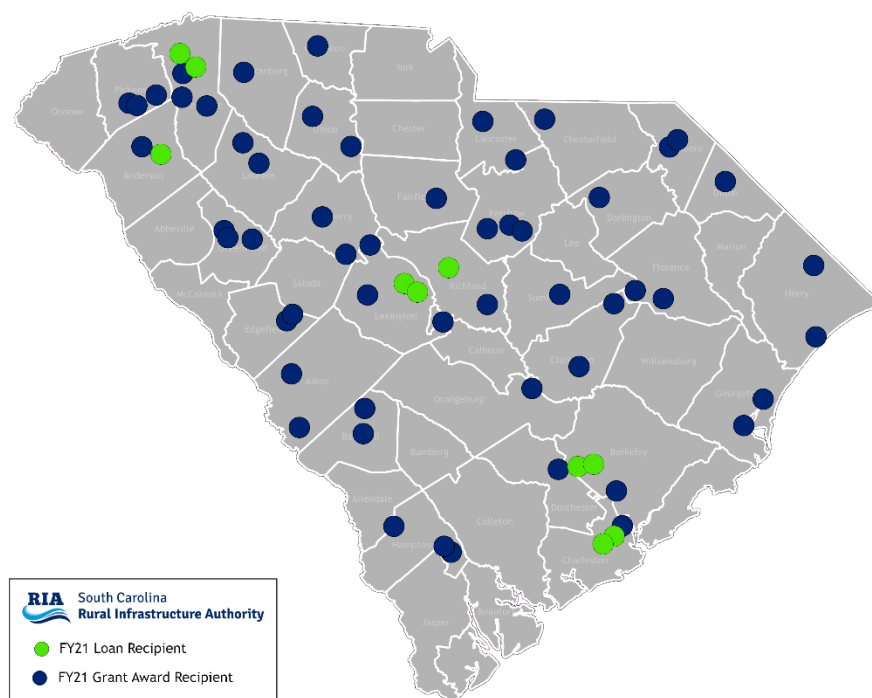
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## S.C. RURAL INFRASTRUCTURE AUTHORITY'S DISCUSSION AND ANALYSIS

### Infrastructure Finance Programs – Summary of Efforts and Results

The S.C. Rural Infrastructure Authority (RIA) makes grant and loan financing available for qualified infrastructure projects (drinking water, sanitary sewer and storm water drainage facilities) statewide. By working in partnership with local communities, these financial investments are designed to address the key objectives of protecting public health, addressing environmental quality standards and preparing communities for economic opportunities. RIA assistance helps close financial gaps for areas in need of reliable infrastructure. In addition, RIA collaborates with stakeholders to identify and coordinate additional resources for infrastructure improvements.

This year a total of \$77 million in projects were approved through RIA's grant and loan financing programs. *See Figure 1.*



*Figure 1: FY 21 Projects Funded by Location*

### **Grant Program**

Financial assistance is made available to eligible applicants (local governments, special purpose districts and public works commissions) annually through two competitive funding rounds. Funds are distributed through two program categories which align with the agency's objectives: (1) the Basic Infrastructure program to address regional solutions, environmental concerns, public health issues and aging infrastructure needs; and (2) the Economic Infrastructure Program to provide reliable infrastructure serving new or existing businesses and expanding system capacity to boost economic opportunities.

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Qualified projects are selected by the Board based on a comparative analysis of need, feasibility and impact and all applicants are notified of the results. Technical assistance is provided to applicants whose projects are not funded.

**Summary of Results:**

- 55 new grants totaling \$26 million were approved by RIA's Board of Directors
- 80,000 residential and business customers will be impacted
- 71% of projects funded were located in rural and distressed areas
- \$39 million from local and other resources will match RIA's infrastructure investment

**Cumulative Impact (FY 2013-2021):**

- \$174 million in grants awarded
- Grants awarded in 46 counties and 78% were in rural and distressed areas
- Grants leveraged \$471 million in local/other funds for infrastructure improvements
- Economic development projects will result in 10,900 new jobs by new/existing business customers

**State Revolving Funds Loan Program**

Low cost loan financing is provided through two federally capitalized State Revolving Funds (SRF) for Drinking Water and Clean Water (sewer). The SRF has been annually capitalized by the U.S. Environmental Protection Agency (EPA) since 1989. The program was transferred to RIA in 2015. The state program is administered jointly with the S.C. Department of Health and Environmental Control (DHEC). DHEC prioritizes projects, handles the technical aspects of the program and ensures compliance with EPA requirements. The RIA's Office of Local Government (OLG) manages the financial component including credit reviews, loan agreements, closings and disbursement of funds as well as loan repayments and administration.

The program offers below market interest rates for major water, wastewater and storm water improvement projects throughout the state. This low-cost financing means savings for borrowers and customers.

Due to the pandemic disrupting the economy, the Federal Reserve dropped interest rates to historically low levels, causing interest rates to be lowered in the municipal bond market as well. The impact on the SRF was significant and despite offering a record low interest rate of 1.6% in FY21, the SRF saw early payoff of loans, generally those with less than 10 years to maturity, as well as hesitancy to borrow for new projects in the face of considerable uncertainties about the future.

**Summary of Results:**

- \$51 million in loans closed
- 10 loans closed
- \$50 million in loan repayments
- \$675 million in outstanding principal balance

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**Cumulative Impacts:**

- \$667 million loans closed since the SRF program was transferred to RIA in FY16
- \$1.03 billion current loan portfolio
- No loan defaults or major audit findings in program history

**Factors Impacting Performance and Future Plans**

Because financing assistance requests are generated at the local level, performance measures can be impacted by the number, type, amount and location of requests that are received annually. Similarly, there is limited ability for rural and distressed areas to generate additional funding to leverage grant funds. Being responsive to local needs often means that the agency's performance targets are subject to unpredictable shifts in local priorities and economic conditions.

Since the agency began operating in 2012, the General Assembly expanded its ability to address infrastructure needs statewide and to provide grant and loan financial assistance. The RIA will continue its efforts to target technical assistance and capacity building to rural, small and disadvantaged communities. RIA helps to identify affordable infrastructure solutions and works to leverage other resources. This increases the impact and promotes greater affordability and sustainability.

In the last several years, RIA has taken steps to pro-actively market program resources, provide training and enhance communications in the SRF program. These efforts, coupled with improvements in the economy, resulted in record levels of demand for program resources in four of the last five years. However, the uncertainties with COVID has impacted loan program demand. When interest rates in the municipal bond market dropped to historical lows earlier this year, SRF borrowers with less than 10 years to maturity made a significant number of early loan pay-offs. While this translates to a greater availability of funds for lending next year, borrowers may be reluctant to borrow money for projects in the face of uncertainty in the markets, the availability of additional federal grant funds for infrastructure and expected contractor and supply shortages. Accordingly, the amount and number of loans as well as the utilization rate are not expected to be as high as in recent years.

**Risk Assessment and Mitigation Strategies**

One of the RIA's strategies is to serve as a resource for communities, whether the need is for technical or financial assistance. To address this issue, RIA holds four workshops for the grant and loan programs and this year 385 participants received training. Workshop evaluations from participants were overwhelmingly positive. In addition, staff worked directly with clients to identify projects and address project-related issues. Demand for this technical assistance and capacity building remains high and RIA will continue its customer assistance efforts.

One of the biggest concerns for customers statewide is the deterioration of aging infrastructure systems and the subsequent decreasing capacity of such infrastructure to meet environmental

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standards. Staff conducted an internal review of whether RIA resources had a measurable impact on the resolution of DHEC consent orders and regulatory concerns related to health and the environment. Of the projects awarded for this purpose through 2021, 76% of the consent orders have been fully resolved. Those not yet resolved are mostly due to outstanding non-construction or operational issues, which RIA does not fund. Most of RIA's grant funds, \$69 million, have been used to upgrade aging and failing infrastructure, ensuring services are safe and reliable. RIA will continue to work with DHEC to identify and target grant funding to correct these deficiencies.

A lack of resources is the primary reason many communities are unable to properly operate and maintain the water and sewer systems that serve residents and businesses. A delay in making the required investments can result in higher costs, business and service disruptions and increased health and environmental risks. Thus, access to reliable and affordable infrastructure serves as an important quality of life concern for protecting public health, improving environmental quality and encouraging economic opportunities. Significant investments will be needed to ensure that there is adequate capacity for future economic growth.

Three key factors can help stretch the impact of limited resources: (1) providing additional funding where feasible to leverage local resources; (2) strengthening partnerships to maximize existing resources and provide additional technical assistance; and (3) encouraging development of plans and studies that will lead to greater sustainability of water and sewer systems, including regional solutions.

In the coming year, RIA will be finalizing its statewide assessment of water and sewer system sustainability issues and needs. The study will assess the common issues that impact the ability of water and sewer systems to address infrastructure needs and be more sustainable from a financial, technical and operational perspective. The project will develop tools and resources that can be used to help struggling systems, including regional and other cooperative approaches. RIA will be seeking input from stakeholders and building partnerships in order to develop resources and solutions for the long-term needs of water and sewer systems. Partners in this effort are other infrastructure funders including: S.C. Department of Commerce (Commerce), DHEC, U.S. Department of Agriculture - Rural Development and the U.S. Department of Commerce - Economic Development Administration. Collaboration with other funding partners serves to address funding gaps, share best practices and provide coordinated technical assistance to potential applicants. RIA will also build on its relationships with local governments, water and sewer organizations as well as financial and technical groups to address infrastructure issues and enhance local capacity.

## **Agency Organization**

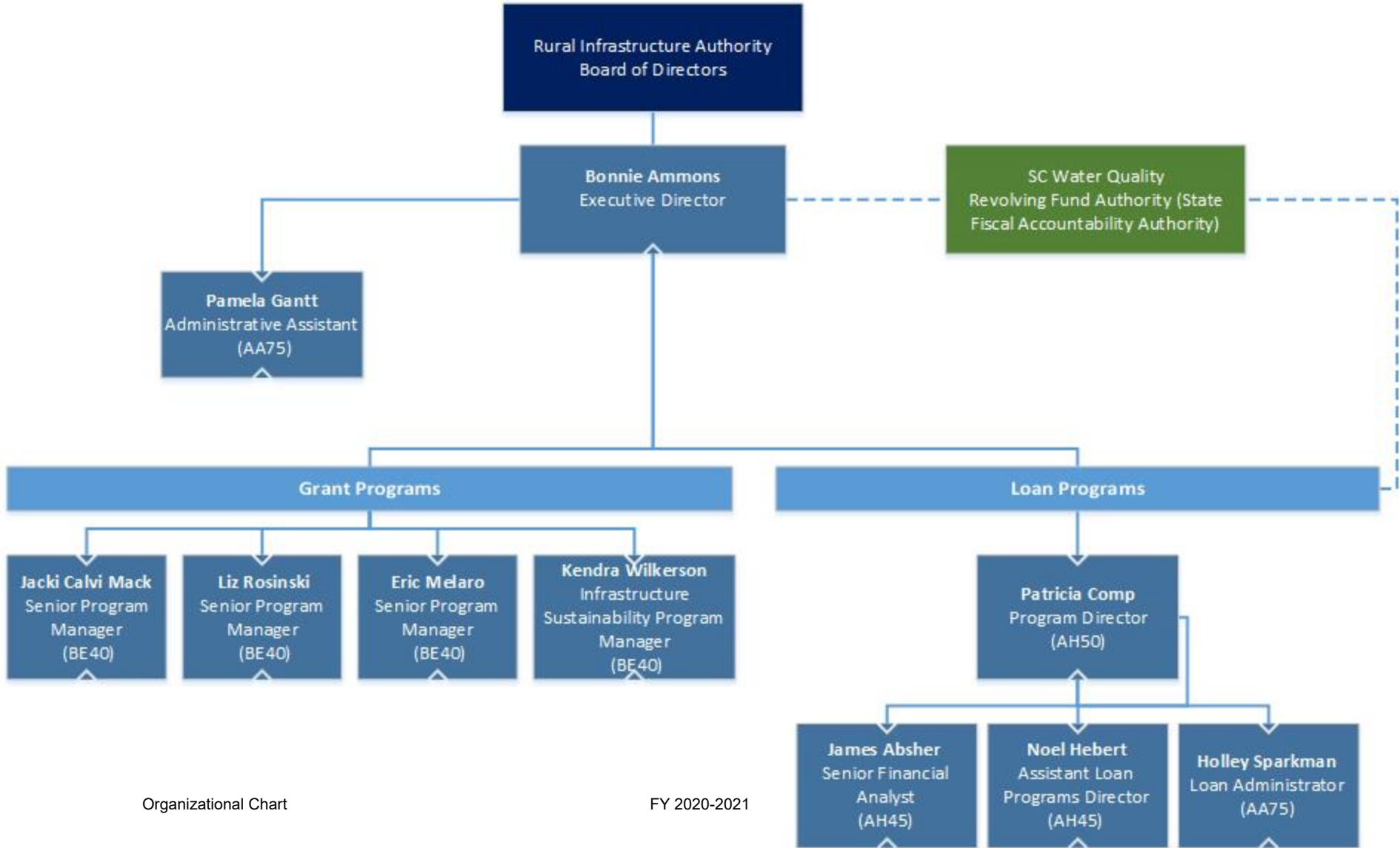
The RIA is governed by a Board of Directors (Board) that includes six members who reside in or represent distressed and/or least developed counties. The Secretary of Commerce serves as Chairman. The Board develops an annual strategy for addressing grant infrastructure needs as well as establishes programs, sets priorities, selects qualified projects for grant funds and reviews performance.

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RIA staff is organized by grant and loan programs. The grant programs include a new infrastructure sustainability program manager. The OLG operates the financial and loan components of the SRF. The S.C. Water Quality Revolving Fund Authority (State Fiscal Accountability Authority) oversees SRF financial program policies.

The RIA has an Executive Director and nine full time staff. In order to foster administrative collaboration, RIA contracts with Commerce to provide office space, legal, financial and human resources services. This agreement allows for more efficient use of staff and resources available to the RIA.





Organizational Chart

FY 2020-2021

FY 2020-2021 Agency Accountability Report  
**FY2020-21 Strategic Plan Results:**

These responses were submitted for the FY 2020-2021 Accountability Report by the	
RURAL INFRASTRUCTURE AUTHORITY	

Goal	PROVIDE GRANT ASSISTANCE FOR CONSTRUCTING AND IMPROVING PUBLIC INFRASTRUCTURE.
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Strategy	1.1
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Statewide Enterprise Objective	
1	Improve the efficiency of the state's information technology systems.
2	Enhance the state's financial management and reporting systems.
3	Strengthen the state's human resources management systems.
4	Improve the state's procurement and purchasing systems.
5	Enhance the state's transportation and infrastructure management systems.
6	Strengthen the state's environmental management systems.
7	Improve the state's public safety and law enforcement systems.
8	Enhance the state's health and social services systems.
9	Strengthen the state's education systems.
10	Improve the state's energy and natural resources management systems.
11	Enhance the state's housing and community development systems.
12	Strengthen the state's cultural and historical preservation systems.
13	Improve the state's tourism and economic development systems.
14	Enhance the state's international relations and trade systems.
15	Strengthen the state's disaster preparedness and response systems.
16	Improve the state's public works and maintenance systems.
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100	Strengthen the state's cultural and historical preservation systems.

Improve quality of life by addressing infrastructure that impacts public health or the environment
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Public Infrastructure and Economic Development

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These responses were submitted for the FY 2020-2021 Accountability Report by the														
RURAL INFRASTRUCTURE AUTHORITY														
Goal PROVIDE AFFORDABLE LOAN FINANCING TO SUPPORT INFRASTRUCTURE IMPROVEMENTS.														
Strategy 2.2										Statewide Enterprise Objective				
Work with DHEC to provide resources for investment in Drinking Water infrastructure										Public Infrastructure and Economic Development				
Measure Number	Description	Base	Target	Actual	Value Type	Desired Outcome	Time Applicable	Calculation Method	Data Source	Data Location	Primary Stakeholder	Stakeholder Need Satisfied	State Funded Budget Program Number Responsible	Notes
2.2.1	Close at least the target value of drinking water loans annually	\$26,838,140.00	\$10,000,000.00	\$2,837,910.00	Dollar Amount	equal to or greater than	State Fiscal Year (July 1 - June 30).	Value of loans executed	SRF loans closed	Internal files maintained by loan program staff	Direct customers: Public water and sewer providers such as units of local government, special purpose districts and public works commissions as well as not-for-profit water and sewer systems	Direct benefit to agency customers by improving water and sewer infrastructure	3003.010000.000, 3003.050000X000	
2.2.2	Assist at least the target number of communities through drinking water loans closed annually. (Drinking Water)	5	4	1	Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Number of entities assisted through loans closed	SRF loans closed	Internal files maintained by loan program staff	Direct customers: Public water and sewer providers such as units of local government, special purpose districts and public works commissions as well as not-for-profit water and sewer systems	Direct benefit to agency customers by improving water and sewer infrastructure	3003.010000.000, 3003.050000X000	



These responses were submitted for the FY 2020-2021 Accountability Report by the														
RURAL INFRASTRUCTURE AUTHORITY														
Goal BUILD STRONG PARTNERSHIPS WITH COMMUNITIES AND OTHER STAKEHOLDERS.														
Strategy 3.1										Statewide Enterprise Objective				
Provide technical support to communities that need infrastructure funding										Public Infrastructure and Economic Development				
Measure Number	Description	Base	Target	Actual	Value Type	Desired Outcome	Time Applicable	Calculation Method	Data Source	Data Location	Primary Stakeholder	Stakeholder Need Satisfied	State Funded Budget Program Number Responsible	Notes
3.1.1	Provide grant and loan application training to at least the target number of participants annually.	245	250	385	Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Number of training participants	RIA data	Internal files maintained by RIA staff	Direct customers: Public water and sewer providers such as units of local government, special purpose districts and public works commissions	Direct benefit to agency customers by increasing knowledge of agency programs	0100.000000.000, 3003.010000.000	
3.1.2	Achieve at least the target average rating, on a scale of 1-5, on surveys of training participants	4.77	4.5	4.74	Rank	equal to or greater than	State Fiscal Year (July 1 - June 30).	Average of all survey ratings received in the year	RIA data	Internal files maintained by RIA staff	Agency staff	Direct benefit to agency for future planning of training events	0100.000000.000, 3003.010000.000	
3.1.3	Assist applicants and potential applicants with at least the target number of potential projects or project issues annually.	216	220	242	Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Number of issues or projects on which RIA staff provided technical assistance	RIA data	Internal files maintained by RIA staff	Direct customers and agency staff	Direct benefit to customers through coordination of additional funds for water and sewer improvements and direct benefit to agency for leveraging available state dollars.	0100.000000.000, 3003.010000.000	

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FY 2020-2021 Agency Accountability Report  
FY2021-22 Strategic Plan:

These responses were submitted for the FY 2020-2021 Accountability Report by the  
RURAL INFRASTRUCTURE AUTHORITY

Goal PROVIDE GRANT ASSISTANCE FOR CONSTRUCTING AND IMPROVING PUBLIC INFRASTRUCTURE.														
Strategy 1.1										Statewide Enterprise Objective				
Improve quality of life by addressing infrastructure that impacts public health or the environment										Public Infrastructure and Economic Development				
Measure Number	Description	Base	Target	Actual	Value Type	Desired Outcome	Time Applicable	Calculation Method	Data Source	Data Location	Primary Stakeholder	Stakeholder Need Satisfied	State Funded Program Number Responsible	Notes
1.1.1	Award at least the target value of grants to address health/environmental concerns or upgrade aging infrastructure annually	\$18,490,248.00	\$17,000,000.00		Dollar Amount	equal to or greater than	State Fiscal Year (July 1 - June 30).	Actual value of approved grant requests	RIA grant requests	Customer relations database maintained by RIA staff	Direct customers: Public water and sewer providers such as units of local government, special purpose districts and public works commissions	Direct benefit to agency customers by improving water and sewer infrastructure	0100.000000.000, 2600.101000X000, 2600.101500X000	
1.1.2	Assist at least the target number of communities through grants addressing health/environmental concerns or upgrading aging infrastructure annually	38	40		Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Actual number of approved grant requests	RIA grant requests	Customer relations database maintained by RIA staff	Direct customers: Public water and sewer providers such as units of local government, special purpose districts and public works commissions	Direct benefit to agency customers by improving water and sewer infrastructure	0100.000000.000, 2600.101000X000, 2600.101500X000	





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RURAL INFRASTRUCTURE AUTHORITY														
Goal	PROVIDE AFFORDABLE LOAN FINANCING TO SUPPORT INFRASTRUCTURE IMPROVEMENTS.													
Strategy	2.2									Statewide Enterprise Objective				
Work with DHEC to provide resources for investment in Drinking Water infrastructure										Public Infrastructure and Economic Development				
Measure Number	Description	Base	Target	Actual	Value Type	Desired Outcome	Time Applicable	Calculation Method	Data Source	Data Location	Primary Stakeholder	Stakeholder Need Satisfied	State Funded Budget Program Number Responsible	Notes
2.2.1	Close at least the target value of drinking water loans annually	\$2,837,910.00	\$20,000,000.00		Dollar Amount	equal to or greater than	State Fiscal Year (July 1 - June 30).	Value of loans executed	SRF loans closed	Internal files maintained by loan program staff	Direct customers: Public water and sewer providers such as units of local government, special purpose districts and public works commissions as well as not-for-profit water and sewer systems	Direct benefit to agency customers by improving water and sewer infrastructure	3003.010000.000, 3003.050000X000	
2.2.2	Assist at least the target number of communities through drinking water loans closed annually. (Drinking Water)	1	4		Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Number of entities assisted through loans closed	SRF loans closed	Internal files maintained by loan program staff	Direct customers: Public water and sewer providers such as units of local government, special purpose districts and public works commissions as well as not-for-profit water and sewer systems	Direct benefit to agency customers by improving water and sewer infrastructure	3003.010000.000, 3003.050000X000	



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RURAL INFRASTRUCTURE AUTHORITY														
Goal	BUILD STRONG PARTNERSHIPS WITH COMMUNITIES AND OTHER STAKEHOLDERS.													
Strategy	3.1									Statewide Enterprise Objective				
Provide technical support to communities that need infrastructure funding										Public Infrastructure and Economic Development				
Measure Number	Description	Base	Target	Actual	Value Type	Desired Outcome	Time Applicable	Calculation Method	Data Source	Data Location	Primary Stakeholder	Stakeholder Need Satisfied	State Funded Budget Program Number Responsible	Notes
3.1.1	Provide grant and loan application training to at least the target number of participants annually.	385	285		Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Number of training participants	RIA data	Internal files maintained by RIA staff	Direct customers: Public water and sewer providers such as units of local government, special purpose districts and public works commissions	Direct benefit to agency customers by increasing knowledge of agency programs	0100.000000.000, 3003.010000.000	
3.1.2	Achieve at least the target average rating, on a scale of 1-5, on surveys of training participants	4.74	4.7		Rank	equal to or greater than	State Fiscal Year (July 1 - June 30).	Average of all survey ratings received in the year	RIA data	Internal files maintained by RIA staff	Agency staff	Direct benefit to agency for future planning of training events	0100.000000.000, 3003.010000.000	
3.1.3	Assist applicants and potential applicants with at least the target number of potential projects or project issues annually.	242	225		Count	equal to or greater than	State Fiscal Year (July 1 - June 30).	Number of issues or projects on which RIA staff provided technical assistance	RIA data	Internal files maintained by RIA staff	Direct customers and agency staff	Direct benefit to customers through coordination of additional funds for water and sewer improvements and direct benefit to agency for leveraging available state dollars.	0100.000000.000, 3003.010000.000	



FY 2020-2021 Agency Accountability Report  
Budget Responses:

These responses were submitted for the FY 2020-2021 Accountability Report by the  
RURAL INFRASTRUCTURE AUTHORITY

			FY 2020-21 Expenditures (Actual)				FY 2021-22 Expenditures (Projected)			
State Funded Program Number	State Funded Program Title	Description of State Funded Program	General	Other	Federal	TOTAL	General	Other	Federal	TOTAL
0100.000000.000	Administration	To administer the Rural Infrastructure Authority and its grant programs		\$525,615.00	\$73,976.00	\$599,591.00		\$610,000.00		\$610,000.00
2600.101000X000	SC Rural Infrastructure Fund	To provide financial assistance for infrastructure and other activities to the state's rural areas that enhance economic growth and development. It can be used for job creation and/or product development.	\$7,870,056.00	\$5,109,464.00		\$12,979,520.00	\$7,870,056.00	\$20,000,000.00		\$27,870,056.00
2600.101500X000	Statewide Water and Sewer Fund	Established by proviso, the Statewide Water and Sewer Fund assists qualified infrastructure projects not eligible for the Rural Infrastructure Fund.	\$9,750,124.00			\$9,750,124.00	\$8,000,000.00			\$8,000,000.00
3003.010000.000	Office of Local Government	To administer the loan programs offered by the Rural Infrastructure Authority.		\$405,527.00		\$405,527.00		\$580,000.00		\$580,000.00
3003.050000X000	Loans	To provide loan programs for qualified water and waste water infrastructure projects.	\$6,165,600.00	\$150,599,535.00		\$156,765,135.00	\$6,165,600.00	\$95,000,000.00	\$700,000.00	\$101,865,600.00
9500.050000.000	State Employer Contributions	The state funded program used to record employer contributions for the agency.		\$276,837.00		\$276,837.00		\$294,000.00		\$294,000.00



FY 2020-2021 Agency Accountability Report

Legal Responses:

These responses were submitted for the FY 2020-2021 Accountability Report by the

RURAL INFRASTRUCTURE AUTHORITY

Description	Purpose	Law Number	Jurisdiction	Type	Notes
Division Transfers - Transfers the Local Government Division, Water Resources Coordinating Council membership, and Division of Regional Government from the Budget and Control Board to RIA.	Not related to agency deliverable	1-11-20	State	Statute	
Local Government Division - Establishes the Local Government Division and outlines grant responsibilities.	Requires a service	1-11-25	State	Statute	Grant administration
Local Government Division - Outlines rules for use of grant funds and provides penalties for violations.	Requires a service	1-11-26	State	Statute	
Water Resources Coordinating Council - Names the RIA Director as a member of the Water Resources Coordinating Council.	Board, commission, or committee on which someone from our agency must/may serve	11-37-200	State	Statute	
SC Infrastructure Facilities Authority - Requires RIA to staff and assist the SC Infrastructure Facilities Authority.	Requires a service	11-40-250	State	Statute	Loan administration
SC Infrastructure Facilities Authority- provides necessary authority to fund, use and administer the SC Infrastructure Revolving Loan Fund.	Distribute funding to another entity	11-40-40	State	Statute	
SC Comprehensive Infrastructure Development Act - Names this chapter the "South Carolina Comprehensive Infrastructure Development Act."	Not related to agency deliverable	11-42-10	State	Statute	
Regional Development Division - Lays out the legislative purpose for establishing the Division of Regional Development.	Not related to agency deliverable	11-42-20	State	Statute	
Regional Development Division - Defines terms related to the Division of Regional Development.	Not related to agency deliverable	11-42-30	State	Statute	
Regional Development Division - Establishes the Division of Regional Development within RIA.	Not related to agency deliverable	11-42-40	State	Statute	
Regional Development Division - Lists powers and duties of the Division of Regional Development.	Requires a service	11-42-50	State	Statute	Creation of a state infrastructure development plan, coordination of regional infrastructure development plans, and coordination of state programs and resources that impact or affect infrastructure development
Regional Development Division - Lists administrative powers of the Division of Regional Development.	Not related to agency deliverable	11-42-60	State	Statute	
SC Rural Infrastructure Authority - Names this chapter the "South Carolina Rural Infrastructure Act."	Not related to agency deliverable	11-50-10	State	Statute	
SC Rural Infrastructure Authority - Outlines authorizations related to financing agreements.	Not related to agency deliverable	11-50-100	State	Statute	
SC Rural Infrastructure Authority - Exempts RIA from taxes.	Not related to agency deliverable	11-50-110	State	Statute	
SC Rural Infrastructure Authority - Outlines procedures related to loan defaults.	Requires a service	11-50-120	State	Statute	Notification of the State Treasurer regarding loan defaults
SC Rural Infrastructure Authority - Limits liability of RIA and its board members and employees.	Not related to agency deliverable	11-50-130	State	Statute	
SC Rural Infrastructure Authority - Allows RIA to act without additional notices, proceedings, or publications.	Not related to agency deliverable	11-50-140	State	Statute	

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RURAL INFRASTRUCTURE AUTHORITY**

Description	Purpose	Law Number	Jurisdiction	Type	Notes
SC Rural Infrastructure Authority - Requires all RIA funds to be deposited with the State Treasurer.	Not related to agency deliverable	11-50-150	State	Statute	
SC Rural Infrastructure Authority - Requires RIA to submit multiple annual reports and have an annual audit by an independent CPA.	Requires a service	11-50-160	State	Statute	Agency reports
SC Rural Infrastructure Authority - Requires liberal construction of the chapter and approval of loans by the public entities that control applicable ad valorem taxes for general operating purposes.	Not related to agency deliverable	11-50-170	State	Statute	
SC Rural Infrastructure Authority - Establishes severability of any provision held unconstitutional or invalid.	Not related to agency deliverable	11-50-180	State	Statute	
SC Rural Infrastructure Authority - Outlines legislative findings related to the South Carolina Rural Infrastructure Act.	Not related to agency deliverable	11-50-20	State	Statute	
SC Rural Infrastructure Authority- Provides for the selection of qualified infrastructure projects for loans or other financial assistance through the Rural Infrastructure Fund and provides necessary authority to fund, operate and administer the functions of the agency.	Distribute funding to another entity	11-50-30	State	Statute	
SC Rural Infrastructure Authority - Defines terms related to the South Carolina Rural Infrastructure Act.	Not related to agency deliverable	11-50-40	State	Statute	
SC Rural Infrastructure Authority - Outlines terms, qualifications, and appointing authorities of the members of the RIA board of directors.	Not related to agency deliverable	11-50-50	State	Statute	
SC Rural Infrastructure Authority - Allows the RIA board to hire a director.	Not related to agency deliverable	11-50-55	State	Statute	
SC Rural Infrastructure Authority - Outlines RIA's powers.	Distribute funding to another entity	11-50-60	State	Statute	
SC Rural Infrastructure Authority - Requires the SC Department of Commerce to provide administrative support to RIA.	Not related to agency deliverable	11-50-65	State	Statute	
SC Rural Infrastructure Authority - Outlines sources of revenue that may be used by the Rural Infrastructure Fund.	Not related to agency deliverable	11-50-70	State	Statute	
SC Rural Infrastructure Authority - Establishes procedures for earnings on balances in the Rural Infrastructure Fund.	Not related to agency deliverable	11-50-80	State	Statute	
SC Rural Infrastructure Authority - Requires RIA to select and administer eligible loan and other financial assistance for projects.	Distribute funding to another entity	11-50-90	State	Statute	
Title VI of the Clean Water Act - Provides necessary authority and program requirements for the Clean Water State Revolving Loan Program.	Distribute funding to another entity	33 USC 1383	Federal	Statute	
Safe Drinking Water Act - Provides necessary authority and program requirements for the Drinking Water State Revolving Loan Program.	Distribute funding to another entity	42 USC 300j-12	Federal	Statute	
Water Quality Revolving Fund Authority- Provides necessary authority to fund, invest, use, and administer the clean water fund, the drinking water fund, and other authorized activities permitted by the Safe Drinking Water Act.	Distribute funding to another entity	48-5-30	State	Statute	

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Description	Purpose	Law Number	Jurisdiction	Type	Notes
Carry Forward Local Government Assistance - Allows the carry forward of funds appropriated for the purpose of matching federal funds from the prior fiscal year to the current fiscal year.	Not related to agency deliverable	Budget Year Appropriations FY17-18 Section 54 P450 Proviso 54.2	State	Proviso	
Carry Forward Calculation - Allows for certain funds to be excluded from the calculation of the carry forward authority.	Not related to agency deliverable	Budget Year Appropriations FY17-18 Proviso 54.3	State	Proviso	
State Water Pollution Control Revolving Fund - Allows any state funds remaining after fully matching federal grants for the State Revolving Funds under the Clean Water Act or Safe Drinking Water Act to be deposited into the South Carolina Infrastructure Revolving Loan Fund established pursuant to Section 11-40-50.	Distribute funding to another entity	Budget Year Appropriations FY17-18 Proviso 54.4	State	Proviso	
Statewide Water and Sewer Fund - Funds allocated for the Statewide Water and Sewer Fund to assist qualified Infrastructure projects not otherwise eligible for the Rural Infrastructure Fund. Projects will be selected for assistance based on the same procedures and guidelines established for the Rural Infrastructure Fund. Funds allocated may carry forward from the prior fiscal year to any current fiscal year.	Distribute funding to another entity	Budget Year Appropriations FY17-18 Proviso 54.5	State	Proviso	
Rural Infrastructure Fund Carry Forward -Allows the carry forward of funds in the Rural Infrastructure Fund from the prior fiscal year to the current fiscal year.	Not related to agency deliverable	Budget Year Appropriations FY17-18 Section 54 P450 Proviso 54.1	State	Proviso	

FY 2020-2021 Agency Accountability Report  
Services Responses:

These responses were submitted for the FY 2020-2021 Accountability Report by the  
RURAL INFRASTRUCTURE AUTHORITY

Description of Service	Description of Direct Customer	Customer Name	Others Impacted By the Service	Agency unit providing the service	Description of agency unit	Primary negative impact if service not provided
RIA offers grant funding for water quality improvement projects as well as timely and responsive technical assistance to customers.	The agency offers grants to public water and sewer providers.	Public water and sewer providers such as units of local government, special purpose districts and public works commissions	Customers of public water and sewer utilities	Grant Program	Grant program managers provide technical assistance for project development as well as assist customers with implementation of grant projects.	If infrastructure is not improved, it becomes increasingly difficult for the water or sewer system to protect public health and the environment or attract economic development.
RIA offers below-market financing through its loan programs for water quality improvement projects.	The agency offers loans to public water and sewer providers	Public water and sewer providers such as units of local government, special purpose districts and public works commissions	Customers of public water and sewer utilities	Loan Program	The loan program staff responsibilities include credit reviews, loan agreements, disbursements and repayments.	If infrastructure is not improved, it becomes increasingly difficult for the water or sewer system to protect public health and the environment.

Agency Partnerships Responses:

These responses were submitted for the FY 2020-2021 Accountability Report by the

RURAL INFRASTRUCTURE AUTHORITY

Name of Partner Entity	Type of Partner Entity	Description of Partnership
Department of Commerce	State Government	RIA has an administrative support agreement with Commerce and collaborates with the agency on providing infrastructure support for community development, industrial site development as well as economic development.
Department of Health and Environmental Control	State Government	RIA jointly administers the State Revolving Funds with DHEC, who manages the technical aspects of the program while RIA oversees the financial functions of SRF.
Infrastructure Funders (federal and state agencies)	Federal Government	RIA participates in informal collaboration with federal and state agencies to leverage resources, streamline processes where feasible and provide technical assistance for customers.

FY 2020-2021 Agency Accountability Report  
Reports Responses:

These responses were submitted for the FY 2020-2021 Accountability Report by the  
RURAL INFRASTRUCTURE AUTHORITY

Report Name	Law Number (If required)	Summary of Information Requested in the Report	Most Recent Submission Date	Reporting Frequency	Type of Entity	Method to Access the Report	Direct access hyperlink or agency contact
Agency Accountability Report	§1-1-810	The report "must contain the agency's or department's mission, objectives to accomplish the mission, and performance measures that show the degree to which objectives are being met." Agencies must "identify key program area descriptions and expenditures and link these to key financial and performance results measures."	9/15/2020	Annually	Governor or Lt. Governor AND Legislative entity or entities	Available on agency's website	<a href="https://ria.sc.gov/wp-content/uploads/2020/09/FY20-RIA_Accountability-Report.pdf">https://ria.sc.gov/wp-content/uploads/2020/09/FY20-RIA_Accountability-Report.pdf</a>
Agreed Upon Procedures	§11-50-160	Review of accounting records and internal controls	7/13/2020	Annually	South Carolina state agency or agencies	Available on another website	<a href="https://osa.sc.gov/wp-content/uploads/2020/08/P4519-Final.pdf">https://osa.sc.gov/wp-content/uploads/2020/08/P4519-Final.pdf</a>
Annual Report	§11-50-160	The report highlights the activities and accomplishments of the agency during the last fiscal year. Annual Report for FY21 will be posted online October 1, 2021.	10/1/2020	Annually	Legislative entity or entities	Available on agency's website	<a href="https://ria.sc.gov/wp-content/uploads/2020/10/FY20-RIA-Annual-Report_web.pdf">https://ria.sc.gov/wp-content/uploads/2020/10/FY20-RIA-Annual-Report_web.pdf</a>
Fines and Fee Report	Proviso 117.71	A report of all aggregate amounts of fines and fees that were charged and collected by that state agency in the prior fiscal year.	9/1/2020	Annually	Legislative entity or entities	Available on agency's website	<a href="https://ria.sc.gov/wp-content/uploads/2020/08/P450-Fines-and-Fees-Report-FY20.pdf">https://ria.sc.gov/wp-content/uploads/2020/08/P450-Fines-and-Fees-Report-FY20.pdf</a>
Single Audit	§11-50-160	Schedule of expenditures of federal awards.	3/31/2021	Annually	Entity within federal government	Available on another website	<a href="https://osa.sc.gov/wp-content/uploads/2021/04/2020-Single-Audit-Report.pdf">https://osa.sc.gov/wp-content/uploads/2021/04/2020-Single-Audit-Report.pdf</a>